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► **B****COUNCIL REGULATION (EC) No 338/97**

of 9 December 1996

on the protection of species of wild fauna and flora by regulating trade therein

(OJ L 61, 3.3.1997, p. 1)

Amended by:

		Official Journal		
		No	page	date
► <u>M1</u>	Commission Regulation (EC) No 938/97 of 26 May 1997	L 140	1	30.5.1997
► <u>M2</u>	Commission Regulation (EC) No 2307/97 of 18 November 1997	L 325	1	27.11.1997
► <u>M3</u>	Commission Regulation (EC) No 2214/98 of 15 October 1998	L 279	3	16.10.1998
► <u>M4</u>	Commission Regulation (EC) No 1476/1999 of 6 July 1999	L 171	5	7.7.1999
► <u>M5</u>	Commission Regulation (EC) No 2724/2000 of 30 November 2000	L 320	1	18.12.2000
► <u>M6</u>	Commission Regulation (EC) No 1579/2001 of 1 August 2001	L 209	14	2.8.2001
► <u>M7</u>	Commission Regulation (EC) No 2476/2001 of 17 December 2001	L 334	3	18.12.2001
► <u>M8</u>	Commission Regulation (EC) No 1497/2003 of 18 August 2003	L 215	3	27.8.2003
► <u>M9</u>	Regulation (EC) No 1882/2003 of the European Parliament and of the Council of 29 September 2003	L 284	1	31.10.2003
► <u>M10</u>	Commission Regulation (EC) No 834/2004 of 28 April 2004	L 127	40	29.4.2004
► <u>M11</u>	Commission Regulation (EC) No 1332/2005 of 9 August 2005	L 215	1	19.8.2005
► <u>M12</u>	Commission Regulation (EC) No 318/2008 of 31 March 2008	L 95	3	8.4.2008
► <u>M13</u>	Commission Regulation (EC) No 407/2009 of 14 May 2009	L 123	3	19.5.2009
► <u>M14</u>	Regulation (EC) No 398/2009 of the European Parliament and of the Council of 23 April 2009	L 126	5	21.5.2009
► <u>M15</u>	Commission Regulation (EU) No 709/2010 of 22 July 2010	L 212	1	12.8.2010
► <u>M16</u>	Commission Regulation (EU) No 101/2012 of 6 February 2012	L 39	133	11.2.2012
► <u>M17</u>	Commission Regulation (EU) No 1158/2012 of 27 November 2012	L 339	1	12.12.2012
► <u>M18</u>	Commission Regulation (EU) No 750/2013 of 29 July 2013	L 212	1	7.8.2013
► <u>M19</u>	Commission Regulation (EU) No 1320/2014 of 1 December 2014	L 361	1	17.12.2014

Corrected by:

- **C1** Corrigendum, OJ L 298, 1.11.1997, p. 70 (338/97)
- **C2** Corrigendum, OJ L 139, 5.6.2009, p. 35 (407/2009)



COUNCIL REGULATION (EC) No 338/97

of 9 December 1996

on the protection of species of wild fauna and flora by regulating trade therein

THE COUNCIL OF THE EUROPEAN UNION,

Having regard to the Treaty establishing the European Community, and in particular Article 130s (1) thereof,

Having regard to the proposal from the Commission ⁽¹⁾,

Having regard to the opinion of the Economic and Social Committee ⁽²⁾,

Acting in accordance with the procedure laid down in Article 189c of the Treaty ⁽³⁾,

- (1) Whereas Regulation (EEC) No 3626/82 ⁽⁴⁾ applies the Convention on International Trade in Endangered Species of Wild Fauna and Flora in the Community with effect from 1 January 1984; whereas the purpose of the Convention is to protect endangered species of fauna and flora through controls on international trade in specimens of those species;
- (2) Whereas, in order to improve the protection of species of wild fauna and flora which are threatened by trade or likely to be so threatened, Regulation (EEC) No 3626/82 must be replaced by a Regulation taking account of the scientific knowledge acquired since its adoption and the current structure of trade; whereas, moreover, the abolition of controls at internal borders resulting from the Single Market necessitates the adoption of stricter trade control measures at the Community's external borders, with documents and goods being checked at the customs office at the border where they are introduced;
- (3) Whereas the provisions of this Regulation do not prejudice any stricter measures which may be taken or maintained by Member States, in compliance with the Treaty, in particular with regard to the holding of specimens of species covered by this Regulation;
- (4) Whereas it is necessary to lay down objective criteria for the inclusion of species of wild fauna and flora in the Annexes to this Regulation;

⁽¹⁾ OJ No C 26, 3.2.1992, p. 1, and OJ No C 131, 12.5.1994, p. 1.

⁽²⁾ OJ No C 223, 31.8.1992, p. 19.

⁽³⁾ Opinion of the European Parliament of 15 December 1995 (OJ No C 17, 22.1.1996, p. 430). Common position of the Council of 26 February 1996 (OJ No C 196, 6.7.1996, p. 58) and Decision of the European Parliament of 18 September 1996 (OJ No C 320, 28. 10. 1996).

⁽⁴⁾ OJ No L 384, 31.12.1982, p. 1. Regulation as last amended by Commission Regulation (EC) No 558/95 (OJ No L 57, 15.3.1995, p. 1).

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- (5) Whereas the implementation of this Regulation necessitates the application of common conditions for the issue, use and presentation of documents relating to authorization of the introduction into the Community and the export or re-export from the Community of specimens of the species covered by this Regulation; whereas it is necessary to lay down specific provisions relating to the transit of specimens through the Community;
- (6) Whereas it is for a management authority of the Member State of destination, assisted by the scientific authority of that Member State and, where appropriate, taking into account any opinion of the Scientific Review Group, to decide on the requests for introduction of specimens into the Community;
- (7) Whereas it is necessary to supplement the provisions on re-export with a consultation procedure, in order to limit the risk of infringement;
- (8) Whereas, in order to guarantee effective protection of species of wild fauna and flora, additional restrictions may be imposed on the introduction of specimens into, and the export thereof from, the Community; whereas, with regard to live specimens, these restrictions may be supplemented by restrictions at Community level on the holding or movement of such specimens within the Community;
- (9) Whereas it is necessary to lay down specific provisions applicable to captive-born and bred, or artificially propagated specimens, to specimens which are personal or household effects, and to non-commercial loans, donations or exchanges between registered scientists and scientific institutions;
- (10) Whereas there is a need, in order to ensure the broadest possible protection for species covered by this Regulation, to lay down provisions for controlling trade and movement of specimens within the Community, and the conditions for housing specimens; whereas the certificates issued under this Regulation, which contribute to controlling these activities, must be governed by common rules on their issue, validity and use;
- (11) Whereas measures should be taken to minimize the adverse effects on live specimens of transport to their destination, from or within the Community;

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- (12) Whereas, to ensure effective controls and to facilitate customs procedures, customs offices should be designated, with trained personnel responsible for carrying out the necessary formalities and corresponding checks where specimens are introduced into the Community, in order to assign them a customs-approved treatment or use within the meaning of Council Regulation (EEC) No 2913/92 of 12 October 1992 establishing the Community Customs Code ⁽¹⁾, or where they are exported or re-exported from the Community; whereas there should also be facilities guaranteeing that live specimens are adequately housed and cared for;

- (13) Whereas the implementation of this Regulation also calls for the designation of management and scientific authorities by the Member States;

- (14) Whereas informing the public and making them aware of the provisions of this Regulation, particularly at border crossing points, is likely to encourage compliance with these provisions;

- (15) Whereas, in order to ensure effective enforcement of this Regulation, Member States should closely monitor compliance with its provisions and, to that end, cooperate closely between themselves and with the Commission; whereas this requires the communication of information relating to the implementation of this Regulation;

- (16) Whereas the monitoring of levels of trade in the species of wild fauna and flora covered by this Regulation is of crucial importance for assessing the effects of trade on the conservation status of species; whereas detailed annual reports should be drawn up in a common format;

- (17) Whereas, in order to guarantee compliance with this Regulation, it is important that Member States impose sanctions for infringements in a manner which is both sufficient and appropriate to the nature and gravity of the infringement;

- (18) Whereas it is essential to lay down a Community procedure enabling the implementing provisions and amendments to the Annexes of this Regulation to be adopted within a suitable period; whereas a Committee must be set up to permit close and effective cooperation between the Member States and the Commission in this field;

- (19) Whereas the multitude of biological and ecological aspects to be considered in the implementation of this Regulation requires the setting up of a Scientific Review Group, whose opinions will be forwarded by the Commission to the Committee and the management bodies of the Member States, to assist them in making their decisions,

⁽¹⁾ OJ No L 302, 19.10.1992, p. 1. Regulation as last amended by the 1994 Act of Accession.

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HAS ADOPTED THIS REGULATION:

Article 1

Object

The object of this Regulation is to protect species of wild fauna and flora and to guarantee their conservation by regulating trade therein in accordance with the following Articles.

This Regulation shall apply in compliance with the objectives, principles and provisions of the Convention defined in Article 2.

Article 2

Definitions

For the purposes of this Regulation:

- (a) ‘Committee’ shall mean the Committee on Trade in Wild Fauna and Flora, established under Article 18;
- (b) ‘Convention’ shall mean the Convention on International Trade in Endangered Species of Wild Fauna and Flora (Cites);
- (c) ‘country of origin’ shall mean the country in which a specimen was taken from the wild, captive-bred or artificially propagated;
- (d) ‘import notification’ shall mean the notification given by the importer or his agent or representative, at the time of the introduction into the Community of a specimen of a species included in Annexes C or D, on a form prescribed by the Commission in accordance with the procedure laid down in Article 18;
- (e) ‘introduction from the sea’ shall mean the introduction into the Community of any specimen which was taken in, and is being introduced directly from, the marine environment not under the jurisdiction of any State, including the air-space above the sea and the sea-bed and subsoil beneath the sea;
- (f) ‘issuance’ shall mean the completion of all procedures involved in preparing and validating a permit or certificate and its delivery to the applicant;
- (g) ‘management authority’ shall mean a national administrative authority designated, in the case of a Member State, in accordance with Article 13(1)(a) or, in the case of a third country party to the Convention, in accordance with Article IX of the Convention;
- (h) ‘Member State of destination’ shall mean the Member State of destination mentioned in the document used to export or re-export a specimen; in the event of introduction from the sea, it shall mean the Member State within whose jurisdiction the place of destination of a specimen lies;
- (i) ‘offering for sale’ shall mean offering for sale and any action that may reasonably be construed as such, including advertising or causing to be advertised for sale and invitation to treat;
- (j) ‘personal or household effects’ shall mean dead specimens, parts and derivatives thereof, that are the belongings of a private individual and that form, or are intended to form, part of his normal goods and chattels;

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- (k) 'place of destination' shall mean the place at which at the time of introduction into the Community, it is intended that specimens will normally be kept; in the case of live specimens, this shall be the first place where specimens are intended to be kept following any period of quarantine or other confinement for the purposes of sanitary checks and controls;
- (l) 'population' shall mean a biologically or geographically distinct total number of individuals;
- (m) 'primarily commercial purposes' shall mean all purposes the non-commercial aspects of which do not clearly predominate;
- (n) 're-export from the Community' shall mean export from the Community of any specimen that has previously been introduced;
- (o) 'reintroduction into the Community' shall mean introduction into the Community of any specimen that has previously been exported or re-exported;
- (p) 'sale' shall mean any form of sale. For the purposes of this Regulation, hire, barter or exchange shall be regarded as sale; cognate expressions shall be similarly construed;
- (q) 'scientific authority' shall mean a scientific authority designated, in the case of a Member State, in accordance with Article 13(1)(b) or, in the case of a third country party to the Convention, in accordance with Article IX of the Convention;
- (r) 'Scientific Review Group' shall mean the consultative body established under Article 17;
- (s) 'species' shall mean a species, subspecies or population thereof;
- (t) 'specimen' shall mean any animal or plant, whether alive or dead, of the species listed in Annexes A to D, any part or derivative thereof, whether or not contained in other goods, as well as any other goods which appear from an accompanying document, the packaging or a mark or label, or from any other circumstances, to be or to contain parts or derivatives of animals or plants of those species, unless such parts or derivatives are specifically exempted from the provisions of this Regulation or from the provisions relating to the Annex in which the species concerned is listed by means of an indication to that effect in the Annexes concerned.

A specimen will be considered to be a specimen of a species listed in Annexes A to D if it is, or is part of or derived from, an animal or plant at least one of whose 'parents' is of a species so listed. In cases where the 'parents' of such an animal or plant are of species listed in different Annexes, or of species only one of which is listed, the provisions of the more restrictive Annex shall apply. However, in the case of specimens of hybrid plants, if one of the 'parents' is of a species listed in Annex A, the provisions of the more restrictive Annex shall apply only if that species is annotated to that effect in the Annex;

- (u) 'trade' shall mean the introduction into the Community, including introduction from the sea, and the export and re-export therefrom, as well as the use, movement and transfer of possession within the Community, including within a Member State, of specimens subject to the provisions of this Regulation;

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- (v) ‘transit’ shall mean the transport of specimens between two points outside the Community through the territory of the Community which are shipped to a named consignee and during which any interruption in the movement arises only from the arrangements necessitated by this form of traffic;
- (w) ‘worked specimens that were acquired more than 50 years previously’ shall mean specimens that were significantly altered from their natural raw state for jewellery, adornment, art, utility, or musical instruments, more than 50 years before the entry into force of this Regulation and that have been, to the satisfaction of the management authority of the Member State concerned, acquired in such conditions. Such specimens shall be considered as worked only if they are clearly in one of the aforementioned categories and require no further carving, crafting or manufacture to effect their purpose;
- (x) ‘checks at the time of introduction, export, re-export and transit’ shall mean documentary checks on the certificates, permits and notifications provided for in this Regulation and — in cases where Community provisions so provide or in other cases by representative sampling of the consignments — examination of the specimens, where appropriate accompanied by the taking of samples with a view to analysis or more detailed checks.

*Article 3***Scope**

1. Annex A shall contain:

- (a) the species listed in Appendix I to the Convention for which the Member States have not entered a reservation;
- (b) any species:
 - (i) which is, or may be, in demand for utilization in the Community or for international trade and which is either threatened with extinction or so rare that any level of trade would imperil the survival of the species;

or

- (ii) which is in a genus of which most of the species or which is a species of which most of the subspecies are listed in Annex A in accordance with the criteria in subparagraphs (a) or (b)(i) and whose listing in the Annex is essential for the effective protection of those taxa.

2. Annex B shall contain:

- (a) the species listed in Appendix II to the Convention, other than those listed in Annex A, for which the Member States have not entered a reservation;
- (b) the species listed in Appendix I to the Convention for which a reservation has been entered;

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(c) any other species not listed in Appendices I or II to the Convention:

(i) which is subject to levels of international trade that might not be compatible:

— with its survival or with the survival of populations in certain countries, or

— with the maintenance of the total population at a level consistent with the role of the species in the ecosystems in which it occurs:

or

(ii) whose listing in the Annex for reasons of similarity in appearance to other species listed in Annex A or Annex B, is essential in order to ensure the effectiveness of controls on trade in specimens of such species;

(d) species in relation to which it has been established that the introduction of live specimens into the natural habitat of the Community would constitute an ecological threat to wild species of fauna and flora indigenous to the Community.

3. Annex C shall contain:

(a) the species listed in Appendix III to the Convention, other than those listed in Annexes A or B, for which the Member States have not entered a reservation;

(b) the species listed in Appendix II to the Convention for which a reservation has been entered.

4. Annex D shall contain:

(a) species not listed in Annexes A to C which are imported into the Community in such numbers as to warrant monitoring;

(b) the species listed in Appendix III to the Convention for which a reservation has been entered.

5. Where the conservation status of species covered by this Regulation warrants their inclusion in one of the Appendices to the Convention, the Member States shall contribute to the necessary amendments.

Article 4

Introduction into the Community

1. The introduction into the Community of specimens of the species listed in Annex A shall be subject to completion of the necessary checks and the prior presentation, at the border customs office at the point of introduction, of an import permit issued by a management authority of the Member State of destination.

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The import permit may be issued only in accordance with the restrictions established pursuant to paragraph 6 and when the following conditions have been met:

- (a) the competent scientific authority, after considering any opinion by the Scientific Review Group, has advised that the introduction into the Community:
 - (i) would not have a harmful effect on the conservation status of the species or on the extent of the territory occupied by the relevant population of the species;
 - (ii) is taking place:
 - for one of the purposes referred to in Article 8(3)(e), (f) and (g), or
 - for other purposes which are not detrimental to the survival of the species concerned;
- (b) (i) the applicant provides documentary evidence that the specimens have been obtained in accordance with the legislation on the protection of the species concerned which, in the case of import from a third country of specimens of a species listed in the Appendices to the Convention, shall be an export permit or re-export certificate, or copy thereof, issued in accordance with the Convention by a competent authority of the country of export or re-export;
- (ii) however, the issuance of import permits for species listed in Annex A in accordance with Article 3(1)(a) shall not require such documentary evidence, but the original of any such import permit shall be withheld from the applicant pending presentation of the export permit or re-export certificate;
- (c) the competent scientific authority is satisfied that the intended accommodation for a live specimen at the place of destination is adequately equipped to conserve and care for it properly;
- (d) the management authority is satisfied that the specimen is not to be used for primarily commercial purposes;
- (e) the management authority is satisfied, following consultation with the competent scientific authority, that there are no other factors relating to the conservation of the species which militate against issuance of the import permit; and
- (f) in the case of introduction from the sea, the management authority is satisfied that any live specimen will be so prepared and shipped as to minimize the risk of injury, damage to health or cruel treatment.

2. The introduction into the Community of specimens of the species listed in Annex B shall be subject to completion of the necessary checks and the prior presentation, at the border customs office at the point of introduction, of an import permit issued by a management authority of the Member State of destination.

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The import permit may be issued only in accordance with the restrictions established pursuant to paragraph 6 and when:

- (a) the competent scientific authority, after examining available data and considering any opinion from the Scientific Review Group, is of the opinion that the introduction into the Community would not have a harmful effect on the conservation status of the species or on the extent of the territory occupied by the relevant population of the species, taking account of the current or anticipated level of trade. This opinion shall be valid for subsequent imports as long as the abovementioned aspects have not changed significantly;
- (b) the applicant provides documentary evidence that the intended accommodation for a live specimen at the place of destination is adequately equipped to conserve and care for it properly;
- (c) the conditions referred to in paragraph 1(b)(i), (e) and (f) have been met.

3. The introduction into the Community of specimens of the species listed in Annex C shall be subject to completion of the necessary checks and the prior presentation, at the border customs office at the point of introduction, of an import notification and:

- (a) in the case of export from a country mentioned in relation to the species concerned in Annex C, the applicant shall provide documentary evidence, by means of an export permit issued in accordance with the Convention by an authority of that country competent for the purpose, that the specimens have been obtained in accordance with the national legislation on the conservation of the species concerned; or
- (b) in the case of export from a country not mentioned in relation to the species concerned in Annex C or re-export from any country, the applicant shall present an export permit, a re-export certificate or a certificate of origin issued in accordance with the Convention by an authority of the exporting or re-exporting country competent for the purpose.

4. The introduction into the Community of specimens of the species listed in Annex D shall be subject to completion of the necessary checks and the prior presentation of an import notification at the border customs office at the point of introduction.

5. The conditions for the issuance of an import permit as referred to in paragraph 1(a) and (d) and in paragraph 2(a), (b) and (c) shall not apply to specimens for which the applicant provides documentary evidence:

- (a) that they had previously been legally introduced into or acquired in the Community and that they are, modified or not, being reintroduced into the Community; or
- (b) that they are worked specimens that were acquired more than 50 years previously.

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6. In consultation with the countries of origin concerned, in accordance with the regulatory procedure referred to in Article 18(2) and taking account of any opinion from the Scientific Review Group, the Commission may establish general restrictions, or restrictions relating to certain countries of origin, on the introduction into the Community:

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- (a) on the basis of the conditions referred to in paragraph 1(a)(i) or (e), of specimens of species listed in Annex A;
- (b) on the basis of the conditions referred to in paragraph 1(e) or paragraph 2(a), of specimens of species listed in Annex B; and
- (c) of live specimens of species listed in Annex B which have a high mortality rate during shipment or for which it has been established that they are unlikely to survive in captivity for a considerable proportion of their potential life span; or
- (d) of live specimens of species for which it has been established that their introduction into the natural environment of the Community presents an ecological threat to wild species of fauna and flora indigenous to the Community.

The Commission shall on a quarterly basis publish a list of such restrictions, if any, in the *Official Journal of the European Communities*.

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7. Where special cases of transshipment, air transfer or rail transport occur following introduction into the Community, derogations from completion of the checks and presentations of import documents at the border customs office at the point of introduction which are referred to in paragraphs 1 to 4 shall be granted by the Commission in order to permit such checks and presentations to be made at another customs office designated in accordance with Article 12(1).

Those measures, designed to amend non-essential elements of this Regulation, by supplementing it, shall be adopted in accordance with the regulatory procedure with scrutiny referred to in Article 18(3).

▼B*Article 5***Export or re-export from the Community**

1. The export or re-export from the Community of specimens of the species listed in Annex A shall be subject to completion of the necessary checks and the prior presentation, at the customs office at which the export formalities are completed, of an export permit or re-export certificate issued by a management authority of the Member State in which the specimens are located.

2. An export permit for specimens of the species listed in Annex A may be issued only when the following conditions have been met:

- (a) the competent scientific authority has advised in writing that the capture or collection of the specimens in the wild or their export will not have a harmful effect on the conservation status of the species or on the extent of the territory occupied by the relevant population of the species;

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(b) the applicant provides documentary evidence that the specimens have been obtained in accordance with the legislation in force on the protection of the species in question; where the application is made to a Member State other than the Member State of origin, such documentary evidence shall be furnished by means of a certificate stating that the specimen was taken from the wild in accordance with the legislation in force on its territory;

(c) the management authority is satisfied that:

(i) any live specimen will be so prepared and shipped as to minimize the risk of injury, damage to health or cruel treatment; and

(ii) — the specimens of species not listed in Annex I to the Convention will not be used for primarily commercial purposes, or

— in the case of export to a State party to the Convention of specimens of the species referred to in Article 3(1)(a) of this Regulation, an import permit has been issued;

and

(d) the management authority of the Member State is satisfied, following consultation with the competent scientific authority, that there are no other factors relating to the conservation of the species which militate against issuance of the export permit.

3. A re-export certificate may be issued only when the conditions referred to in paragraph 2 (c) and (d) have been met and when the applicant provides documentary evidence that the specimens:

(a) were introduced into the Community in accordance with the provisions of this Regulation;

(b) if introduced into the Community before the entry into force of this Regulation, were introduced in accordance with the provisions of Regulation (EEC) No 3626/82; or

(c) if introduced into the Community before 1984, entered international trade in accordance with the provisions of the Convention; or

(d) were legally introduced into the territory of a Member State before the provisions of the Regulations referred to in (a) and (b) or of the Convention became applicable to them, or became applicable in that Member State.

4. The export or re-export from the Community of specimens of the species listed in Annexes B and C shall be subject to completion of the necessary checks and the prior presentation, at the customs office at which the export formalities are completed, of an export permit or re-export certificate issued by a management authority of the Member State in whose territory the specimens are located.

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An export permit may be issued only when the conditions referred to in paragraph 2 (a), (b), (c) (i) and (d) have been met.

A re-export certificate may be issued only when the conditions referred to in paragraph 2 (c) (i) and (d) and in paragraph 3 (a) to (d) have been met.

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5. Where an application for a re-export certificate concerns specimens introduced into the Community under an import permit issued by another Member State, the management authority must first consult the management authority which issued the permit. The consultation procedures and the cases in which consultation is necessary shall be established by the Commission. Those measures, designed to amend non-essential elements of this Regulation, by supplementing it, shall be adopted in accordance with the regulatory procedure with scrutiny referred to in Article 18(3).

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6. The conditions for the issuance of an export permit or re-export certificate as referred to in paragraph 2 (a) and (c) (ii) shall not apply to:

- (i) worked specimens that were acquired more than 50 years previously; or
- (ii) dead specimens and parts and derivatives thereof for which the applicant provides documentary evidence that they were legally acquired before the provisions of this Regulation, or of Regulation (EEC) No 3626/82 or of the Convention became applicable to them.

7. (a) The competent scientific authority in each Member State shall monitor the issuance of export permits by that Member State for specimens of species listed in Annex B and actual exports of such specimens. Whenever such scientific authority determines that the export of specimens of any such species should be limited in order to maintain that species throughout its range at a level consistent with its role in the ecosystem in which it occurs, and well above the level at which that species might become eligible for inclusion in Annex A in accordance with Article 3 (1) (a) or (b) (i), the scientific authority shall advise the competent management authority, in writing, of suitable measures to be taken to limit the issuance of export permits for specimens of that species

▼M14

- (b) Whenever a management authority is advised of the measures referred to in (a), it shall inform and send comments to the Commission which shall, if appropriate, recommend restrictions on exports of the species concerned in accordance with the regulatory procedure referred to in Article 18(2).

▼B*Article 6***Rejection of applications for permits and certificates referred to in Articles 4, 5 and 10**

1. When a Member State rejects an application for a permit or certificate in a case of significance in respect of the objectives of this Regulation, it shall immediately inform the Commission of the rejection and of the reasons for rejection.
2. The Commission shall communicate information received in accordance with paragraph 1 to the other Member States in order to ensure uniform application of this Regulation.
3. When an application is made for a permit or certificate relating to specimens for which such an application has previously been rejected, the applicant must inform the competent authority to which the application is submitted of the previous rejection.
4. (a) Member States shall recognize the rejection of applications by the competent authorities of the other Member States, where such rejection is based on the provisions of this Regulation.

(b) However, this need not apply where the circumstances have significantly changed or where new evidence to support an application has become available. In such cases, if a management authority issues a permit or certificate, it shall inform the Commission thereof, stating the reasons for issuance.

*Article 7***Derogations**

1. *Specimens born and bred in captivity or artificially propagated*
 - (a) Save where Article 8 applies, specimens of species listed in Annex A that have been born and bred in captivity or artificially propagated shall be treated in accordance with the provisions applicable to specimens of species listed in Annex B.
 - (b) In the case of artificially propagated plants, the provisions of Articles 4 and 5 may be waived under special conditions laid down by the Commission, relating to:
 - (i) the use of phytosanitary certificates;
 - (ii) trade by registered commercial traders and by the scientific institutions referred to in paragraph 4 of this Article; and
 - (iii) trade in hybrids.

▼M14

- (c) The criteria for determining whether a specimen has been born and bred in captivity or artificially propagated and whether for commercial purposes, as well as the special conditions referred to in (b), shall be specified by the Commission. Those measures, designed to amend non-essential elements of this Regulation, by supplementing it, shall be adopted in accordance with the regulatory procedure with scrutiny referred to in Article 18(3).

▼B**2. Transit**

- (a) By way of derogation from Article 4, where a specimen is in transit through the Community, checks and presentation at the border customs office at the point of introduction of the prescribed permits, certificates and notifications shall not be required.
- (b) In the case of species listed in the Annexes in accordance with Article 3 (1) and Article 3 (2) (a) and (b), the derogation referred to in (a) shall apply only where a valid export or re-export document provided for by the Convention, relating to the specimens that it accompanies and specifying the destination of the specimens, has been issued by the competent authorities of the exporting or re-exporting third country.

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- (c) If the document referred to in (b) has not been issued before export or re-export, the specimen must be seized and may, where applicable, be confiscated unless the document is submitted retrospectively in compliance with the conditions specified by the Commission. Those measures, designed to amend non-essential elements of this Regulation, by supplementing it, shall be adopted in accordance with the regulatory procedure with scrutiny referred to in Article 18(3).

3. Personal and household effects

By way of derogation from Articles 4 and 5, the provisions therein shall not apply to dead specimens, parts and derivatives of species listed in Annexes A to D which are personal or household effects being introduced into the Community, or exported or re-exported therefrom, in compliance with provisions that shall be specified by the Commission. Those measures, designed to amend non-essential elements of this Regulation, by supplementing it, shall be adopted in accordance with the regulatory procedure with scrutiny referred to in Article 18(3).

4. Scientific institutions

The documents referred to in Articles 4, 5, 8 and 9 shall not be required in the case of non-commercial loans, donations and exchanges between scientists and scientific institutions, registered by the management authorities of the States in which they are located, of herbarium specimens and other preserved, dried or embedded museum specimens, and of live plant material, bearing a label, the model for which has been determined in accordance with the regulatory procedure referred to in Article 18(2) or a similar label issued or approved by a management authority of a third country.

▼B*Article 8***Provisions relating to the control of commercial activities**

- 1. The purchase, offer to purchase, acquisition for commercial purposes, display to the public for commercial purposes, use for commercial gain and sale, keeping for sale, offering for sale or transporting for sale of specimens of the species listed in Annex A shall be prohibited.

▼B

2. Member States may prohibit the holding of specimens, in particular live animals of the species listed in Annex A.

3. In accordance with the requirements of other Community legislation on the conservation of wild fauna and flora, exemption from the prohibitions referred to in paragraph 1 may be granted by issuance of a certificate to that effect by a management authority of the Member State in which the specimens are located, on a case-by-case basis where the specimens:

- (a) were acquired in, or were introduced into, the Community before the provisions relating to species listed in Appendix I to the Convention or in Annex C1 to Regulation (EEC) No 3626/82 or in Annex A became applicable to the specimens; or
- (b) are worked specimens that were acquired more than 50 years previously; or
- (c) were introduced into the Community in compliance with the provisions of this Regulation and are to be used for purposes which are not detrimental to the survival of the species concerned; or
- (d) are captive-born and bred specimens of an animal species or artificially propagated specimens of a plant species or are parts or derivatives of such specimens; or
- (e) are required under exceptional circumstances for the advancement of science or for essential biomedical purposes pursuant to Council Directive 86/609/EEC of 24 November 1986 on the approximation of laws, regulations and administrative provisions of the Member States regarding the protection of animals used for experimental and other scientific purposes ⁽¹⁾ where the species in question proves to be the only one suitable for those purposes and where there are no specimens of the species which have been born and bred in captivity; or
- (f) are intended for breeding or propagation purposes from which conservation benefits will accrue to the species concerned; or
- (g) are intended for research or education aimed at the preservation or conservation of the species; or
- (h) originate in a Member State and were taken from the wild in accordance with the legislation in force in that Member State.

▼M14

4. General derogations from the prohibitions referred to in paragraph 1 based on the conditions referred to in paragraph 3, as well as general derogations with regard to species listed in Annex A in accordance with point (ii) of Article 3(1)(b) may be defined by the Commission. Any such derogations must be in accordance with the requirements of other Community legislation on the conservation of wild fauna and flora. Those measures, designed to amend non-essential elements of this Regulation, by supplementing it, shall be adopted in accordance with the regulatory procedure with scrutiny referred to in Article 18(3).

⁽¹⁾ OJ No L 358, 18.12.1986, p. 1.

▼B

5. The prohibitions referred to in paragraph 1 shall also apply to specimens of the species listed in Annex B except where it can be proved to the satisfaction of the competent authority of the Member State concerned that such specimens were acquired and, if they originated outside the Community, were introduced into it, in accordance with the legislation in force for the conservation of wild fauna and flora.

6. The competent authorities of the Member States shall have discretion to sell any specimen of the species listed in Annexes B to D they have confiscated under this Regulation, provided that it is not thus returned directly to the person or entity from whom it was confiscated or who was party to the offence. Such specimens may then be treated for all purposes as if they had been legally acquired.

*Article 9***Movement of live specimens**

1. Any movement within the Community of a live specimen of a species listed in Annex A from the location indicated in the import permit or in any certificate issued in compliance with this Regulation shall require prior authorization from a management authority of the Member State in which the specimen is located. In other cases of movement, the person responsible for moving the specimen must be able, where applicable, to provide proof of the legal origin of the specimen.

2. Such authorization shall:

- (a) be granted only when the competent scientific authority of such Member State or, where the movement is to another Member State, the competent scientific authority of the latter, is satisfied that the intended accommodation for a live specimen at the place of destination is adequately equipped to conserve and care for it properly;
- (b) be confirmed by issuance of a certificate; and
- (c) where applicable, be immediately communicated to a management authority of the Member State in which the specimen is to be located.

3. However, no such authorization shall be required if a live animal must be moved for the purpose of urgent veterinary treatment and is returned directly to its authorized location.

4. Where a live specimen of a species listed in Annex B is moved within the Community, the holder of the specimen may relinquish it only after ensuring that the intended recipient is adequately informed of the accommodation, equipment and practices required to ensure the specimen will be properly cared for.

5. When any live specimens are transported into, from or within the Community or are held during any period of transit or transshipment, they shall be prepared, moved and cared for in a manner such as to minimize the risk of injury, damage to health or cruel treatment and, in the case of animals, in conformity with Community legislation on the protection of animals during transport.

▼M14

6. The Commission may establish restrictions on the holding or movement of live specimens of such species in relation to which restrictions on introduction into the Community have been established in accordance with Article 4(6). Those measures, designed to amend non-essential elements of this Regulation, by supplementing it, shall be adopted in accordance with the regulatory procedure with scrutiny referred to in Article 18(3).

▼B*Article 10***Certificates to be issued**

On receiving an application, together with all the requisite supporting documents, from the person concerned and provided that all the conditions governing their issuance have been fulfilled, a management authority of a Member State may issue a certificate for the purposes referred to in Article 5 (2) (b), 5 (3) and (4), Article 8 (3) and Article 9 (2) (b).

*Article 11***Validity of and special conditions for permits and certificates**

1. Without prejudice to stricter measures which the Member States may adopt or maintain, permits and certificates issued by the competent authorities of the Member States in accordance with this Regulation shall be valid throughout the Community.

2. (a) However, any such permit or certificate, as well as any permit or certificate issued on the basis of it, shall be deemed void if a competent authority or the Commission, in consultation with the competent authority which issued the permit or certificate, establishes that it was issued on the false premise that the conditions for its issuance were met.

(b) Specimens situated in the territory of a Member State and covered by such documents shall be seized by the competent authorities of that Member State and may be confiscated.

3. Any permit or certificate issued in accordance with this Regulation may stipulate conditions and requirements imposed by the issuing authority to ensure compliance with the provisions thereof. Where such conditions or requirements need to be incorporated in the design of permits or certificates, Member States shall inform the Commission thereof.

4. Any import permit issued on the basis of a copy of the corresponding export permit or re-export certificate shall be valid for the introduction of specimens into the Community only when accompanied by the original of the valid export permit or re-export certificate.

▼M14

5. The Commission shall establish time limits for the issuance of permits and certificates. Those measures, designed to amend non-essential elements of this Regulation, by supplementing it, shall be adopted in accordance with the regulatory procedure with scrutiny referred to in Article 18(3).

▼B*Article 12***Places of introduction and export**

1. Member States shall designate customs offices for carrying out the checks and formalities for the introduction into and export from the Community, in order to assign to them a customs-approved treatment or use, within the meaning of Regulation (EEC) No 2913/92, of specimens of species covered by this Regulation and shall state which offices are specifically intended to deal with live specimens.

2. All offices designated in accordance with paragraph 1 shall be provided with sufficient and adequately trained staff. Member States shall ensure that accommodation is provided in accordance with relevant Community legislation as regards the transport and accommodation of live animals and that, where necessary, adequate steps are taken for live plants.

3. All offices designated in accordance with paragraph 1 shall be notified to the Commission which shall publish a list of them in the *Official Journal of the European Communities*.

▼M14

4. In exceptional cases and in accordance with the criteria defined by the Commission, a management authority may authorise the introduction into the Community or the export or re-export therefrom at a customs office other than one designated in accordance with paragraph 1. Those measures, designed to amend non-essential elements of this Regulation, by supplementing it, shall be adopted in accordance with the regulatory procedure with scrutiny referred to in Article 18(3).

▼B

5. Member States shall ensure that at border crossing-points the public are informed of the implementing provisions of this Regulation.

*Article 13***Management and scientific authorities and other competent authorities**

1. (a) Each Member State shall designate a management authority with primary responsibility for implementation of this Regulation and for communication with the Commission:

(b) Each Member State may also designate additional management authorities and other competent authorities to assist in implementation, in which case the primary management authority shall be responsible for providing the additional authorities with all the information required for correct application of this Regulation.

2. Each Member State shall designate one or more scientific authorities with appropriate qualifications whose duties shall be separate from those of any designated management authority.

▼B

3. (a) Not later than three months before the date of application of this Regulation, Member States shall forward the names and addresses of the designated management authorities, other authorities competent to issue permits or certificates and scientific authorities to the Commission, which shall publish this information in the *Official Journal of the European Communities* within a month.
- (b) Each management authority referred to in paragraph 1 (a) shall, if so requested by the Commission, communicate to it within two months the names and specimen signatures of people authorized to sign permits or certificates, and impressions of the stamps, seals or other devices used to authenticate permits or certificates.
- (c) Member States shall communicate to the Commission any changes in the information already provided, not later than two months after the implementation of such change.

*Article 14***Monitoring of compliance and investigation of infringements**

1. (a) The competent authorities of the Member States shall monitor compliance with the provisions of this Regulation.
- (b) If, at any time, the competent authorities have reason to believe that these provisions are being infringed, they shall take the appropriate steps to ensure compliance or to instigate legal action.
- (c) Member States shall inform the Commission and, in the case of species listed in the Appendices to the Convention, the Convention Secretariat of any steps taken by the competent authorities in relation to significant infringements of this Regulation, including seizures and confiscations.
2. The Commission shall draw the attention of the competent authorities of the Member States to matters whose investigation it considers necessary under this Regulation. Member States shall inform the Commission and, in the case of species listed in the Appendices to the Convention, the Convention Secretariat of the outcome of any subsequent investigation.
3. (a) An enforcement group shall be established consisting of the representatives of each Member State's authorities with responsibility for ensuring the implementation of the provisions of this Regulation. The group shall be chaired by the representative of the Commission.
- (b) The enforcement group shall examine any technical question relating to the enforcement of this Regulation raised by the chairman, either on his own initiative or at the request of the members of the group or the committee.
- (c) The Commission shall convey the opinions expressed in the enforcement group to the committee.

▼B*Article 15***Communication of information**

1. The Member States and the Commission shall communicate to one another the information necessary for implementing this Regulation.

The Member States and the Commission shall ensure that the necessary steps are taken to make the public aware and inform it of the provisions regarding implementation of the Convention and of this Regulation and of the latter's implementing measures.

2. The Commission shall communicate with the Convention Secretariat so as to ensure that the Convention is effectively implemented throughout the territory to which this Regulation applies.

3. The Commission shall immediately communicate any advice from the Scientific Review Group to the management authorities of the Member States concerned.

4. (a) The management authorities of the Member States shall communicate to the Commission before 15 June each year all the information relating to the preceding year required for drawing up the reports referred to in Article VIII.7 (a) of the Convention and equivalent information on international trade in all specimens of species listed in Annexes A, B and C and on introduction into the Community of specimens of species listed in Annex D. ►**M14** The information to be communicated and the format for its presentation shall be specified by the Commission in accordance with the regulatory procedure referred to in Article 18(2). ◀

(b) On the basis of the information referred to in (a), the Commission shall publish before 31 October each year a statistical report on the introduction into, and the export and re-export from, the Community of specimens of the species to which this Regulation applies and shall forward to the Convention Secretariat information on the species to which the Convention applies.

(c) Without prejudice to Article 20, the management authorities of the Member States shall, before 15 June of each second year, and for the first time in 1999, communicate to the Commission all the information relating to the preceding two years required for drawing up the reports referred to in Article VIII.7 (b) of the Convention and equivalent information on the provisions of this Regulation that fall outside the scope of the Convention. ►**M14** The information to be communicated and the format for its presentation shall be specified by the Commission in accordance with the regulatory procedure referred to in Article 18(2). ◀

(d) On the basis of the information referred to in (c), the Commission shall, before 31 October of each second year, and for the first time in 1999, draw up a report on the implementation and enforcement of this Regulation.

▼M14

5. With a view to the preparation of amendments to the Annexes, the competent authorities of the Member States shall forward all relevant information to the Commission. The Commission shall specify the information required, in accordance with the regulatory procedure referred to in Article 18(2).

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►C1 6. Without prejudice to Council Directive 90/313/EEC ◄ of 7 June 1990 on the freedom of access to information on the environment ⁽¹⁾, the Commission shall take appropriate measures to protect the confidentiality of information obtained in implementation of this Regulation.

*Article 16***Sanctions**

1. Member States shall take appropriate measures to ensure the imposition of sanctions for at least the following infringements of this Regulation:

- (a) introduction into, or export or re-export from, the Community of specimens without the appropriate permit or certificate or with a false, falsified or invalid permit or certificate or one altered without authorization by the issuing authority;
- (b) failure to comply with the stipulations specified on a permit or certificate issued in accordance with this Regulation;
- (c) making a false declaration or knowingly providing false information in order to obtain a permit or certificate;
- (d) using a false, falsified or invalid permit or certificate or one altered without authorization as a basis for obtaining a Community permit or certificate or for any other official purpose in connection with this Regulation;
- (e) making no import notification or a false import notification;
- (f) shipment of live specimens not properly prepared so as to minimize the risk of injury, damage to health or cruel treatment;
- (g) use of specimens of species listed in Annex A other than in accordance with the authorization given at the time of issuance of the import permit or subsequently;
- (h) trade in artificially propagated plants contrary to the provisions laid down in accordance with Article 7(1)(b);
- (i) shipment of specimens into or out of or in transit through the territory of the Community without the appropriate permit or certificate issued in accordance with this Regulation and, in the case of export or re-export from a third country party to the Convention, in accordance therewith, or without satisfactory proof of the existence of such permit or certificate;
- (j) purchase, offer to purchase, acquisition for commercial purposes, use for commercial gain, display to the public for commercial purposes, sale, keeping for sale, offering for sale or transporting for sale of specimens in contravention of Article 8;
- (k) use of a permit or certificate for any specimen other than one for which it was issued;

⁽¹⁾ OJ No L 158, 23.6.1990, p. 56.

▼B

- (l) falsification or alteration of any permit or certificate issued in accordance with this Regulation;
 - (m) failure to disclose rejection of an application for a Community import, export or re-export permit or certificate, in accordance with Article 6 (3).
2. The measures referred to in paragraph 1 shall be appropriate to the nature and gravity of the infringement and shall include provisions relating to the seizure and, where appropriate, confiscation of specimens.
3. Where a specimen is confiscated, it shall be entrusted to a competent authority of the Member State of confiscation which:
- (a) following consultation with a scientific authority of that Member State, shall place or otherwise dispose of the specimen under conditions which it deems to be appropriate and consistent with the purposes and provisions of the Convention and this Regulation; and
 - (b) in the case of a live specimen which has been introduced into the Community, may, after consultation with the State of export, return the specimen to that State at the expense of the convicted person.
4. Where a live specimen of a species listed in Annex B or C arrives at a point of introduction into the Community without the appropriate valid permit or certificate, the specimen must be seized and may be confiscated or, if the consignee refuses to acknowledge the specimen, the competent authorities of the Member State responsible for the point of introduction may, if appropriate, refuse to accept the shipment and require the carrier to return the specimen to its place of departure.

*Article 17***The Scientific Review Group**

1. A Scientific Review Group is hereby established, consisting of the representatives of each Member State's scientific authority or authorities and chaired by the representative of the Commission.
2. (a) The Scientific Review Group shall examine any scientific question relating to the application of this Regulation — in particular concerning Article 4 (1) (a), (2) (a) and (6) — raised by the chairman, either on his own initiative or at the request of the members of the Group or the Committee.
- (b) The Commission shall convey the opinions of the Scientific Review Group to the Committee.

▼M9*Article 18*

1. The Commission shall be assisted by a committee.
2. Where reference is made to this Article, Articles 5 and 7 of Decision 1999/468/EC ⁽¹⁾ shall apply, having regard to the provisions of Article 8 thereof.

⁽¹⁾ Council Decision 1999/468/EC of 28 June 1999 laying down the procedures for the exercise of implementing powers conferred on the Commission (OJ L 184, 17.7.1999, p. 23).

▼M9

The period laid down in Article 5(6) of Decision 1999/468/EC shall be set at three months. As regards the Committee's tasks referred to in points 1 and 2 of Article 19, if, on the expiry of a period of three months from the date of referral to the Council, the Council has not acted, the proposed measures shall be adopted by the Commission.

▼M14

3. Where reference is made to this paragraph, Article 5a(1) to (4) and Article 7 of Decision 1999/468/EC shall apply, having regard to the provisions of Article 8 thereof.

4. Where reference is made to this paragraph, Article 5a(1) to (4) and (5)(b) and Article 7 of Decision 1999/468/EC shall apply, having regard to the provisions of Article 8 thereof.

The time limits laid down in Article 5a(3)(c) and (4)(b) and (e) of Decision 1999/468/EC shall be set at one month, one month and two months respectively.

Article 19

1. In accordance with the regulatory procedure referred to in Article 18(2), the Commission shall adopt the measures referred to in Article 4(6), Article 5(7)(b), Article 7(4), Article 15(4)(a) and (c), Article 15(5) and Article 21(3).

The Commission shall determine the design of the documents referred to in Articles 4, 5, 7(4) and 10 in accordance with the regulatory procedure referred to in Article 18(2).

2. The Commission shall adopt the measures referred to in Article 4(7), Article 5(5), Article 7(1)(c), (2)(c) and (3), Article 8(4), Article 9(6), Article 11(5) and Article 12(4). Those measures, designed to amend non-essential elements of this Regulation, by supplementing it, shall be adopted in accordance with the regulatory procedure with scrutiny referred to in Article 18(3).

3. The Commission shall lay down uniform conditions and criteria for:

- (a) the issue, validity and use of the documents referred to in Articles 4, 5, 7(4) and 10;
- (b) the use of phytosanitary certificates referred to in Article 7(1)(b)(i);
- (c) the establishment of procedures, where necessary, for marking specimens in order to facilitate identification and ensure enforcement of the provisions.

Those measures, designed to amend non-essential elements of this Regulation, by supplementing it, shall be adopted in accordance with the regulatory procedure with scrutiny referred to in Article 18(3).

▼M14

4. The Commission shall adopt, where necessary, additional measures to implement resolutions of the Conference of the Parties to the Convention, decisions or recommendations of the Standing Committee of the Convention and recommendations of the Convention Secretariat. Those measures, designed to amend non-essential elements of this Regulation, by supplementing it, shall be adopted in accordance with the regulatory procedure with scrutiny referred to in Article 18(3).

5. The Commission shall amend Annexes A to D except in the case of amendments to Annex A which do not result from decisions of the Conference of the Parties to the Convention. Those measures, designed to amend non-essential elements of this Regulation, shall be adopted in accordance with the regulatory procedure with scrutiny referred to in Article 18(4).

▼B*Article 20***Final provisions**

Each Member State shall notify the Commission and the Convention Secretariat of the provisions which it adopts specifically for the implementation of this Regulation and of all legal instruments used and measures taken for its implementation and enforcement.

The Commission shall communicate this information to the other Member States.

Article 21

1. Regulation (EEC) No 3626/82 is hereby repealed.

2. Until the measures provided for in points 1 and 2 of Article 19 have been adopted, Member States may maintain or continue to apply the measures adopted in accordance with Regulation (EEC) No 3626/82 and Commission Regulation (EEC) No 3418/83 of 28 November 1983 laying down provisions for the uniform issue and use of the documents required for the implementation in the Community of the Convention on International Trade in Endangered Species of Wild Fauna and Flora ⁽¹⁾.

▼M14

3. Two months before this Regulation is implemented the Commission, in accordance with the regulatory procedure referred to in Article 18(2) and in consultation with the Scientific Review Group:

▼B

- (a) must check that there is no justification for restrictions on the introduction into the Community of the species listed in Annex C1 to Regulation (EEC) No 3626/82 which are not included in Annex A to this Regulation;
- (b) shall adopt a Regulation amending Annex D into a representative list of species meeting the criteria laid down in Article 3 (4) (a).

⁽¹⁾ OJ No L 344, 7.12.1983, p. 1.



Article 22

This Regulation shall enter into force on the date of its publication in the *Official Journal of the European Communities*.

It shall apply from 1 June 1997.

Articles 12, 13, 14 (3), 116, 17, 18, 19 and 21(3) shall apply from the date of entry into force of this Regulation.

This Regulation shall be binding in its entirety and directly applicable in all Member States.

▼ **M19***ANNEX***Notes on interpretation of Annexes A, B, C and D**

1. Species included in Annexes A, B, C and D are referred to:
 - (a) by the name of the species; or
 - (b) as being all of the species included in a higher taxon or designated part thereof.
2. The abbreviation 'spp.' is used to denote all species of a higher taxon.
3. Other references to taxa higher than species are for the purposes of information or classification only.
4. Species printed in bold in Annex A are listed there in consistency with their protection as provided for by Directive 2009/147/EC of the European Parliament and of the Council ⁽¹⁾ or Council Directive 92/43/EEC ⁽²⁾.
5. The following abbreviations are used for plant taxa below the level of species:
 - (a) 'ssp.' is used to denote subspecies;
 - (b) 'var(s)' is used to denote variety (varieties); and
 - (c) 'fa.' is used to denote forma.
6. The symbols '(I)', '(II)' and '(III)' placed against the name of a species or higher taxon refer to the Appendices to the Convention in which the species concerned are listed as indicated in notes 7, 8 and 9. Where none of these annotations appears, the species concerned are not listed in the Appendices to the Convention.
7. (I) against the name of a species or higher taxon indicates that the species or higher taxon concerned is included in Appendix I to the Convention.
8. (II) against the name of a species or higher taxon indicates that the species or higher taxon concerned is included in Appendix II to the Convention.
9. (III) against the name of a species or higher taxon indicates that it is included in Appendix III to the Convention. In this case the country with respect to which the species or higher taxon is included in Appendix III is also indicated.
10. 'Cultivar' means, following the definition of the 8th edition of the *International Code of Nomenclature for Cultivated Plants*, an assemblage of plants that (a) has been selected for a particular character or combination of characters, (b) is distinct, uniform, and stable in these characters, and (c) when propagated by appropriate means, retains those characters. No new taxon of a cultivar can be regarded as such until its category name and circumscription has been formally published in the latest edition of the *International Code of Nomenclature for Cultivated Plants*.
11. Hybrids may be specifically included in the Appendices but only if they form distinct and stable populations in the wild. Hybrid animals that have in their previous four generations of the lineage one or more specimens of species included in Annexes A or B shall be subject to this Regulation just as if they were full species, even if the hybrid concerned is not specifically included in the Annexes.

⁽¹⁾ Directive 2009/147/EC of the European Parliament and of the Council of 30 November 2009 on the conservation of wild birds (OJ L 20, 26.1.2010, p. 7).

⁽²⁾ Council Directive 92/43/EEC of 21 May 1992 on the conservation of natural habitats and of wild fauna and flora (OJ L 206, 22.7.1992, p. 7).

▼ **M19**

12. When a species is included in Annex A, B or C, all parts and derivatives of the species are also included in the same Annex unless the species is annotated to indicate that only specific parts and derivatives are included. In accordance with Article 2(t), the symbol ‘#’ followed by a number placed against the name of a species or higher taxon included in Annex B or C designates parts or derivatives which are specified in relation thereto for the purposes of this Regulation as follows:

- #1 Designates all parts and derivatives, except:
 - (a) seeds, spores and pollen (including pollinia);
 - (b) seedling or tissue cultures obtained *in vitro*, in solid or liquid media, transported in sterile containers;
 - (c) cut flowers of artificially propagated plants; and
 - (d) fruits and parts and derivatives thereof of artificially propagated plants of the genus *Vanilla*.
- #2 Designates all parts and derivatives, except:
 - (a) seeds and pollen; and
 - (b) finished products packaged and ready for retail trade.
- #3 Designates whole and sliced roots and parts of roots, excluding manufactured parts or derivatives, such as powders, pills, extracts, tonics, teas and confectionery.
- #4 Designates all parts and derivatives, except:
 - (a) seeds (including seedpods of Orchidaceae), spores and pollen (including pollinia). The exemption does not apply to seeds from Cactaceae spp. exported from Mexico, and to seeds from *Beccariophoenix madagascariensis* and *Neodypsis decaryi* exported from Madagascar;
 - (b) seedling or tissue cultures obtained *in vitro*, in solid or liquid media, transported in sterile containers;
 - (c) cut flowers of artificially propagated plants;
 - (d) fruits and parts and derivatives thereof of naturalised or artificially propagated plants of the genus *Vanilla* (Orchidaceae) and of the family Cactaceae;
 - (e) stems, flowers, and parts and derivatives thereof of naturalised or artificially propagated plants of the genera *Opuntia* subgenus *Opuntia* and *Selenicereus* (Cactaceae); and
 - (f) finished products of *Euphorbia antisyphilitica* packaged and ready for retail trade.
- #5 Designates logs, sawn wood and veneer sheets.
- #6 Designates logs, sawn wood, veneer sheets and plywood.
- #7 Designates logs, woodchips, powder and extracts.
- #8 Designates underground parts (i.e. roots, rhizomes): whole, parts and powdered.

▼ M19

- #9 Designates all parts and derivatives, except those bearing a label 'Produced from *Hoodia* spp. material obtained through controlled harvesting and production under the terms of an agreement with the relevant CITES Management Authority of [Botswana under agreement No. BW/xxxxxx] [Namibia under agreement No. NA/xxxxxx] [South Africa under agreement No. ZA/xxxxxx]'.
- #10 Designates logs, sawn wood, veneer sheets, including unfinished wood articles used for the fabrication of bows for stringed musical instruments.
- #11 Designates logs, sawn wood, veneer sheets, plywood, powder and extracts.
- #12 Designates logs, sawn wood, veneer sheets, plywood and extracts. Finished products containing such extracts as ingredients, including fragrances, are not considered to be covered by this annotation.
- #13 Designates the kernel (also known as 'endosperm', 'pulp' or 'copra') and any derivative thereof.
- #14 Designates all parts and derivatives, except:
 - (a) seeds and pollen;
 - (b) seedling or tissue cultures obtained *in vitro*, in solid or liquid media, transported in sterile containers;
 - (c) fruits;
 - (d) leaves;
 - (e) exhausted agarwood powder, including compressed powder in all shapes; and
 - (f) finished products packaged and ready for retail trade, this exemption does not apply to beads, prayer beads and carvings.

13. The terms and expressions below, used in annotations in these Annexes, are defined as follows:

Extract

Any substance obtained directly from plant material by physical or chemical means regardless of the manufacturing process. An extract may be solid (e.g. crystals, resin, fine or coarse particles), semisolid (e.g. gums, waxes) or liquid (e.g. solutions, tinctures, oil and essential oils).

Finished products packaged and ready for retail trade

Products, shipped singly or in bulk, requiring no further processing, packaged, labelled for final use or the retail trade in a state fit for being sold to or used by the general public.

Powder

A dry, solid substance in the form of fine or coarse particles

Woodchips

Wood that has been reduced to small pieces

▼M19

14. As none of the species or higher taxa of flora included in Annex A is annotated to the effect that its hybrids shall be treated in accordance with Article 4(1), this means that artificially propagated hybrids produced from one or more of these species or taxa may be traded with a certificate of artificial propagation, and that seeds and pollen (including pollinia), cut flowers, seedling or tissue cultures obtained *in vitro*, in solid or liquid media, transported in sterile containers of these hybrids are not subject to this Regulation.
15. Urine, faeces and ambergris which are waste products and gained without the manipulation of the animal concerned are not subject to this Regulation.
16. In respect of fauna species listed in Annex D, this Regulation shall apply only to live specimens and whole, or substantially whole, dead specimens except for taxa which are annotated as follows to show that other parts and derivatives are also covered:
 - § 1 Any whole, or substantially whole, skins, raw or tanned.
 - § 2 Any feathers or any skin or other part with feathers on it.
17. In respect of flora species listed in Annex D, this Regulation shall apply only to live specimens except for taxa which are annotated as follows to show that other parts and derivatives are also covered:
 - § 3 Dried and fresh plants, including, where appropriate; leaves, roots/root-stock, stems, seeds/spores, bark and fruits.
 - § 4 Logs, sawn wood and veneer sheets.

▼ M19

	Annex A	Annex B	Annex C	Common name
FAUNA				
CHORDATA (CHORDATES)				
MAMMALIA				Mammals
ARTIODACTYLA				
Antilocapridae	<i>Antilocapra americana</i> (I) (Only the population of Mexico; no other population is included in the Annexes to this Regulation)			Pronghorn Mexican pronghorn
Bovidae	<i>Addax nasomaculatus</i> (I)	<i>Ammotragus lervia</i> (II)	<i>Antilope cervicapra</i> (III Nepal/Pakistan)	Antelopes, cattle, duikers, gazelles, goats, sheep, etc. Addax Barbary sheep Blackbuck Wood bison Gaur Wild yak Kouprey Nilgai Wild Asiatic buffalo Lowland anoa
	<i>Bos gaurus</i> (I) (Excludes the domesticated form referenced as <i>Bos frontalis</i> which is not subject to this Regulation)	<i>Bison bison athabasca</i> (II)		
	<i>Bos mutus</i> (I) (Excludes the domesticated form referenced as <i>Bos grunniens</i> which is not subject to this Regulation)			
	<i>Bos sauveli</i> (I)		<i>Boselaphus tragocamelus</i> (III Pakistan)	
			<i>Bubalus arnee</i> (III Nepal) (Excludes the domesticated form referenced as <i>Bubalus bubalis</i> , which is not subject to this Regulation)	
	<i>Bubalus depressicornis</i> (I)			

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	Annex A	Annex B	Annex C	Common name
	<i>Bubalus mindorensis</i> (I) <i>Bubalus quarlesi</i> (I)	<i>Budorcas taxicolor</i> (II)	<i>Capra hircus aegagrus</i> (III Pakistan) (Specimens of the domesticated form are not subject to this Regulation) <i>Capra sibirica</i> (III Pakistan)	Tamarau Mountain anoa Takin Markhor Wild goat Siberian Ibex
	<i>Capricornis milneedwardsii</i> (I) <i>Capricornis rubidus</i> (I) <i>Capricornis sumatraensis</i> (I) <i>Capricornis thar</i> (I)	<i>Cephalophus brookei</i> (II) <i>Cephalophus dorsalis</i> (II)		Chinese serow Red serow Sumatran serow Himalayan serow
	<i>Cephalophus jentinki</i> (I)	<i>Cephalophus ogilbyi</i> (II) <i>Cephalophus silvicultor</i> (II) <i>Cephalophus zebra</i> (II) <i>Damaliscus pygargus pygargus</i> (II)		Brooke's duiker Bay duiker Jentink's duiker Ogilby's duiker Yellow-backed duiker Zebra duiker Bontebok
	<i>Gazella cuvieri</i> (I)		<i>Gazella bennettii</i> (III Pakistan)	Chinkara Cuvier's gazelle
	<i>Gazella leptoceros</i> (I) <i>Hippotragus niger variani</i> (I)		<i>Gazella dorcas</i> (III Algeria/Tunisia)	Dorcas gazelle
	<i>Naemohedus baileyi</i> (I) <i>Naemohedus caudatus</i> (I) <i>Naemohedus goral</i> (I) <i>Naemohedus griseus</i> (I)	<i>Kobus leche</i> (II)		Slender-horned gazelle Giant sable antelope Lechwe Red goral Long-tailed goral Himalayan goral Chinese goral

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	Annex A	Annex B	Annex C	Common name
	<i>Nanger dama</i> (I)			Dama gazelle
	<i>Oryx dammah</i> (I)			Scimitar-horned oryx
	<i>Oryx leucoryx</i> (I)			Arabian oryx
		<i>Ovis ammon</i> (II) (Except for the subspecies included in Annex A)		Argali
	<i>Ovis ammon hodgsonii</i> (I)			Tibetan argali
	<i>Ovis ammon nigrimontana</i> (I)			Kara Tau argali
		<i>Ovis canadensis</i> (II) (Only the population of Mexico; no other population is included in the Annexes to this Regulation)		Mexican bighorn sheep
	<i>Ovis orientalis ophion</i> (I)			Cyprus mouflon
		<i>Ovis vignei</i> (II) (Except for the subspecies included in Annex A)		Urial
	<i>Ovis vignei vignei</i> (I)			Ladakh urial
	<i>Pantholops hodgsonii</i> (I)			Chiru
		<i>Philantomba monticola</i> (II)		Blue duiker
	<i>Pseudoryx nghetinhensis</i> (I)		<i>Pseudois nayaur</i> (III Pakistan)	Bharal
	<i>Rupicapra pyrenaica ornata</i> (II)			Saola
		<i>Saiga borealis</i> (II)		Abruzzo chamois
		<i>Saiga tatarica</i> (II)		Mongolian saiga
			<i>Tetracerus quadricornis</i> (III Nepal)	Steppe saiga
				Four-horned antelope
Camelidae		<i>Lama guanicoe</i> (II)		Camels, guanaco, vicuña
				Guanaco

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	Annex A	Annex B	Annex C	Common name
Cervidae	<i>Vicugna vicugna</i> (I) (Except for the populations of: Argentina [the populations of the Provinces of Jujuy and Catamarca and the semi-captive populations of the Provinces of Jujuy, Salta, Catamarca, La Rioja and San Juan]; Bolivia [the whole population]; Chile [population of the Primera Región]; Ecuador [the whole population] and Peru [the whole population]; which are included in Annex B)	<i>Vicugna vicugna</i> (II) (Only the populations of Argentina ⁽¹⁾ [the populations of the Provinces of Jujuy and Catamarca and the semi-captive populations of the Provinces of Jujuy, Salta, Catamarca, La Rioja and San Juan]; Bolivia ⁽²⁾ [the whole population]; Chile ⁽³⁾ [population of the Primera Región]; Ecuador ⁽⁴⁾ [the whole population] and Peru ⁽⁵⁾ [the whole population]; all other populations are included in Annex A)		Vicuña
	<i>Axis calamianensis</i> (I)			Deer, huemuls, muntjacs, pudus
	<i>Axis kuhlii</i> (I)			Calamian deer
			<i>Axis porcinus</i> (III Pakistan (Except for the subspecies included in Annex A))	Bawean deer
	<i>Axis porcinus annamiticus</i> (I)			Hog deer
	<i>Blastocerus dichotomus</i> (I)			Indochina hog deer
		<i>Cervus elaphus bactrianus</i> (II)		Marsh deer
	<i>Cervus elaphus hanglu</i> (I)		<i>Cervus elaphus barbarus</i> (III Algeria/Tunisia)	Bactrian deer
	<i>Dama dama mesopotamica</i> (I)			Barbary deer
	<i>Hippocamelus</i> spp. (I)		<i>Mazama temama cerasina</i> (III Guatemala)	Hangul
	<i>Muntiacus crinifrons</i> (I)			Persian fallow deer
	<i>Muntiacus vuquangensis</i> (I)			Huemuls
			<i>Odocoileus virginianus mayensis</i> (III Guatemala)	Central American red brocket
	<i>Ozotoceros bezoarticus</i> (I)			Black muntjac
				Giant muntjac
				Guatemalan white-tailed deer
				Pampas deer

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	Annex A	Annex B	Annex C	Common name
		<i>Pudu mephistophiles</i> (II)		Northern pudu
	<i>Pudu puda</i> (I)			Southern pudu
	<i>Rucervus duvaucelii</i> (I)			Barasingha
	<i>Rucervus eldii</i> (I)			Eld's deer
Hippopotamidae				Hippopotamuses
		<i>Hexaprotodon liberiensis</i> (II)		Pygmy hippopotamus
		<i>Hippopotamus amphibius</i> (II)		Common hippopotamus
Moschidae				Musk deer
	<i>Moschus</i> spp. (I) (Only the populations of Afghanistan, Bhutan, India, Myanmar, Nepal and Pakistan; all other populations are included in Annex B)	<i>Moschus</i> spp. (II) (Except for the populations of Afghanistan, Bhutan, India, Myanmar, Nepal and Pakistan, which are included in Annex A)		Musk deer
Suidae				Babirusa, hogs, pigs
	<i>Babyrusa babyrussa</i> (I)			Buru babirusa
	<i>Babyrusa bolabatuensis</i> (I)			Bola Batu babirusa
	<i>Babyrusa celebensis</i> (I)			North Sulawesi babirusa
	<i>Babyrusa togeanensis</i> (I)			Malenge babirusa
	<i>Sus salvanius</i> (I)			Pygmy hog
Tayassuidae				Peccaries
		Tayassuidae spp. (II) (Except for the species included in Annex A and excluding the populations of <i>Pecari tajacu</i> of Mexico and the United States, which are not included in the Annexes to this Regulation)		Peccaries
	<i>Catagonus wagneri</i> (I)			Chacoan peccary

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	Annex A	Annex B	Annex C	Common name
CARNIVORA				
Ailuridae	<i>Ailurus fulgens</i> (I)			Red panda
Canidae	<i>Canis lupus</i> (I/II) (All populations except those of Spain north of the Duero and Greece north of the 39th parallel. Populations of Bhutan, India, Nepal and Pakistan are listed in Appendix I; all other populations are listed in Appendix II. Excludes the domesticated form and the dingo which are referenced as <i>Canis lupus familiaris</i> and <i>Canis lupus dingo</i>) <i>Canis simensis</i> <i>Speothos venaticus</i> (I)	<i>Canis lupus</i> (II) (Populations of Spain north of the Duero and Greece north of the 39th parallel. Excludes the domesticated form and the dingo which are referenced as <i>Canis lupus familiaris</i> and <i>Canis lupus dingo</i>) <i>Cerdocyon thous</i> (II) <i>Chrysocyon brachyurus</i> (II) <i>Cuon alpinus</i> (II) <i>Lycalopex culpaeus</i> (II) <i>Lycalopex fulvipes</i> (II) <i>Lycalopex griseus</i> (II) <i>Lycalopex gymnocercus</i> (II) <i>Vulpes cana</i> (II)	<i>Canis aureus</i> (III India) <i>Vulpes bengalensis</i> (III India)	Dogs, foxes, wolves Golden jackal Grey wolf Ethiopian wolf Crab-eating fox Maned wolf Dhole Culpeo Darwin's fox South American grey fox Pampas fox Bush dog Bengal fox Blanford's fox

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	Annex A	Annex B	Annex C	Common name
Eupleridae		<i>Vulpes zerda</i> (II)		Fennec fox
		<i>Cryptoprocta ferox</i> (II)		Fossa
		<i>Eupleres goudotii</i> (II)		Falanouc
		<i>Fossa fossana</i> (II)		Malagasy civet
Felidae		Felidae spp. (II) (Except for the species included in Annex A. Specimens of the domesticated form are not subject to this Regulation)		Cats, cheetahs, leopards, lions, tigers, etc. Cats
	<i>Acinonyx jubatus</i> (I) (Annual export quotas for live specimens and hunting trophies are granted as follows: Botswana: 5; Namibia: 150; Zimbabwe: 50. The trade in such specimens is subject to Article 4(1))			Cheetah
	<i>Caracal caracal</i> (I) (Only the population of Asia; all other populations are included in Annex B)			Asian Caracal
	<i>Catopuma temminckii</i> (I)			Asian golden cat
	<i>Felis nigripes</i> (I)			Black-footed cat
	<i>Felis silvestris</i> (II)			Wild cat
	<i>Leopardus geoffroyi</i> (I)			Geoffroy's cat
	<i>Leopardus jacobitus</i> (I)			Andean mountain cat
	<i>Leopardus pardalis</i> (I)			Ocelot

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	Annex A	Annex B	Annex C	Common name
	<i>Leopardus tigrinus</i> (I) <i>Leopardus wiedii</i> (I) <i>Lynx lynx</i> (II) <i>Lynx pardinus</i> (I) <i>Neofelis nebulosa</i> (I) <i>Panthera leo persica</i> (I) <i>Panthera onca</i> (I) <i>Panthera pardus</i> (I) <i>Panthera tigris</i> (I) <i>Pardofelis marmorata</i> (I) <i>Prionailurus bengalensis bengalensis</i> (I) (Only the populations of Bangladesh, India and Thailand; all other populations are included in Annex B) <i>Prionailurus iriomotensis</i> (II) <i>Prionailurus planiceps</i> (I) <i>Prionailurus rubiginosus</i> (I) (Only the population of India; all other populations are included in Annex B) <i>Puma concolor coryi</i> (I) <i>Puma concolor costaricensis</i> (I) <i>Puma concolor couguar</i> (I) <i>Puma yagouaroundi</i> (I) (Only the populations of Central and North America; all other populations are included in Annex B) <i>Uncia uncia</i> (I)			Oncilla Margay Eurasian lynx Iberian lynx Clouded leopard Asiatic lion Jaguar Leopard Tiger Marbled cat Bengal leopard cat Iriomote cat Flat-headed cat Rusty-spotted cat Florida cougar Costa Rican cougar Eastern cougar Jaguarundi Snow leopard

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	Annex A	Annex B	Annex C	Common name
Herpestidae			<i>Herpestes edwardsi</i> (III India/ Pakistan) <i>Herpestes fuscus</i> (III India) <i>Herpestes javanicus</i> (III Pakistan) <i>Herpestes javanicus auropunctatus</i> (III India) <i>Herpestes smithii</i> (III India) <i>Herpestes urva</i> (III India) <i>Herpestes vitticollis</i> (III India)	Mongoose Indian grey mongoose Indian brown mongoose Small Asian mongoose Small Indian mongoose Ruddy mongoose Crab-eating mongoose Stripe-necked mongoose
Hyaenidae			<i>Hyaena hyaena</i> (III Pakistan) <i>Proteles cristata</i> (III Botswana)	Aardwolf, hyenas Striped hyena Aardwolf
Mephitidae		<i>Conepatus humboldtii</i> (II)		Skunks Humboldt's hog-nosed skunk
Mustelidae				Badgers, martens, weasels, etc.
Lutrinae		Lutrinae spp. (II) (Except for the species included in Annex A)		Otters Otters Cameroon clawless otter Southern sea otter Marine otter Neotropical otter Southern river otter European otter Japanese otter
	<i>Aonyx capensis microdon</i> (I) (Only the populations of Cameroon and Nigeria; all other populations are included in Annex B) <i>Enhydra lutris nereis</i> (I) <i>Lontra felina</i> (I) <i>Lontra longicaudis</i> (I) <i>Lontra provocax</i> (I) <i>Lutra lutra</i> (I) <i>Lutra nippon</i> (I)			

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	Annex A	Annex B	Annex C	Common name
Mustelinae	<i>Pteronura brasiliensis</i> (I)		<i>Eira barbara</i> (III Honduras) <i>Galictis vittata</i> (III Costa Rica) <i>Martes flavigula</i> (III India) <i>Martes foina intermedia</i> (III India) <i>Martes gwatkinsii</i> (III India) <i>Mellivora capensis</i> (III Botswana)	Giant otter Grisons, martens, tayra, weasels Tayra Greater grison Yellow-throated marten Stone marten Nilgiri marten Honey badger
Odobenidae	<i>Mustela nigripes</i> (I)	<i>Odobenus rosmarus</i> (III Canada)		Black-footed ferret Walrus Walrus
Otariidae		<i>Arctocephalus</i> spp. (II) (Except for the species included in Annex A)		Fur seals, sealions Fur seals
Phocidae	<i>Arctocephalus philippii</i> (II) <i>Arctocephalus townsendi</i> (I)			Juan Fernández fur seal Guadalupe fur seal Seals Southern elephant seal
Procyonidae	<i>Monachus</i> spp. (I)	<i>Mirounga lionina</i> (II)	<i>Bassaricyon gabbii</i> (III Costa Rica) <i>Bassariscus sumichrasti</i> (III Costa Rica) <i>Nasua narica</i> (III Honduras)	Monk seals Coatis, olingos Olingo Cacomistle White-nosed coati

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	Annex A	Annex B	Annex C	Common name
Ursidae			<i>Nasua nasua solitaria</i> (III Uruguay)	South Brazilian coati
			<i>Potos flavus</i> (III Honduras)	Kinkajou
		Ursidae spp. (II) (Except for the species included in Annex A)		Bears
				Bears
	<i>Ailuropoda melanoleuca</i> (I)			Giant panda
	<i>Helarctos malayanus</i> (I)			Sun bear
	<i>Melursus ursinus</i> (I)			Sloth bear
	<i>Tremarctos ornatus</i> (I)			Spectacled bear
	<i>Ursus arctos</i> (I/II) (Only the populations of Bhutan, China, Mexico and Mongolia and the subspecies <i>Ursus arctos isabellinus</i> are listed in Appendix I; all other populations and subspecies are listed in Appendix II)			Brown bear
	<i>Ursus thibetanus</i> (I)			Asian black bear
Viverridae				Binturong, civets, linsangs, otter-civet, palm civet
			<i>Arctictis binturong</i> (III India)	Binturong
			<i>Civettictis civetta</i> (III Botswana)	African civet
				Otter civet
				Banded palm civet
			<i>Paguma larvata</i> (III India)	Masked palm civet
			<i>Paradoxurus hermaphroditus</i> (III India)	Asian palm civet
			<i>Paradoxurus jerdoni</i> (III India)	Jerdon's palm civet
		<i>Cynogale bennettii</i> (II)		
		<i>Hemigalus derbyanus</i> (II)		

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	Annex A	Annex B	Annex C	Common name
	<i>Prionodon pardicolor</i> (I)	<i>Prionodon linsang</i> (II)		Banded linsang
				Spotted linsang
			<i>Viverra civettina</i> (III India)	Malabar large-spotted civet
			<i>Viverra zibetha</i> (III India)	Large Indian civet
			<i>Viverricula indica</i> (III India)	Small Indian civet
CETACEA				Cetaceans (dolphins, porpoises, whales)
	CETACEA spp. (I/II) ⁽⁶⁾			Cetaceans
CHIROPTERA				
Phyllostomidae				Broad-nosed bats
			<i>Platyrrhinus lineatus</i> (III Uruguay)	White-lined bat
Pteropodidae				Fruit bats, flying foxes
		<i>Acerodon</i> spp. (II) (Except for the species included in Annex A)		Flying foxes
	<i>Acerodon jubatus</i> (I)			Golden-capped fruit bat
		<i>Pteropus</i> spp. (II) (Except for the species included in Annex A and except for <i>Pteropus brunneus</i> .)		Flying foxes
	<i>Pteropus insularis</i> (I)			Ruck flying fox
	<i>Pteropus livingstonii</i> (II)			Comoro flying fox
	<i>Pteropus loochoensis</i> (I)			Japanese flying fox
	<i>Pteropus mariannus</i> (I)			Marianas flying fox
	<i>Pteropus molossinus</i> (I)			Caroline flying fox
	<i>Pteropus pelewensis</i> (I)			Pelew flying fox
	<i>Pteropus pilosus</i> (I)			Large Pelew flying fox
	<i>Pteropus rodricensis</i> (II)			Rodrigues flying fox

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	Annex A	Annex B	Annex C	Common name
	<i>Pteropus samoensis</i> (I) <i>Pteropus tonganus</i> (I) <i>Pteropus ualanus</i> (I) <i>Pteropus voeltzkowi</i> (II) <i>Pteropus yapensis</i> (I)			Samoan flying fox Pacific flying fox Kosrae flying fox Pemba flying fox Yap flying fox
CINGULATA				
Dasypodidae				Armadillos
			<i>Cabassous centralis</i> (III Costa Rica)	Northern naked-tailed armadillo
			<i>Cabassous tatouay</i> (III Uruguay)	Greater naked-tailed armadillo
		<i>Chaetophractus nationi</i> (II) (A zero annual export quota has been established. All specimens shall be deemed to be specimens of species included in Annex A and the trade in them shall be regulated accordingly)		Andean hairy armadillo
	<i>Priodontes maximus</i> (I)			Giant armadillo
DASYUROMORPHIA				
Dasyuridae				Dunnarts, marsupial mice, planigales
	<i>Sminthopsis longicaudata</i> (I)			Long-tailed dunnart
	<i>Sminthopsis psammophila</i> (I)			Sandhill dunnart
DIPROTODONTIA				
Macropodidae				Kangaroos, wallabies
		<i>Dendrolagus inustus</i> (II)		Grizzled tree-kangaroo

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	Annex A	Annex B	Annex C	Common name
		<i>Dendrolagus ursinus</i> (II)		Ursine tree-kangaroo
	<i>Lagorchestes hirsutus</i> (I)			Rufous hare-wallaby
	<i>Lagostrophus fasciatus</i> (I)			Banded hare-wallaby
	<i>Onychogalea fraenata</i> (I)			Bridled nail-tail wallaby
Phalangeridae				Cuscus
		<i>Phalanger intercastellanus</i> (II)		Eastern common cuscus
		<i>Phalanger mimicus</i> (II)		Southern common cuscus
		<i>Phalanger orientalis</i> (II)		Northern common cuscus
		<i>Spilocuscus kraemeri</i> (II)		Admiralty Island cuscus
		<i>Spilocuscus maculatus</i> (II)		Common spotted cuscus
		<i>Spilocuscus papuensis</i> (II)		Waigeou cuscus
Potoroidae				Rat-kangaroos
	<i>Bettongia</i> spp. (I)			Bettongs
Vombatidae				Wombats
	<i>Lasiorhinus krefftii</i> (I)			Northern hairy-nosed wombat
LAGOMORPHA				
Leporidae				Hares, rabbits
	<i>Caprolagus hispidus</i> (I)			Hispid hare
	<i>Romerolagus diazi</i> (I)			Volcano rabbit
MONOTREMATA				
Tachyglossidae				Echidnas, spiny anteaters
		<i>Zaglossus</i> spp. (II)		Long-beaked echidnas

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	Annex A	Annex B	Annex C	Common name
PERAMELEMORPHIA				
Peramelidae				
	<i>Perameles bougainville</i> (I)			Western barred bandicoot
Thylacomyidae				
	<i>Macrotis lagotis</i> (I)			Greater bilby
PERISSODACTYLA				
Equidae				Horses, wild asses, zebras
	<i>Equus africanus</i> (I) (Excludes the domesticated form referenced as <i>Equus asinus</i> , which is not subject to this Regulation)			African ass
	<i>Equus grevyi</i> (I)			Grévy's zebra
	<i>Equus hemionus</i> (I/II) (The species is listed in Appendix II but subspecies <i>Equus hemionus hemionus</i> and <i>Equus hemionus khur</i> are listed in Appendix I)			Asiatic wild ass
	<i>Equus kiang</i> (II)			Kiang
	<i>Equus przewalskii</i> (I)			Przewalski's horse
		<i>Equus zebra hartmannae</i> (II)		Hartmann's mountain zebra
	<i>Equus zebra zebra</i> (I)			Cape mountain zebra
Rhinocerotidae				Rhinoceroses

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	Annex A	Annex B	Annex C	Common name
	Rhinocerotidae spp. (I) (Except for the subspecies included in Annex B)			Rhinoceroses
		<i>Ceratotherium simum simum</i> (II) (Only the populations of South Africa and Swaziland; all other populations are included in Annex A. For the exclusive purpose of allowing international trade in live animals to appropriate and acceptable destinations and trade in hunting trophies. All other specimens shall be deemed to be specimens of species included in Annex A and trade in them shall be regulated accordingly)		Southern white rhinoceros
Tapiridae				Tapirs
	Tapiridae spp. (I) (Except for the species included in Annex B)			Tapirs
		<i>Tapirus terrestris</i> (II)		South American tapir
PHOLIDOTA				
Manidae				Pangolins
		<i>Manis</i> spp. (II) (A zero annual export quota has been established for <i>Manis crassicaudata</i> , <i>Manis culionensis</i> , <i>Manis javanica</i> and <i>Manis pentadactyla</i> for specimens removed from the wild and traded for primarily commercial purposes)		Pangolins
PILOSA				
Bradypodidae				Three-toed sloths

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	Annex A	Annex B	Annex C	Common name
		<i>Bradypus pygmaeus</i> (II)		Pygmy three-toed sloth
		<i>Bradypus variegatus</i> (II)		Brown-throated sloth
Megalonychidae				Two-toed sloth
			<i>Choloepus hoffmanni</i> (III Costa Rica)	Hoffmann's two-toed sloth
Myrmecophagidae				American anteaters
		<i>Myrmecophaga tridactyla</i> (II)		Giant anteater
			<i>Tamandua mexicana</i> (III Guatemala)	Northern tamandua
PRIMATES				Primates (apes and monkeys)
		PRIMATES spp. (II) (Except for the species included in Annex A)		Primates
Atelidae				Howlers, spider monkeys
	<i>Alouatta coibensis</i> (I)			Coiba Island howler
	<i>Alouatta palliata</i> (I)			Mantled howler
	<i>Alouatta pigra</i> (I)			Guatemalan black howler
	<i>Ateles geoffroyi frontatus</i> (I)			Black-browed spider monkey
	<i>Ateles geoffroyi panamensis</i> (I)			Red spider monkey
	<i>Brachyteles arachnoides</i> (I)			Southern muriqui
	<i>Brachyteles hypoxanthus</i> (I)			Northern muriqui
	<i>Oreonax flavicauda</i> (I)			Yellow-tailed woolly monkey
Cebidae				Marmosets, tamarins, New-world monkeys
	<i>Callimico goeldii</i> (I)			Goeldi's marmoset
	<i>Callithrix aurita</i> (I)			Buffy-tufted marmoset
	<i>Callithrix flaviceps</i> (I)			Buffy-headed marmoset
	<i>Leontopithecus</i> spp. (I)			Lion tamarins

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	Annex A	Annex B	Annex C	Common name
Cercopithecidae	<i>Saguinus bicolor</i> (I)			Pied tamarin
	<i>Saguinus geoffroyi</i> (I)			Geoffroy's tamarin
	<i>Saguinus leucopus</i> (I)			White-footed tamarin
	<i>Saguinus martinsi</i> (I)			Martins' bare-face tamarin
	<i>Saguinus oedipus</i> (I)			Cottontop tamarin
	<i>Saimiri oerstedii</i> (I)			Central American squirrel monkey
				Old-world monkeys
	<i>Cercocebus galeritus</i> (I)			Tana River mangabey
	<i>Cercopithecus diana</i> (I)			Diana monkey
	<i>Cercopithecus roloway</i> (I)			Roloway monkey
	<i>Cercopithecus solatus</i> (II)			Sun-tailed monkey
	<i>Colobus satanas</i> (II)			Black colobus
	<i>Macaca silenus</i> (I)			Lion-tailed macaque
	<i>Mandrillus leucophaeus</i> (I)			Drill
	<i>Mandrillus sphinx</i> (I)			Mandrill
	<i>Nasalis larvatus</i> (I)			Proboscis monkey
	<i>Piliocolobus foai</i> (II)			Central African red colobus
	<i>Piliocolobus gordonorum</i> (II)			Uzungwa red colobus
	<i>Piliocolobus kirkii</i> (I)			Zanzibar red colobus
	<i>Piliocolobus pennantii</i> (II)			Pennant's red colobus
	<i>Piliocolobus preussi</i> (II)			Preuss's red colobus
	<i>Piliocolobus rufomitratu</i> (I)			Tana River red colobus
	<i>Piliocolobus tephrosceles</i> (II)			Ugandan red colobus
	<i>Piliocolobus tholloni</i> (II)			Thollon's red colobus
	<i>Presbytis potenziani</i> (I)			Mentawai langur

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	Annex A	Annex B	Annex C	Common name
	<i>Pygathrix</i> spp. (I)			Douc langurs
	<i>Rhinopithecus</i> spp. (I)			Snub-nosed monkeys
	<i>Semnopithecus ajax</i> (I)			Kashmir grey langur
	<i>Semnopithecus dussumieri</i> (I)			Southern Plains grey langur
	<i>Semnopithecus entellus</i> (I)			Northern Plains grey langur
	<i>Semnopithecus hector</i> (I)			Tarai grey langur
	<i>Semnopithecus hypoleucos</i> (I)			Black-footed grey langur
	<i>Semnopithecus priam</i> (I)			Tufted grey langur
	<i>Semnopithecus schistaceus</i> (I)			Nepal grey langur
	<i>Simias concolor</i> (I)			Simakobou
	<i>Trachypithecus delacouri</i> (II)			Delacour's langur
	<i>Trachypithecus francoisi</i> (II)			François's langur
	<i>Trachypithecus geei</i> (I)			Gee's golden langur
	<i>Trachypithecus hatinhensis</i> (II)			Hatinh langur
	<i>Trachypithecus johnii</i> (II)			Nilgiri langur
	<i>Trachypithecus laotum</i> (II)			Laotian langur
	<i>Trachypithecus pileatus</i> (I)			Capped langur
	<i>Trachypithecus poliocephalus</i> (II)			White-headed langur
	<i>Trachypithecus shortridgei</i> (I)			Shortridge's langur
Cheirogaleidae				Dwarf lemurs and mouse-lemurs
	Cheirogaleidae spp. (I)			Dwarf lemurs and mouse lemurs
Daubentoniidae				Aye-aye
	<i>Daubentonia madagascariensis</i> (I)			Aye-aye
Hominidae				Chimpanzees, gorillas, orang-utan
	<i>Gorilla beringei</i> (I)			Eastern gorilla

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	Annex A	Annex B	Annex C	Common name
	<i>Gorilla gorilla</i> (I)			Western gorilla
	<i>Pan</i> spp. (I)			Chimpanzee and bonobo
	<i>Pongo abelii</i> (I)			Sumatran orang-utan
	<i>Pongo pygmaeus</i> (I)			Bornean orang-utan
Hylobatidae				Gibbons
	Hylobatidae spp. (I)			Gibbons
Indriidae				Indri, sifakas and woolly lemurs
	Indriidae spp. (I)			Indri, sifakas and woolly lemurs
Lemuridae				Large lemurs
	Lemuridae spp. (I)			Large lemurs
Lepilemuridae				Sportive lemurs
	Lepilemuridae spp. (I)			Sportive lemurs
Lorisidae				Lorises
	<i>Nycticebus</i> spp. (I)			Slow lorises
Pitheciidae				Uacaris, titis, sakis
	<i>Cacajao</i> spp. (I)			Uacaris
	<i>Callicebus barbarabrownae</i> (II)			Barbara Brown's titi
	<i>Callicebus melanochir</i> (II)			Coastal Black-handed titi
	<i>Callicebus nigrifrons</i> (II)			Black-fronted titi
	<i>Callicebus personatus</i> (II)			Atlantic titi
	<i>Chiropotes albinasus</i> (I)			White-nosed saki
Tarsiidae				Tarsiers
	<i>Tarsius</i> spp. (II)			Tarsiers
PROBOSCIDEA				
Elephantidae				Elephants

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	Annex A	Annex B	Annex C	Common name
	<i>Elephas maximus</i> (I)			Asian elephant
	<i>Loxodonta africana</i> (I) (Except for the populations of Botswana, Namibia, South Africa and Zimbabwe, which are included in Annex B)	<i>Loxodonta africana</i> (II) (Only the populations of Botswana, Namibia, South Africa and Zimbabwe ⁽⁷⁾ ; all other populations are included in Annex A)		African elephant
RODENTIA				
Chinchillidae				Chinchillas
	<i>Chinchilla</i> spp. (I) (Specimens of the domesticated form are not subject to this Regulation)			Chinchillas
Cuniculidae				Pacas
			<i>Cuniculus paca</i> (III Honduras)	Lowland paca
Dasyproctidae				Agoutis
			<i>Dasyprocta punctata</i> (III Honduras)	Central American agouti
Erethizontidae				New-world porcupines
			<i>Sphiggurus mexicanus</i> (III Honduras)	Mexican hairy dwarf porcupine
			<i>Sphiggurus spinosus</i> (III Uruguay)	Paraguaian hairy dwarf porcupine
Hystriidae				Old-world porcupines
	<i>Hystrix cristata</i>			Crested porcupine
Muridae				Mice, rats
	<i>Leporillus conditor</i> (I)			Greater stick-nest rat
	<i>Pseudomys fieldi praeconis</i> (I)			Shark Bay mouse
	<i>Xeromys myoides</i> (I)			False water rat

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	Annex A	Annex B	Annex C	Common name
Sciuridae	<i>Zyomys pedunculatus</i> (I)			Central Australian rock rat
		<i>Callosciurus erythraeus</i> (Only live specimens)		Ground squirrels, tree squirrels
	<i>Cynomys mexicanus</i> (I)			Pallas's Squirrel
			<i>Marmota caudata</i> (III India)	Mexican prairie dog
			<i>Marmota himalayana</i> (III India)	Long-tailed marmot
		<i>Ratufa</i> spp. (II)		Himalayan marmot
		<i>Sciurus carolinensis</i> (Only live specimens)		Giant squirrels
		<i>Sciurus niger</i> (Only live specimens)		Grey squirrel
			<i>Sciurus deppei</i> (III Costa Rica)	Deppe's squirrel
				Eastern Fox Squirrel
SCANDENTIA		SCANDENTIA spp. (II)		Treeshrews
SIRENIA				
Dugongidae				Dugong
	<i>Dugong dugon</i> (I)			Dugong
Trichechidae				Manatees
	<i>Trichechus inunguis</i> (I)			
	<i>Trichechus manatus</i> (I)			
	<i>Trichechus senegalensis</i> (I)			
AVES				Birds
ANSERIFORMES				
Anatidae				Ducks, geese, swans, etc.
	<i>Anas aucklandica</i> (I)			Auckland Islands teal
		<i>Anas bernieri</i> (II)		Madagascar teal

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	Annex A	Annex B	Annex C	Common name
	<i>Anas chlorotis</i> (I)	<i>Anas formosa</i> (II)		Brown teal
	<i>Anas laysanensis</i> (I)			Baikal teal
	<i>Anas nesiotis</i> (I)			Laysan duck
	<i>Anas querquedula</i>			Campbell Island teal
	<i>Asarcornis scutulata</i> (I)			Garganey
	<i>Aythya innotata</i>			White-winged duck
	<i>Aythya nyroca</i>			Madagascar pochard
	<i>Branta canadensis leucopareia</i> (I)			Ferruginous duck
	<i>Branta ruficollis</i> (II)			Aleutian goose
	<i>Branta sandvicensis</i> (I)			Red-breasted goose
			<i>Cairina moschata</i> (III Honduras)	Nene
		<i>Coscoroba coscoroba</i> (II)		Muscovy duck
		<i>Cygnus melancoryphus</i> (II)		Coscoroba swan
		<i>Dendrocygna arborea</i> (II)		Black-necked swan
			<i>Dendrocygna autumnalis</i> (III Honduras)	West Indian whistling-duck
			<i>Dendrocygna bicolor</i> (III Honduras)	Black-bellied whistling-duck
	<i>Mergus octosetaceus</i>			Fulvous whistling-duck
	<i>Oxyura leucocephala</i> (II)	<i>Oxyura jamaicensis</i> (Only live specimens)		Brazilian merganser
	<i>Rhodonessa caryophyllacea</i> (possibly extinct) (I)			Ruddy duck
		<i>Sarkidiornis melanotos</i> (II)		White-headed duck
	<i>Tadorna cristata</i>			Pink-headed duck
				Comb duck
				Crested shelduck

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	Annex A	Annex B	Annex C	Common name
APODIFORMES				
Trochilidae		Trochilidae spp. (II) (Except for the species included in Annex A)		Hummingbirds Hummingbirds
	<i>Glaucis dohrnii</i> (I)			Hook-billed hermit
CHARADRIIFORMES				
Burhinidae			<i>Burhinus bistriatus</i> (III Guatemala)	Thick-knees Double-striped thick-knee
Laridae	<i>Larus relictus</i> (I)			Gulls, terns Relict gull
Scolopacidae	<i>Numenius borealis</i> (I) <i>Numenius tenuirostris</i> (I) <i>Tringa guttifer</i> (I)			Curlews, greenshanks Eskimo curlew Slender-billed curlew Nordmann's greenshank
CICONIIFORMES				
Ardeidae	<i>Ardea alba</i> <i>Bubulcus ibis</i> <i>Egretta garzetta</i>			Egrets, herons Great egret Cattle egret Little egret
Balaenicipitidae		<i>Balaeniceps rex</i> (II)		Shoebill, whale-headed stork Shoebill
Ciconiidae	<i>Ciconia boyciana</i> (I) <i>Ciconia nigra</i> (II) <i>Ciconia stormi</i>			Storks Oriental stork Black stork Storm's stork

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	Annex A	Annex B	Annex C	Common name
Phoenicopteridae	<i>Jabiru mycteria</i> (I)	Phoenicopteridae spp. (II) (Except for the species included in Annex A)		Jabiru
	<i>Leptoptilos dubius</i>			Greater adjutant stork
	<i>Mycteria cinerea</i> (I)			Milky stork
				Flamingos
Threskiornithidae	<i>Phoenicopus ruber</i> (II)	<i>Eudocimus ruber</i> (II)		Flamingos
				Greater flamingo
				Ibises, spoonbills
				Scarlet ibis
COLUMBIFORMES	<i>Geronticus calvus</i> (II)			Bald ibis
	<i>Geronticus eremita</i> (I)			Waldrapp
	<i>Nipponia nippon</i> (I)			Crested ibis
	<i>Platalea leucorodia</i> (II)			Eurasian spoonbill
	<i>Pseudibis gigantea</i>			Giant ibis
				Doves, pigeons
	<i>Caloenas nicobarica</i> (I)			Nicobar pigeon
	<i>Claravis godefrida</i>			Purple-winged ground-dove
	<i>Columba livia</i>			Rock pigeon
Columbidae	<i>Ducula mindorensis</i> (I)	<i>Gallicolumba luzonica</i> (II) <i>Goura</i> spp. (II)		Mindoro zone-tailed pigeon
				Luzon bleeding-heart
				Crowned-pigeons
	<i>Leptotila wellsi</i>			Grenada dove
	<i>Streptopelia turtur</i>			Pink pigeon
			<i>Nesoenas mayeri</i> (III Mauritius)	European turtle-dove

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	Annex A	Annex B	Annex C	Common name
CORACIIFORMES				
Bucerotidae				Hornbills
		<i>Aceros</i> spp. (II) (Except for the species included in Annex A)		Hornbills
	<i>Aceros nipalensis</i> (I)			Rufous-necked hornbill
		<i>Anorrhinus</i> spp. (II)		Hornbills
		<i>Anthracoceros</i> spp. (II)		Hornbills
		<i>Berenicornis</i> spp. (II)		Hornbills
		<i>Buceros</i> spp. (II) (Except for the species included in Annex A)		Hornbills
	<i>Buceros bicornis</i> (I)			Great hornbill
		<i>Penelopides</i> spp. (II)		Hornbills
	<i>Rhinoplax vigil</i> (I)			Helmeted hornbill
		<i>Rhyticeros</i> spp. (II) (Except for the species included in Annex A)		Hornbills
	<i>Rhyticeros subruficollis</i> (I)			Plain-pouched hornbill
CUCULIFORMES				
Musophagidae				Turacos
		<i>Tauraco</i> spp. (II) (Except for the species included in Annex A)		Turacos
	<i>Tauraco bannermani</i> (II)			Bannerman's turaco
FALCONIFORMES				Diurnal birds of prey (eagles, falcons, hawks, vultures)
		FALCONIFORMES spp. (II) (Except for the species included in Annex A; except for one species of the family Cathartidae included in Annex C; the other species of that family are not included in the Annexes to this Regulation; and except for <i>Caracara lutosa</i>)		Diurnal birds of prey

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	Annex A	Annex B	Annex C	Common name
Accipitridae	<i>Accipiter brevipes</i> (II) <i>Accipiter gentilis</i> (II) <i>Accipiter nisus</i> (II) <i>Aegypius monachus</i> (II) <i>Aquila adalberti</i> (I) <i>Aquila chrysaetos</i> (II) <i>Aquila clanga</i> (II) <i>Aquila heliaca</i> (I) <i>Aquila pomarina</i> (II) <i>Buteo buteo</i> (II) <i>Buteo lagopus</i> (II) <i>Buteo rufinus</i> (II) <i>Chondrohierax uncinatus wilsonii</i> (I) <i>Circaetus gallicus</i> (II) <i>Circus aeruginosus</i> (II) <i>Circus cyaneus</i> (II) <i>Circus macrourus</i> (II) <i>Circus pygargus</i> (II) <i>Elanus caeruleus</i> (II) <i>Eutriorchis astur</i> (II)			Hawks, eagles Levant sparrowhawk Northern goshawk Eurasian sparrowhawk Cinereous vulture Adalbert's eagle Golden eagle Greater spotted eagle Imperial eagle Lesser spotted eagle Common buzzard Rough-legged buzzard Long-legged buzzard Cuban hook-billed kite Short-toed snake-eagle Western marsh-harrier Northern harrier Pallid harrier Montagu's harrier Black-winged kite Madagascar serpent-eagle

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	Annex A	Annex B	Annex C	Common name
	<i>Gypaetus barbatus</i> (II) <i>Gyps fulvus</i> (II) <i>Haliaeetus</i> spp. (I/II) (<i>Haliaeetus albicilla</i> is listed in Appendix I; the other species are listed in Appendix II) <i>Harpia harpyja</i> (I) <i>Hieraaetus fasciatus</i> (II) <i>Hieraaetus pennatus</i> (II) <i>Leucopternis occidentalis</i> (II) <i>Milvus migrans</i> (II) (Except for <i>Milvus migrans lineatus</i> which is included in Annex B) <i>Milvus milvus</i> (II) <i>Neophron percnopterus</i> (II) <i>Pernis apivorus</i> (II) <i>Pithecophaga jefferyi</i> (I)			Lammergeier Eurasian griffon Sea-eagles Harpy eagle Bonelli's eagle Booted eagle Grey-backed hawk Black kite Red kite Egyptian vulture European honey-buzzard Great Philippine eagle
Cathartidae	<i>Gymnogyps californianus</i> (I)			New world vultures California condor
	<i>Vultur gryphus</i> (I)		<i>Sarcoramphus papa</i> (III Honduras)	King vulture Andean condor
Falconidae	<i>Falco araeus</i> (I) <i>Falco biarmicus</i> (II) <i>Falco cherrug</i> (II) <i>Falco columbarius</i> (II)			Falcons Seychelles kestrel Lanner falcon Saker falcon Merlin

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	Annex A	Annex B	Annex C	Common name
	<i>Falco eleonora</i> (II)			Eleonora's falcon
	<i>Falco jugger</i> (I)			Laggar falcon
	<i>Falco naumanni</i> (II)			Lesser kestrel
	<i>Falco newtoni</i> (I) (Only the population of the Seychelles)			Newton's kestrel
	<i>Falco pelegrinoides</i> (I)			Barbary falcon
	<i>Falco peregrinus</i> (I)			Peregrine falcon
	<i>Falco punctatus</i> (I)			Mauritius kestrel
	<i>Falco rusticolus</i> (I)			Gyr falcon
	<i>Falco subbuteo</i> (II)			Eurasian hobby
	<i>Falco tinnunculus</i> (II)			Common kestrel
	<i>Falco vespertinus</i> (II)			Red-footed falcon
Pandionidae				Ospreys
	<i>Pandion haliaetus</i> (II)			Osprey
GALLIFORMES				
Cracidae				
	<i>Crax alberti</i> (III Colombia)			Blue-knobbed curassow
	<i>Crax blumenbachii</i> (I)			Red-billed curassow
		<i>Crax fasciolata</i>	<i>Crax daubentoni</i> (III Colombia)	Yellow-knobbed curassow
			<i>Crax globulosa</i> (III Colombia)	Bare-faced Curassow
			<i>Crax rubra</i> (III Colombia/Costa Rica/Guatemala/Honduras)	Wattled curassow
				Great curassow
	<i>Mitu mitu</i> (I)			Alagoas curassow
	<i>Oreophasis derbianus</i> (I)			Horned guan

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	Annex A	Annex B	Annex C	Common name
Megapodiidae	<i>Penelope albipennis</i> (I)		<i>Ortalis vetula</i> (III Guatemala/ Honduras)	Plain chachalaca
			<i>Pauxi pauxi</i> (III Colombia)	Helmeted curassow
				White-winged guan
			<i>Penelope purpurascens</i> (III Honduras)	Crested guan
	<i>Pipile jacutinga</i> (I)		<i>Penelopina nigra</i> (III Guatemala)	Highland guan
	<i>Pipile pipile</i> (I)			Black-fronted piping guan
				Trinidad piping guan
	<i>Macrocephalon maleo</i> (I)			Megapodes, scrubfowl
				Maleo
				Grouse, guineafowl, partridges, pheasants, tragopans
Phasianidae		<i>Argusianus argus</i> (II)		Great argus
	<i>Catreus wallichii</i> (I)			Cheer pheasant
	<i>Colinus virginianus ridgwayi</i> (I)			Masked bobwhite
	<i>Crossoptilon crossoptilon</i> (I)			White eared-pheasant
	<i>Crossoptilon mantchuricum</i> (I)			Brown eared-pheasant
		<i>Gallus sonneratii</i> (II)		Grey junglefowl
		<i>Ithaginis cruentus</i> (II)		Blood pheasant
	<i>Lophophorus impejanus</i> (I)			Himalayan monal
	<i>Lophophorus lhuysii</i> (I)			Chinese monal
	<i>Lophophorus sclateri</i> (I)			Sclater's monal
	<i>Lophura edwardsi</i> (I)			Edwards' pheasant
		<i>Lophura hatinhensis</i>		Vietnamese fireback
			<i>Lophura leucomelanos</i> (III Pakistan)	Kalij pheasant
	<i>Lophura swinhoii</i> (I)			Swinhoe's pheasant

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	Annex A	Annex B	Annex C	Common name
	<i>Odontophorus strophium</i> <i>Ophrysia superciliosa</i>		<i>Meleagris ocellata</i> (III Guatemala)	Ocellated turkey
			<i>Pavo cristatus</i> (III Pakistan)	Gorgeted wood-quail Himalayan quail Indian peafowl Green peafowl Grey peacock-pheasant Germain's peacock-pheasant Malayan peacock-pheasant Palawan peacock-pheasant Bornean peacock-pheasant Koklass pheasant
	<i>Polyplectron napoleonis</i> (I)	<i>Pavo muticus</i> (II) <i>Polyplectron bicalcaratum</i> (II) <i>Polyplectron germaini</i> (II) <i>Polyplectron malacense</i> (II) <i>Polyplectron schleiermacheri</i> (II)	<i>Pucrasia macrolopha</i> (III Pakistan)	Crested argus Elliot's pheasant Hume's pheasant Mikado pheasant Caspian snowcock Tibetan snowcock Blyth's tragopan Cabot's tragopan Western tragopan Satyr tragopan Attwater's prairie-chicken
	<i>Rheinardia ocellata</i> (I) <i>Syrmaticus ellioti</i> (I) <i>Syrmaticus humiae</i> (I) <i>Syrmaticus mikado</i> (I) <i>Tetraogallus caspius</i> (I) <i>Tetraogallus tibetanus</i> (I) <i>Tragopan blythii</i> (I) <i>Tragopan caboti</i> (I) <i>Tragopan melanocephalus</i> (I)		<i>Tragopan satyra</i> (III Nepal)	
		<i>Tympanuchus cupido attwateri</i> (II)		
GRUIFORMES		Gruidae spp. (II) (Except for the species included in Annex A)		Cranes Cranes
Gruidae	<i>Grus americana</i> (I)			Whooping crane

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	Annex A	Annex B	Annex C	Common name
	<i>Grus canadensis</i> (I/II) (The species is listed in Appendix II but subspecies <i>Grus canadensis nesiotis</i> and <i>Grus canadensis pulla</i> are listed in Appendix I) Grus grus (II) <i>Grus japonensis</i> (I) <i>Grus leucogeranus</i> (I) <i>Grus monacha</i> (I) <i>Grus nigricollis</i> (I) <i>Grus vipio</i> (I)			Sandhill crane Common crane Red-crowned crane Siberian crane Hooded crane Black-necked crane White-necked crane
Otididae		Otididae spp. (II) (Except for the species included in Annex A)		Bustards Bustards Indian bustard Macqueen's bustard Houbara bustard Bengal florican Great bustard Lesser florican Little bustard
Rallidae				Coots, rails Lord Howe rail
Rhynochetidae				Kagu Kagu
PASSERIFORMES				
Atrichornithidae				Scrub-birds

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	Annex A	Annex B	Annex C	Common name
Cotingidae	<i>Atrichornis clamosus</i> (I)			Noisy scrub-bird Cotingas
			<i>Cephalopterus ornatus</i> (III Colombia)	Amazonian umbrella bird
			<i>Cephalopterus penduliger</i> (III Colombia)	Long-wattled umbrella bird
	<i>Cotinga maculata</i> (I)			Banded cotinga
	<i>Xipholena atropurpurea</i> (I)	<i>Rupicola</i> spp. (II)		Cocks-of-the-rock
Emberizidae				White-winged cotinga Cardinals, tanagers
		<i>Gubernatrix cristata</i> (II)		Yellow cardinal
		<i>Paroaria capitata</i> (II)		Yellow-billed cardinal
		<i>Paroaria coronata</i> (II)		Red-crested cardinal
		<i>Tangara fastuosa</i> (II)		Seven-coloured tanager
Estrildidae				Mannikins, waxbills
		<i>Amandava formosa</i> (II)		Green avadavat
		Lonchura fuscata		Timor sparrow
		<i>Lonchura oryzivora</i> (II)		Java sparrow
		<i>Poephila cincta cincta</i> (II)		Southern black-throated finch
Fringillidae				Finches
	<i>Carduelis cucullata</i> (I)			Red siskin
		<i>Carduelis yarrellii</i> (II)		Yellow-faced siskin
Hirundinidae				Martins
	<i>Pseudochelidon sirintarae</i> (I)			White-eyed river-martin
Icteridae				New-world blackbirds
	<i>Xanthopsar flavus</i> (I)			Saffron-cowled blackbird

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	Annex A	Annex B	Annex C	Common name
Meliphagidae	<i>Lichenostomus melanops cassidix</i> (I)			Honeyeaters Helmeted honeyeater
Muscicapidae	<i>Acrocephalus rodericanus</i> (III Mauritius)	<i>Cyornis ruckii</i> (II)		Old-world flycatchers, babblers, etc. Rodrigues brush-warbler
	<i>Dasyornis broadbenti litoralis</i> (possibly extinct) (I)			Rueck's blue-flycatcher
	<i>Dasyornis longirostris</i> (I)			Western rufous bristlebird
		<i>Garrulax canorus</i> (II)		Western bristlebird
		<i>Garrulax taewanus</i> (II)		Chinese Hwamei
		<i>Leiothrix argentauris</i> (II)		Taiwan Hwamei
		<i>Leiothrix lutea</i> (II)		Silver-eared mesia
		<i>Liocichla omeiensis</i> (II)		Red-billed leiothrix
	<i>Picathartes gymnocephalus</i> (I)			Omei Shan liocichla
	<i>Picathartes oreas</i> (I)			White-necked rockfowl
			<i>Terpsiphone bourbonensis</i> (III Mauritius)	Grey-necked rockfowl
Paradisaeidae		Paradisaeidae spp. (II)		Mascarene paradise-flycatcher
Pittidae	<i>Pitta guajana</i> (II)			Birds of paradise Birds of paradise
	<i>Pitta gurneyi</i> (I)			Pittas Banded pitta
	<i>Pitta kochi</i> (I)			Gurney's pitta
		<i>Pitta nympha</i> (II)		Whiskered pitta
Pycnonotidae				Fairy pitta
				Bulbuls

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	Annex A	Annex B	Annex C	Common name
		<i>Pycnonotus zeylanicus</i> (II)		Straw-headed bulbul
Sturnidae				Mynas
		<i>Gracula religiosa</i> (II)		Hill myna
	<i>Leucopsar rothschildi</i> (I)			Bali myna
Zosteropidae				White-eyes
	<i>Zosterops albogularis</i> (I)			White-chested white-eye
PELECANIFORMES				
Fregatidae				Frigatebirds
	<i>Fregata andrewsi</i> (I)			Christmas frigatebird
Pelecanidae				Pelicans
	<i>Pelecanus crispus</i> (I)			Dalmatian pelican
Sulidae				Boobies
	<i>Papasula abbotti</i> (I)			Abbott's booby
PICIFORMES				
Capitonidae				Barbets
			<i>Semnornis ramphastinus</i> (III Colombia)	Toucan barbet
Picidae				Woodpeckers
	<i>Dryocopus javensis richardsi</i> (I)			Tristram's woodpecker
Ramphastidae				Toucans
			<i>Bailloniuss bailloni</i> (III Argentina)	Saffron toucanet
		<i>Pteroglossus aracari</i> (II)		Black-necked aracari
			<i>Pteroglossus castanotis</i> (III Argentina)	Chestnut-eared aracari

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	Annex A	Annex B	Annex C	Common name
		<i>Pteroglossus viridis</i> (II)		Green aracari
			<i>Ramphastos dicolorus</i> (III Argentina)	Red-breasted toucan
		<i>Ramphastos sulfuratus</i> (II)		Keel-billed toucan
		<i>Ramphastos toco</i> (II)		Toco toucan
		<i>Ramphastos tucanus</i> (II)		Red-billed toucan
		<i>Ramphastos vitellinus</i> (II)		Channel-billed toucan
			<i>Selenidera maculirostris</i> (III Argentina)	Spot-billed toucanet
PODICIPEDIFORMES				
Podicipedidae				Grebes
	<i>Podilymbus gigas</i> (I)			Atitlan Grebe
PROCELLARIIFORMES				
Diomedeidae				Albatrosses
	<i>Phoebastria albatrus</i> (I)			Short-tailed albatross
PSITTACIFORMES				Cockatoos, lories, macaws, parakeets, parrots, etc.
		PSITTACIFORMES spp. (II) (Except for the species included in Annex A and excluding <i>Agapornis roseicollis</i> , <i>Melopsittacus undulatus</i> , <i>Nymphicus hollandicus</i> and <i>Psit- tacula krameri</i> , which are not included in the Annexes to this Regulation)		Parrots etc.
Cacatuidae				Cockatoos
	<i>Cacatua goffiniana</i> (I)			Tanimbar cockatoo
	<i>Cacatua haematuropygia</i> (I)			Philippine cockatoo
	<i>Cacatua moluccensis</i> (I)			Salmon-crested cockatoo

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	Annex A	Annex B	Annex C	Common name
Loriidae	<i>Cacatua sulphurea</i> (I)			Yellow-crested cockatoo
	<i>Probosciger aterrimus</i> (I)			Palm cockatoo
	<i>Eos histrio</i> (I)			Lories, lorikeets Red and blue lory
	<i>Vini</i> spp. (I/II) (<i>Vini ultramarina</i> is listed in Appendix I, the other species are listed in Appendix II)			Blue lorikeets
Psittacidae				Amazons, macaws, parakeets, parrots
	<i>Amazona arausiaca</i> (I)			Red-necked parrot
	<i>Amazona auropalliata</i> (I)			Yellow-naped parrot
	<i>Amazona barbadensis</i> (I)			Yellow-shouldered parrot
	<i>Amazona brasiliensis</i> (I)			Red-tailed parrot
	<i>Amazona finschi</i> (I)			Lilac-crowned parrot
	<i>Amazona guildingii</i> (I)			St Vincent parrot
	<i>Amazona imperialis</i> (I)			Imperial parrot
	<i>Amazona leucocephala</i> (I)			Cuban parrot
	<i>Amazona oratrix</i> (I)			Yellow-headed parrot
	<i>Amazona pretrei</i> (I)			Red-spectacled parrot
	<i>Amazona rhodocorytha</i> (I)			Red-browed parrot
	<i>Amazona tucumana</i> (I)			Tucuman parrot
	<i>Amazona versicolor</i> (I)			Saint Lucia parrot
	<i>Amazona vinacea</i> (I)			Vinaceous parrot
	<i>Amazona viridigenalis</i> (I)			Green-cheeked parrot
	<i>Amazona vittata</i> (I)			Puerto Rican parrot
	<i>Anodorhynchus</i> spp. (I)			Blue macaws

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	Annex A	Annex B	Annex C	Common name
	<i>Ara ambiguus</i> (I)			Great green macaw
	<i>Ara glaucogularis</i> (I)			Blue-throated macaw
	<i>Ara macao</i> (I)			Scarlet macaw
	<i>Ara militaris</i> (I)			Military macaw
	<i>Ara rubrogenys</i> (I)			Red-fronted macaw
	<i>Cyanopsitta spixii</i> (I)			Spix's macaw
	<i>Cyanoramphus cookii</i> (I)			Norfolk Island parakeet
	<i>Cyanoramphus forbesi</i> (I)			Chatham Island yellow-fronted parakeet
	<i>Cyanoramphus novaezelandiae</i> (I)			Red-fronted parakeet
	<i>Cyanoramphus saisseti</i> (I)			Red-crowned parakeet
	<i>Cyclopsitta diophthalma coxeni</i> (I)			Coxen's double-eyed fig parrot
	<i>Eunymphicus cornutus</i> (I)			Horned parakeet
	<i>Guarouba guarouba</i> (I)			Golden parakeet
	<i>Neophema chrysogaster</i> (I)			Orange-bellied parrot
	<i>Ognorhynchus icterotis</i> (I)			Yellow-eared parrot
	<i>Pezoporus occidentalis</i> (possibly extinct) (I)			Night parrot
	<i>Pezoporus wallicus</i> (I)			Ground parrot
	<i>Pionopsitta pileata</i> (I)			Pileated parrot
	<i>Primolius couloni</i> (I)			Blue-headed macaw
	<i>Primolius maracana</i> (I)			Blue-winged macaw
	<i>Psephotus chrysopterygius</i> (I)			Golden-shouldered parrot
	<i>Psephotus dissimilis</i> (I)			Hooded parrot
	<i>Psephotus pulcherrimus</i> (possibly extinct) (I)			Paradise parrot

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	Annex A	Annex B	Annex C	Common name
	<i>Psittacula echo</i> (I)			Mauritius parakeet
	<i>Pyrrhura cruentata</i> (I)			Blue-throated parakeet
	<i>Rhynchopsitta</i> spp. (I)			Thick-billed parrots
	<i>Strigops habroptilus</i> (I)			Kakapo
RHEIFORMES				
Rheidae				Rheas
	<i>Pterocnemia pennata</i> (I) (Except <i>Pterocnemia pennata pennata</i> which is included in Annex B)			Lesser rhea
		<i>Pterocnemia pennata pennata</i> (II)		Lesser rhea
		<i>Rhea americana</i> (II)		Greater rhea
SPHENISCIFORMES				
Spheniscidae				Penguins
		<i>Spheniscus demersus</i> (II)		Jackass penguin
	<i>Spheniscus humboldti</i> (I)			Humboldt penguin
STRIGIFORMES				Owls
		STRIGIFORMES spp. (II) (Except for the species included in Annex A and except for <i>Sceloglaux albifacies</i>)		Owls
Strigidae				Owls
	<i>Aegolius funereus</i> (II)			Boreal owl
	<i>Asio flammeus</i> (II)			Short-eared owl
	<i>Asio otus</i> (II)			Long-eared owl
	<i>Athene noctua</i> (II)			Little owl
	<i>Bubo bubo</i> (II) (Except for <i>Bubo bubo bengalensis</i> which is included in Annex B)			Eurasian eagle-owl

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	Annex A	Annex B	Annex C	Common name
Tytonidae	<i>Glaucidium passerinum (II)</i>			Eurasian pygmy-owl
	<i>Heteroglaux blewitti (I)</i>			Forest owl
	<i>Mimizuku gurneyi (I)</i>			Lesser eagle-owl
	<i>Ninox natalis (I)</i>			Christmas hawk-owl
	<i>Ninox novaeseelandiae undulata (I)</i>			Norfolk boobook
	<i>Nyctea scandiaca (II)</i>			Snowy owl
	<i>Otus ireneae (II)</i>			Sokoke scops-owl
	<i>Otus scops (II)</i>			Eurasian scops-owl
	<i>Strix aluco (II)</i>			Tawny owl
	<i>Strix nebulosa (II)</i>			Great grey owl
	<i>Strix uralensis (II)</i> (Except for <i>Strix uralensis davidi</i> which is included in Annex B)			Ural owl
	<i>Surnia ulula (II)</i>			Northern hawk owl
STRUTHIONIFORMES				Barn owls
	<i>Tyto alba (II)</i>			Barn owl
Struthionidae	<i>Tyto soumagnei (I)</i>			Soumagne's owl
	<i>Struthio camelus (I)</i> (Only the populations of Algeria, Burkina Faso, Cameroon, the Central African Republic, Chad, Mali, Mauritania, Morocco, the Niger, Nigeria, Senegal and the Sudan; all other populations are not included in the Annexes to this Regulation)			Ostrich Ostrich

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	Annex A	Annex B	Annex C	Common name
TINAMIFORMES				
Tinamidae				Tinamous
	<i>Tinamus solitarius</i> (I)			Solitary tinamou
TROGONIFORMES				
Trogonidae				Quetzals
	<i>Pharomachrus mocinno</i> (I)			Resplendent quetzal
REPTILIA				Reptiles
CROCODYLIA				Alligators, caimans, crocodiles
		CROCODYLIA spp. (II) (Except for the species included in Annex A)		Alligators, caimans, crocodiles
Alligatoridae				Alligators, caimans
	<i>Alligator sinensis</i> (I)			Chinese alligator
	<i>Caiman crocodilus apaporiensis</i> (I)			Rio Apaporis spectacled caiman
	<i>Caiman latirostris</i> (I) (Except for the population of Argentina, which is included in Annex B)			Broad-nosed caiman
	<i>Melanosuchus niger</i> (I) (Except for the population of Brazil, which is included in Annex B, and population of Ecuador, which is included in Annex B and is subject to a zero annual export quota until an annual export quota has been approved by the CITES Secretariat and the IUCN/SSC Crocodile Specialist Group)			Black caiman
Crocodylidae				Crocodiles

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	Annex A	Annex B	Annex C	Common name
	<i>Crocodylus acutus</i> (I) (Except for the population of Cuba, which is included in Annex B)			American crocodile
	<i>Crocodylus cataphractus</i> (I)			African slender-snouted crocodile
	<i>Crocodylus intermedius</i> (I)			Orinoco crocodile
	<i>Crocodylus mindorensis</i> (I)			Philippine crocodile
	<i>Crocodylus moreletii</i> (I) (Except for the populations of Belize and Mexico, which are included in Annex B, with a zero quota for wild specimens traded for commercial purposes)			Morelet's crocodile
	<i>Crocodylus niloticus</i> (I) (Except for the populations of Botswana, Egypt [subject to a zero quota for wild specimens traded for commercial purposes], Ethiopia, Kenya, Madagascar, Malawi, Mozambique, Namibia, South Africa, Uganda, the United Republic of Tanzania [subject to an annual export quota of no more than 1 600 wild specimens including hunting trophies, in addition to ranched specimens], Zambia and Zimbabwe; these populations are included in Annex B)			Nile crocodile
	<i>Crocodylus palustris</i> (I)			Mugger crocodile

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	Annex A	Annex B	Annex C	Common name
	<i>Crocodylus porosus</i> (I) (Except for the populations of Australia, Indonesia and Papua New Guinea, which are included in Annex B)			Estuarine crocodile
	<i>Crocodylus rhombifer</i> (I)			Cuban crocodile
	<i>Crocodylus siamensis</i> (I)			Siamese crocodile
	<i>Osteolaemus tetraspis</i> (I)			West African dwarf crocodile
	<i>Tomistoma schlegelii</i> (I)			False gharial
Gavialidae				Gavial or gharial
	<i>Gavialis gangeticus</i> (I)			Gharial
RHYNCHOCEPHALIA				
Sphenodontidae				Tuataras
	<i>Sphenodon</i> spp. (I)			Tuataras
SAURIA				
Agamidae				Spiny-tailed lizards (Agamas, mastigures)
		<i>Saara</i> spp. (II)		
		<i>Uromastyx</i> spp. (II)		Spiny-tailed lizards
Chamaeleonidae				Chameleons
		<i>Archaius</i> spp. (II)		
		<i>Bradypodion</i> spp. (II)		Dwarf chameleons
		<i>Brookesia</i> spp. (II) (Except for the species included in Annex A)		Dwarf chameleons
	<i>Brookesia perarmata</i> (I)			Dwarf spiny chameleon
		<i>Calumma</i> spp. (II)		Madagascar chameleons

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	Annex A	Annex B	Annex C	Common name
		<i>Chamaeleo</i> spp. (II) (Except for the species included in Annex A)		Chameleons
	<i>Chamaeleo chamaeleon</i> (II)			European chameleon
		<i>Furcifer</i> spp. (II)		Madagascar chameleons
		<i>Kinyongia</i> spp. (II)		Dwarf chameleons
		<i>Nadzikambia</i> spp. (II)		Dwarf chameleons
		<i>Trioceros</i> spp. (II)		
Cordylidae				Spiny-tailed lizards
		<i>Cordylus</i> spp. (II)		Girdled lizards
Gekkonidae			<i>Hoplodactylus</i> spp. (III New Zealand)	Geckos
				Sticky-toed geckos
		<i>Lygodactylus williamsi</i>		Turquoise dwarf gecko
		<i>Nactus serpensinsula</i> (II)		Serpent Island gecko
		<i>Naultinus</i> spp. (II)		New Zealand tree geckos
		<i>Phelsuma</i> spp. (II) (Except for the species included in Annex A)		Day geckos
	<i>Phelsuma guentheri</i> (II)			Round Island day gecko
		<i>Uroplatus</i> spp. (II)		Flat-tailed geckos
Helodermatidae				Gila monster and beaded lizard
		<i>Heloderma</i> spp. (II) (Except for the subspecies included in Annex A)		Gila monster and beaded lizard
	<i>Heloderma horridum charles-bogerti</i> (I)			Guatemalan beaded lizard
Iguanidae				Iguanas

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	Annex A	Annex B	Annex C	Common name
	<i>Brachylophus</i> spp. (I)	<i>Amblyrhynchus cristatus</i> (II)		Galapagos marine iguana
		<i>Conolophus</i> spp. (II)		Fiji iguanas
		<i>Ctenosaura bakeri</i> (II)		Galapagos land iguanas
		<i>Ctenosaura melanosterna</i> (II)		Utila Island spiny-tailed iguana
		<i>Ctenosaura oedirhina</i> (II)		Rio Aguan Valley spiny-tailed iguana
		<i>Ctenosaura palearis</i> (II)		Roatan spiny-tailed iguana
	<i>Cyclura</i> spp. (I)			Guatemalan Spiny-tailed iguana
		<i>Iguana</i> spp. (II)		Ground iguanas
		<i>Phrynosoma blainvillii</i> (II)		Iguanas
		<i>Phrynosoma cerroense</i> (II)		Blaineville's horned lizard
		<i>Phrynosoma coronatum</i> (II)		Cedros Island horned lizard
		<i>Phrynosoma wigginsi</i> (II)		Coast horned lizard
	<i>Sauromalus varius</i> (I)			Gulf coast horned lizard
				San Esteban Island chuckwalla
Lacertidae	<i>Gallotia simonyi</i> (I)			Lizards
	<i>Podarcis lilfordi</i> (II)			Hierro giant lizard
	<i>Podarcis pityusensis</i> (II)			Lilford's wall lizard
				Ibiza wall lizard
Scincidae				Skinks
		<i>Corucia zebrata</i> (II)		Prehensile-tailed skink

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	Annex A	Annex B	Annex C	Common name
Teiidae		<i>Crocodilurus amazonicus</i> (II)		Caiman lizards, tegu lizards
		<i>Dracaena</i> spp. (II)		Dragon lizard
		<i>Tupinambis</i> spp.(II)		Caiman lizards
				Tegus
Varanidae		<i>Varanus</i> spp. (II) (Except for the species included in Annex A)		Monitor lizards
	<i>Varanus bengalensis</i> (I)			Monitor lizards
	<i>Varanus flavescens</i> (I)			Indian monitor
	<i>Varanus griseus</i> (I)			Yellow monitor
	<i>Varanus komodoensis</i> (I)			Desert monitor
	<i>Varanus nebulosus</i> (I)			Komodo dragon
	<i>Varanus olivaceus</i> (II)			Clouded monitor
				Gray's monitor
Xenosauridae		<i>Shinisaurus crocodilurus</i> (II)		Chinese crocodile lizard
				Chinese crocodile lizard
SERPENTES				Snakes
Boidae		Boidae spp. (II) (Except for the species included in Annex A)		Boas
	<i>Acrantophis</i> spp. (I)			Boas
				Madagascar ground boas

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	Annex A	Annex B	Annex C	Common name
	<i>Boa constrictor occidentalis</i> (I)			Argentine boa constrictor
	<i>Epicrates inornatus</i> (I)			Puerto Rican boa
	<i>Epicrates monensis</i> (I)			Virgin Island tree boa
	<i>Epicrates subflavus</i> (I)			Jamaican boa
	<i>Eryx jaculus</i> (II)			Spotted sand boa
	<i>Sanzinia madagascariensis</i> (I)			Madagascar tree boa
Bolyeriidae				Round Island boas
		Bolyeriidae spp. (II) (Except for the species included in Annex A)		Round Island boas
	<i>Bolyeria multocarinata</i> (I)			Round Island boa
	<i>Casarea dussumieri</i> (I)			Round Island keel-scaled boa
Colubridae				Typical snakes, water snakes, whip snakes
			<i>Atretium schistosum</i> (III India)	Olive keel-back
			<i>Cerberus rynchops</i> (III India)	Dog-faced water snake
		<i>Clelia clelia</i> (II)		Mussurana
		<i>Cyclagras gigas</i> (II)		False cobra
		<i>Elachistodon westermanni</i> (II)		Indian egg-eating snake
		<i>Ptyas mucosus</i> (II)		Common rat snake

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	Annex A	Annex B	Annex C	Common name
Elapidae			<i>Xenochrophis piscator</i> (III India)	Checkered keel-back
				Cobras, coral snakes
		<i>Hoplocephalus bungaroides</i> (II)		Broad-headed snake
			<i>Micrurus diastema</i> (III Honduras)	Atlantic coral snake
			<i>Micrurus nigrocinctus</i> (III Honduras)	Central American coral snake
		<i>Naja atra</i> (II)		Chinese spitting cobra
		<i>Naja kaouthia</i> (II)		Monocellate cobra
		<i>Naja mandalayensis</i> (II)		Burmese spitting cobra
		<i>Naja naja</i> (II)		Indian cobra
		<i>Naja oxiana</i> (II)		Central Asian cobra
		<i>Naja philippinensis</i> (II)		North Philippine spitting cobra
		<i>Naja sagittifera</i> (II)		Andaman cobra
		<i>Naja samarensis</i> (II)		South-east Philippine spitting cobra
		<i>Naja siamensis</i> (II)		Indochinese spitting cobra
Loxocemidae		<i>Naja sputatrix</i> (II)		South Indonesian spitting cobra
		<i>Naja sumatrana</i> (II)		Golden spitting cobra
Pythonidae		<i>Ophiophagus hannah</i> (II)		King cobra
				Mexican dwarf boa
		Loxocemidae spp. (II)		Mexican dwarf boa
				Pythons

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	Annex A	Annex B	Annex C	Common name
		Pythonidae spp. (II) (Except for the subspecies included in Annex A)		Pythons
	<i>Python molurus molurus</i> (I)			Indian python
Tropidophiidae		Tropidophiidae spp. (II)		Wood boas Wood boas
Viperidae			<i>Crotalus durissus</i> (III Honduras)	Vipers Neotropical rattlesnake
		<i>Crotalus durissus unicolor</i>		Aruba rattlesnake
		<i>Trimeresurus mangshanensis</i> (II)	<i>Daboia russelii</i> (III India)	Russell's viper
	<i>Vipera latifii</i>			Mangshan pit-viper
	<i>Vipera ursinii</i> (I) (Only the population of Europe, except the area which formerly constituted the USSR; these latter populations are not included in the Annexes to this Regulation)			Latifi's viper
		<i>Vipera wagneri</i> (II)		Orsini's viper
TESTUDINES				Wagner's viper
Carettochelyidae		<i>Carettochelys insculpta</i> (II)		Pig-nosed turtles Pig-nosed turtle
Chelidae		<i>Chelodina mccordi</i> (II) (A zero annual export quota has been established for specimens removed from the wild)		Austro-American sideneck turtles Roti snake-necked turtle
	<i>Pseudemydura umbrina</i> (I)			Western swamp turtle
Cheloniidae				Sea turtles

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	Annex A	Annex B	Annex C	Common name
	Cheloniidae spp. (I)			Sea turtles
Chelydridae			<i>Macrochelys temminckii</i> (III United States of America)	Snapping turtles Alligator snapping turtle
Dermatemydidae		<i>Dermatemys mawii</i> (II)		Central American river turtle Central American river turtle
Dermochelyidae	<i>Dermochelys coriacea</i> (I)			Leatherback turtle Leatherback turtle
Emydidae		<i>Chrysemys picta</i> (Only live specimens) <i>Clemmys guttata</i> (II) <i>Emydoidea blandingii</i> (II) <i>Glyptemys insculpta</i> (II)		Box turtles, freshwater turtles Painted turtle Spotted turtle Blanding's turtle Wood turtle Bog turtle
	<i>Glyptemys muhlenbergii</i> (I)		<i>Graptemys</i> spp. (III United States of America)	Map turtles
		<i>Malaclemys terrapin</i> (II) <i>Terrapene</i> spp. (II) (Except for the species included in Annex A)		Diamondback terrapin Box turtles
	<i>Terrapene coahuila</i> (I)			Aquatic box turtle
		<i>Trachemys scripta elegans</i> (Only live specimens)		Red-eared terrapin
Geoemydidae				
	<i>Batagur affinis</i> (I)			Southern river terrapin
	<i>Batagur baska</i> (I)			Batagur

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	Annex A	Annex B	Annex C	Common name
		<i>Batagur borneoensis</i> (II) (A zero annual export quota has been established for specimens removed from the wild and traded for commercial purposes) <i>Batagur dhongoka</i> (II) <i>Batagur kachuga</i> (II) <i>Batagur trivittata</i> (II) (A zero annual export quota has been established for specimens removed from the wild and traded for commercial purposes) <i>Cuora</i> spp. (II) (A zero annual export quota has been established for <i>Cuora aurocapitata</i>, <i>C. flavomarginata</i>, <i>C. galbinifrons</i>, <i>C. mccordi</i>, <i>C. mouhotii</i>, <i>C. pani</i>, <i>C. trifasciata</i>, <i>C. yunnanensis</i> and <i>C. zhoui</i> for specimens removed from the wild and traded for commercial purposes) <i>Cyclemys</i> spp. (II) <i>Geoemyda japonica</i> (II) <i>Geoemyda spengleri</i> (II) <i>Hardella thurjii</i> (II) <i>Heosemys annandalii</i> (II) (A zero annual export quota has been established for specimens removed from the wild and traded for commercial purposes) <i>Heosemys depressa</i> (II) (A zero annual export quota has been established for specimens removed from the wild and traded for commercial purposes)		Asian box turtles Asian leaf turtles Black pond turtle Ryukyu black-breasted leaf turtle Black-breasted leaf turtle Crowned river turtle Yellow-headed temple turtle Arakan forest turtle
	<i>Geoclemys hamiltonii</i> (I)			

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	Annex A	Annex B	Annex C	Common name
		<i>Heosemys grandis</i> (II)		Giant Asian turtle
		<i>Heosemys spinosa</i> (II)		Spiny turtle
		<i>Leucocephalon yuwonoi</i> (II)		Sulawesi forest turtle
		<i>Malayemys macrocephala</i> (II)		Snail-eating turtle
		<i>Malayemys subtrijuga</i> (II)		Ricefield turtle
		<i>Mauremys annamensis</i> (II) (A zero annual export quota has been established for specimens removed from the wild and traded for commercial purposes)		Annam pond turtle
			<i>Mauremys iversoni</i> (III China)	Fujian pond turtle
		<i>Mauremys japonica</i> (II)		Japanese pond turtle
			<i>Mauremys megalcephala</i> (III China)	Big-headed pond turtle
		<i>Mauremys mutica</i> (II)		Yellow pond turtle
		<i>Mauremys nigricans</i> (II)		Red-necked pond turtle
			<i>Mauremys pritchardi</i> (III China)	Pritchard's pond turtle
			<i>Mauremys reevesii</i> (III China)	Reeves's turtle
			<i>Mauremys sinensis</i> (III China)	Chinese stripe-necked turtle
	<i>Melanochelys tricarinata</i> (I)			Three-keeled land tortoise
		<i>Melanochelys trijuga</i> (II)		Indian black turtle
	<i>Morenia ocellata</i> (I)			Burmese swamp turtle
		<i>Morenia petersi</i> (II)		Indian eyed turtle
		<i>Notochelys platynota</i> (II)		Malayan flat-shelled turtle
			<i>Ocadia glyphistoma</i> (III China)	Notch-mouthed stripe-necked turtle
			<i>Ocadia philippeni</i> (III China)	Philippen's stripe-necked turtle
		<i>Orlitia borneensis</i> (II) (A zero annual export quota has been established for specimens removed from the wild and traded for commercial purposes)		Malayan giant turtle

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	Annex A	Annex B	Annex C	Common name
		<i>Pangshura</i> spp. (II) (Except for the species included in Annex A)		Roofed turtles
	<i>Pangshura tecta</i> (I)			Indian roofed turtle
		<i>Sacalia bealei</i> (II)		Beal's eyed turtle
			<i>Sacalia pseudocellata</i> (III China)	Chinese false-eyed turtle
		<i>Sacalia quadriocellata</i> (II)		Four-eyed turtle
		<i>Siebenrockiella crassicollis</i> (II)		Black marsh turtle
		<i>Siebenrockiella leytenensis</i> (II)		Philippine pond turtle
		<i>Vijayachelys silvatica</i> (II)		Cochin forest cane turtle
Platysternidae				Big-headed turtles
	Platysternidae spp. (I)			Big-headed turtles
Podocnemididae				Afro-American sideneck turtles
		<i>Erymnochelys madagascariensis</i> (II)		Madagascar sideneck turtle
		<i>Peltocephalus dumerilianus</i> (II)		Big-headed sideneck turtle
		<i>Podocnemis</i> spp. (II)		Sideneck turtles
Testudinidae		Testudinidae spp. (II) (Except for the species included in Annex A; a zero annual export quota has been established for <i>Geochelone sulcata</i> for specimens removed from the wild and traded for primarily commercial purposes)		Tortoises
				Tortoises
	<i>Astrochelys radiata</i> (I)			Radiated tortoise
	<i>Astrochelys yniphora</i> (I)			Angonoka
	<i>Chelonoidis nigra</i> (I)			Galapagos giant tortoise
	<i>Geochelone platynota</i> (I)			Burmese star tortoise
	<i>Gopherus flavomarginatus</i> (I)			Bolson tortoise
	<i>Malacochersus tornieri</i> (II)			Pancake tortoise
	<i>Psammobates geometricus</i> (I)			Geometric tortoise

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	Annex A	Annex B	Annex C	Common name
Trionychidae	<i>Pyxis arachnoides</i> (I)			Madagascar spider tortoise
	<i>Pyxis planicauda</i> (I)			Madagascar flat-shelled tortoise
	<i>Testudo graeca</i> (II)			Spur-thighed tortoise
	<i>Testudo hermanni</i> (II)			Hermann's tortoise
	<i>Testudo kleinmanni</i> (I)			Egyptian tortoise
	<i>Testudo marginata</i> (II)			Marginated tortoise
				Softshell turtles, terrapins
		<i>Amyda cartilaginea</i> (II)		Southeast Asian soft-shelled turtle
	<i>Apalone spinifera atra</i> (I)			Cuatro Cienagas soft-shell turtle
		<i>Chitra</i> spp. (II) (Except for the species included in Annex A)		Narrow-headed softshell turtles
	<i>Chitra chitra</i> (I)			Asian narrow-headed softshell
	<i>Chitra vandijki</i> (I)			Burmese narrow-headed softshell
		<i>Dogania subplana</i> (II)		Malayan soft-shelled turtle
		<i>Lissemys ceylonensis</i> (II)		Sri Lankan flapshell turtle
		<i>Lissemys punctata</i> (II)		Indo-Gangetic flapshell turtle
		<i>Lissemys scutata</i> (II)		Burmese flapshell turtle
		<i>Nilssonia formosa</i> (II)		Burmese peacock softshell
	<i>Nilssonia gangetica</i> (I)			Indian soft-shell turtle
	<i>Nilssonia hurum</i> (I)			Peacock soft-shell turtle
		<i>Nilssonia leithii</i> (II)		Leith's softshell turtle
	<i>Nilssonia nigricans</i> (I)			Black soft-shell turtle
		<i>Palea steindachneri</i> (II)		Wattle-necked softshell turtle
		<i>Pelochelys</i> spp. (II)		Giant softshell turtles
		<i>Pelodiscus axenaria</i> (II)		Hunan softshell turtle
		<i>Pelodiscus maackii</i> (II)		Amur softshell turtle
		<i>Pelodiscus parviformis</i> (II)		Chinese softshell turtle
		<i>Rafetus swinhoei</i> (II)		Yangtze softshell turtle

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	Annex A	Annex B	Annex C	Common name
AMPHIBIA				Amphibians
ANURA				Frogs and toads
Aromobatidae		<i>Allobates femoralis</i> (II) <i>Allobates hodli</i> (II) <i>Allobates myersi</i> (II) <i>Allobates rufulus</i> (II) <i>Allobates zaparo</i> (II)		Cryptic forest frogs Brilliant-thighed poison frog Myers' poison frog Chimanta poison frog Sanguine poison frog
Bufonidae	<i>Altiphrynoides</i> spp. (I) <i>Amietophrynus superciliaris</i> (I) <i>Atelopus zeteki</i> (I) <i>Incilius periglenes</i> (I) <i>Nectophrynoides</i> spp. (I) <i>Nimbaphrynoides</i> spp. (I)			Toads Malcolm's Ethiopian toad Cameroon toad Golden frog Golden toad African viviparous toads Nimba toads
Calyptocephalellidae			<i>Calyptocephalella gayi</i> (III Chile)	Chilean helmeted water toad
Conrauidae		<i>Conraua goliath</i>		Frogs Goliath frog
Dendrobatidae		<i>Adelphobates</i> spp. (II) <i>Ameerega</i> spp. (II) <i>Andinobates</i> spp. (II) <i>Dendrobates</i> spp. (II) <i>Epipedobates</i> spp. (II) <i>Excidobates</i> spp. (II) <i>Hyloxalus azureiventris</i> (II) <i>Minyobates</i> spp. (II) <i>Oophaga</i> spp. (II) <i>Phyllobates</i> spp. (II) <i>Ranitomeya</i> spp. (II)		Poison frogs Poison-arrow frogs Poison-arrow frogs Sky-blue poison frog Demonic poison frogs Poison-arrow frogs
Dicroglossidae		<i>Euphlyctis hexadactylus</i> (II)		Frogs Six-fingered frog

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	Annex A	Annex B	Annex C	Common name
Hylidae		<i>Hoplobatrachus tigerinus</i> (II)		Tiger frog
		<i>Agalychnis</i> spp. (II)		Tree frogs
Mantellidae		<i>Mantella</i> spp. (II)		Mantella frogs
				Mantella frogs
Microhylidae				Tomato frogs
	<i>Dyscophus antongilii</i> (I)			Tomato frog
		<i>Scaphiophryne gottlebei</i> (II)		Red rain frog
Myobatrachidae		<i>Rheobatrachus</i> spp. (II) (Except for <i>Rheobatrachus silus</i> and <i>Rheobatrachus vitellinus</i>)		Gastric brooding frogs
				Gastric brooding frog
Ranidae		<i>Lithobates catesbeianus</i> (Only live specimens)		Frogs
				American bullfrog
CAUDATA				
Ambystomatidae		<i>Ambystoma dumerilii</i> (II)		Axolotls
		<i>Ambystoma mexicanum</i> (II)		Lake Patzcuaro salamander
				Axolotl
Cryptobranchidae				Giant salamanders
	<i>Andrias</i> spp. (I)		<i>Cryptobranchus alleganiensis</i> (III United States of America)	Giant salamanders
				Hellbender
Hynobiidae			<i>Hynobius amjiensis</i> (III China)	Asiatic salamanders
Salamandridae				Salamanders and newts
	<i>Neurergus kaiseri</i> (I)			Kaiser's spotted newt
ELASMOBRANCHII				Sharks and rays
CARCHARHINIFORMES				
Carcharhinidae		<i>Carcharhinus longimanus</i> (II)		Requiem sharks
				Oceanic whitetip shark
Sphyrnidae		<i>Sphyrna lewini</i> (II)		Hammerhead sharks
				Scalloped hammerhead shark

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	Annex A	Annex B	Annex C	Common name
		<i>Sphyrna mokarran</i> (II)		Great hammerhead shark
		<i>Sphyrna zygaena</i> (II)		Smooth hammerhead shark
LAMNIFORMES				
Cetorhinidae				Basking sharks
		<i>Cetorhinus maximus</i> (II)		Basking shark
Lamnidae				White sharks
		<i>Carcharodon carcharias</i> (II)		Great white shark
		<i>Lamna nasus</i> (II)		Porbeagle
ORECTOLOBIFORMES				
Rhincodontidae				Whale sharks
		<i>Rhincodon typus</i> (II)		Whale shark
PRISTIFORMES				
Pristidae				Sawfishes
	Pristidae spp. (I)			Sawfishes
RAJIFORMES				
Mobulidae				Mobulid rays
		<i>Manta</i> spp. (II)		Manta rays
ACTINOPTERYGII				Fish
ACIPENSERIFORMES				
		ACIPENSERIFORMES spp. (II) (Except for the species included in Annex A)		Sturgeons and paddlefish
Acipenseridae				Sturgeons
	<i>Acipenser brevirostrum</i> (I)			Shortnose sturgeon
	<i>Acipenser sturio</i> (I)			Common sturgeon

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	Annex A	Annex B	Annex C	Common name
ANGUILLIFORMES				
Anguillidae		<i>Anguilla anguilla</i> (II)		Freshwater eels European eel
CYPRINIFORMES				
Catostomidae	<i>Chasmistes cujus</i> (I)			Cui-ui Cui-ui
Cyprinidae	<i>Probarbus jullieni</i> (I)	<i>Caecobarbus geertsii</i> (II)		Blind carps, plaesok African blind barb fish Ikan temoleh
OSTEOGLOSSIFORMES				
Arapaimidae		<i>Arapaima gigas</i> (II)		Arapaima
Osteoglossidae	<i>Scleropages formosus</i> (I) ⁽⁸⁾			Arapaimas, bonytongues Asian arowana
PERCIFORMES				
Labridae		<i>Cheilinus undulatus</i> (II)		Wrasses Humphead wrasse
Sciaenidae	<i>Totoaba macdonaldi</i> (I)			Totoabas Totoaba
SILURIFORMES				
Pangasiidae	<i>Pangasianodon gigas</i> (I)			Pangasid catfish Giant catfish
SYNGNATHIFORMES				
Syngnathidae		<i>Hippocampus</i> spp. (II)		Pipefishes, seahorses Seahorses
SARCOPTERYGII				Lungfishes
CERATODONTIFORMES				
Ceratodontidae		<i>Neoceratodus forsteri</i> (II)		Australian lungfishes Australian lungfish
COELACANTHIFORMES				
Latimeriidae				Coelacanth

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	Annex A	Annex B	Annex C	Common name
	<i>Latimeria</i> spp. (I)			Coelacanths
	ECHINODERMATA (STARFISH, BRITTLE STARS, SEA URCHINS AND SEA CUCUMBERS)			
HOLOTHUROIDEA				Sea cucumbers
ASPIDOCHIROTIDA				
Stichopodidae			<i>Isostichopus fuscus</i> (III Ecuador)	Sea cucumbers Brown sea cucumber
		ARTHROPODA (ARTHROPODS)		
ARACHNIDA				Spiders and scorpions
ARANEAE				
Theraphosidae				Red-kneed tarantulas, tarantulas
		<i>Aphonopelma albiceps</i> (II)		
		<i>Aphonopelma pallidum</i> (II)		Chihuahua rose-grey tarantula
		<i>Brachypelma</i> spp. (II)		Central American tarantulas
SCORPIONES				
Scorpionidae				Scorpions
		<i>Pandinus dictator</i> (II)		
		<i>Pandinus gambiensis</i> (II)		Giant Senegalese scorpion
		<i>Pandinus imperator</i> (II)		Emperor scorpion
INSECTA				Insects
COLEOPTERA				Beetles
Lucanidae				Stag beetles
			<i>Colophon</i> spp. (III South Africa)	Cape stag beetles
Scarabaeidae				Scarab beetles
		<i>Dynastes satanas</i> (II)		Satanas beetle
LEPIDOPTERA				Butterflies
Nymphalidae				
			<i>Agrias amydon boliviensis</i> (III Bolivia)	
			<i>Morpho godartii lachaumei</i> (III Bolivia)	
			<i>Prepona praeneste buckleyana</i> (III Bolivia)	
Papilionidae				Birdwing and swallowtail butterflies

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	Annex A	Annex B	Annex C	Common name
UNIONOIDA				
Unionidae				Freshwater mussels, pearly mussels
	<i>Conradilla caelata</i> (I)	<i>Cyprogenia aberti</i> (II)		Birdwing pearly mussel
	<i>Dromus dromas</i> (I)			Western fanshell mussel
	<i>Epioblasma curtisii</i> (I)			Dromedary pearly mussel
	<i>Epioblasma florentina</i> (I)			Curtis' pearly mussel
	<i>Epioblasma sampsonii</i> (I)			Yellow-blossom pearly mussel
	<i>Epioblasma sulcata perobliqua</i> (I)			Wabash riffleshell
	<i>Epioblasma torulosa gubernaculum</i> (I)			White catspaw mussel
		<i>Epioblasma torulosa rangiana</i> (II)		Green-blossom pearly mussel
	<i>Epioblasma torulosa torulosa</i> (I)			Northern riffleshell
	<i>Epioblasma turgidula</i> (I)			Turbercle-blossom pearly mussel
	<i>Epioblasma walkeri</i> (I)			Turgid-blossom pearly mussel
	<i>Fusconaia cuneolus</i> (I)			Tan riffleshell
	<i>Fusconaia edgariana</i> (I)			Fine-rayed pigtoe pearly mussel
	<i>Lampsilis higginsii</i> (I)			Shiny pigtoe pearly mussel
	<i>Lampsilis orbiculata orbiculata</i> (I)			Higgins' eye pearly mussel
	<i>Lampsilis satur</i> (I)			Pink mucket pearly mussel
	<i>Lampsilis virescens</i> (I)			
	<i>Plethobasus cicatricosus</i> (I)			Sandback pocketbook mussel
	<i>Plethobasus cooperianus</i> (I)			Alabama lamp pearly mussel
		<i>Pleurobema clava</i> (II)		White warty-back pearly mussel
	<i>Pleurobema plenum</i> (I)			Orange-footed pimpleback mussel
	<i>Potamilus capax</i> (I)			Clubshell pearly mussel
	<i>Quadrula intermedia</i> (I)			Rough pigtoe pearly mussel
	<i>Quadrula sparsa</i> (I)			Fat pocketbook pearly mussel
	<i>Toxolasma cylindrella</i> (I)			Cumberland monkey-face pearly mussel
	<i>Unio nickliniana</i> (I)			Appalachian monkey-face pearly mussel
	<i>Unio tampicoensis tecomatensis</i> (I)			Pale lilliput pearly mussel
	<i>Villosa trabalis</i> (I)			Nicklin's pearly mussel
				Tampico pearly mussel
				Cumberland bean pearly mussel

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	Annex A	Annex B	Annex C	Common name
VENEROIDA				
Tridacnidae		Tridacnidae spp. (II)		Giant clams Giant clams
GASTROPODA				Slugs, snails and conches
MESOGASTROPODA				
Strombidae		<i>Strombus gigas</i> (II)		Conches Queen conch
STYLOMMATOPHORA				
Achatinellidae	<i>Achatinella</i> spp. (I)			Agate snails, oahu tree snails Little agate shells
Camaenidae		<i>Papustyla pulcherrima</i> (II)		Green tree snail Manus green tree snail
		CNIDARIA (CORALS, FIRE CORALS, SEA ANEMONES)		
ANTHOZOA				Corals, sea anemones
ANTIPATHARIA		ANTIPATHARIA spp. (II)		Black corals
GORGONACEAE				
Coralliidae			<i>Corallium elatius</i> (III China) <i>Corallium japonicum</i> (III China) <i>Corallium konjoi</i> (III China) <i>Corallium secundum</i> (III China)	Red and pink corals
HELIOPORACEA				
Helioporidae		Helioporidae spp. (II) (Includes only the species <i>Heliopora coerulea</i>) ⁽⁹⁾		Blue coral Blue coral
SCLERACTINIA		SCLERACTINIA spp. (II) ⁽⁹⁾		Stony corals
STOLONIFERA				
Tubiporidae		Tubiporidae spp. (II) ⁽⁹⁾		Organpipe corals Organpipe corals
HYDROZOA				Sea ferns, fire corals, stinging medusas

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	Annex A	Annex B	Annex C	Common name
MILLEPORINA				
Milleporidae		Milleporidae spp. (II) ⁽⁹⁾		Wello fire corals Wello fire corals
STYLASTERINA				
Stylasteridae		Stylasteridae spp. (II) ⁽⁹⁾		Lace corals Lace corals
		FLORA		
AGAVACEAE	<i>Agave parviflora</i> (I)	<i>Agave victoriae-reginae</i> (II) #4 <i>Nolina interrata</i> (II) <i>Yucca queretaroensis</i> (II)		Agaves Santa Cruz striped agave Queen Victoria agave Dehesa bear-grass Queretaro yucca
AMARYLLIDACEAE		<i>Galanthus</i> spp. (II) #4 <i>Sternbergia</i> spp. (II) #4		Amaryllids Snowdrops Sternbergias
ANACARDIACEAE		<i>Operculicarya decaryi</i> (II) <i>Operculicarya hyphaenoides</i> (II) <i>Operculicarya pachypus</i> (II)		Jabihiy Jabihiy Tabily
APOCYNACEAE		<i>Hoodia</i> spp. (II) #9 <i>Pachypodium</i> spp. (II) (Except for the species included in Annex A) #4 <i>Pachypodium ambongense</i> (I) <i>Pachypodium baronii</i> (I) <i>Pachypodium decaryi</i> (I)		Hoodia Elephant trunks
ARALIACEAE		<i>Rauvolfia serpentina</i> (II) #2 <i>Panax ginseng</i> (II) (Only the population of the Russian Federation; no other population is included in the Annexes to this Regulation) #3		Snake-root devil-pepper Aralias Asian ginseng

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	Annex A	Annex B	Annex C	Common name
ARAUCARIACEAE	<i>Araucaria araucana</i> (I)	<i>Panax quinquefolius</i> (II) #3		American ginseng Araucarias
BERBERIDACEAE		<i>Podophyllum hexandrum</i> (II) #2		Monkey-puzzle tree Barberries
BROMELIACEAE		<i>Tillandsia harrisii</i> (II) #4 <i>Tillandsia kammii</i> (II) #4 <i>Tillandsia mauryana</i> (II) #4 <i>Tillandsia xerographica</i> (II) ⁽¹⁰⁾ #4		Himalayan may-apple Air plants, bromelias Harris' tillandsia Kamm's tillandsia Maury's tillandsia Xerographic tillandsia
CACTACEAE		CACTACEAE spp. (II) (Except for the species included in Annex A and <i>Pereskia</i> spp., <i>Pereskopsis</i> spp. and <i>Quiabentia</i> spp.) ⁽¹¹⁾ #4		Cacti Cacti
	<i>Ariocarpus</i> spp. (I) <i>Astrophytum asterias</i> (I) <i>Aztekium ritteri</i> (I) <i>Coryphantha werdermannii</i> (I) <i>Discocactus</i> spp. (I) <i>Echinocereus ferreirianus</i> ssp. <i>lindsayi</i> (I) <i>Echinocereus schmollii</i> (I) <i>Escobaria minima</i> (I) <i>Escobaria sneedii</i> (I) <i>Mammillaria pectinifera</i> (I) <i>Mammillaria solisioides</i> (I) <i>Melocactus conoideus</i> (I) <i>Melocactus deinacanthus</i> (I) <i>Melocactus glaucescens</i> (I) <i>Melocactus paucispinus</i> (I) <i>Obregonia denegrii</i> (I) <i>Pachycereus militaris</i> (I)			Living rock cacti Star cactus Aztec cactus Jobali pincushion cactus Discocacti Lindsay's hedgehog cacti Lamb's-tail cactus Nelle's cactus Sneed's pincushion cactus Conchilinqe Pitayita Conelike Turk's-cap cactus Wonderfully-bristled Turk's cap cactus Woolly waxy-stemmed Turk's-cap cactus Few-spined Turk's-cap cactus Artichoke cactus Grenadier's cap

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	Annex A	Annex B	Annex C	Common name
	<i>Pediocactus bradyi</i> (I) <i>Pediocactus knowltonii</i> (I) <i>Pediocactus paradinei</i> (I) <i>Pediocactus peeblesianus</i> (I) <i>Pediocactus sileri</i> (I) <i>Pelecypora</i> spp. (I) <i>Sclerocactus brevihamatus</i> ssp. <i>tobuschii</i> (I) <i>Sclerocactus erectocentrus</i> (I) <i>Sclerocactus glaucus</i> (I) <i>Sclerocactus mariposensis</i> (I) <i>Sclerocactus mesae-verdae</i> (I) <i>Sclerocactus nyensis</i> (I) <i>Sclerocactus papyracanthus</i> (I) <i>Sclerocactus pubispinus</i> (I) <i>Sclerocactus wrightiae</i> (I) <i>Strombocactus</i> spp. (I) <i>Turbinicarpus</i> spp. (I) <i>Uebelmannia</i> spp. (I)			Brady's pincushion cactus Knowlton's cactus Houserock valley cactus Peebles's Navajo cactus Siler's pincushion cactus Pine cane cactus Tobusch fishhook cactus Needle-spined pineapple cactus Uinta Basin hookless cactus Mariposa cactus Mesa Verde cactus Tonopah fishhook cactus Grama-grass cactus Great-Basin fishhook cactus Wright's fishhook cactus Peyote Turbinicarps Uebelmann cacti
CARYOCARACEAE				Ajos
COMPOSITAE (ASTERACEAE)		<i>Caryocar costaricense</i> (II) #4		Ajillo
	<i>Saussurea costus</i> (I) (also known as <i>S. lappa</i> , <i>Aucklandia lappa</i> or <i>A. costus</i>)			Asters, daisies, costus
CUCURBITACEAE				Costus
		<i>Zygosicyos pubescens</i> (II) (also known as <i>Xerosicyos pubescens</i>)		Tobory
CUPRESSACEAE		<i>Zygosicyos tripartitus</i> (II)		Betoboky
	<i>Fitzroya cupressoides</i> (I)			Cypresses
	<i>Pilgerodendron uviferum</i> (I)			Alerce
CYATHEACEAE				Pilgerodendron
				Tree ferns

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	Annex A	Annex B	Annex C	Common name
CYCADACEAE		<i>Cyathea</i> spp. (II) #4		Tree ferns Cycads Cycads
		CYCADACEAE spp. (II) (Except for the species included in Annex A) #4		
	<i>Cycas beddomei</i> (I)			Beddome's cycad
DICKSONIACEAE		<i>Cibotium barometz</i> (II) #4 <i>Dicksonia</i> spp. (II) (Only the populations of the Americas; no other populations are included in the Annexes to this Regulation. This includes the synonyms <i>Dicksonia berteriana</i> , <i>D. externa</i> , <i>D. sellowiana</i> and <i>D. stuebelii</i>) #4		Tree ferns Tree ferns
DIDIEREACEAE		DIDIEREACEAE spp. (II) #4		Didiereas Alluaudias, didiereas
DIOSCOREACEAE		<i>Dioscorea deltoidea</i> (II) #4		Yams Elephant's foot
DROSERACEAE		<i>Dionaea muscipula</i> (II) #4		Sundews Venus fly-trap
EBENACEAE		<i>Diospyros</i> spp. (II) (Only the populations of Madagascar; no other population is included in the Annexes to this Regulation) #5		Ebonies
EUPHORBIACEAE		<i>Euphorbia</i> spp. (II) #4 (Succulent species only except for: (1) <i>Euphorbia misera</i> ; (2) artificially propagated specimens of cultivars of <i>Euphorbia trigona</i> ;		Spurges Euphorbias

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	Annex A	Annex B	Annex C	Common name
	<i>Euphorbia ambovombensis</i> (I) <i>Euphorbia capsaintemariensis</i> (I) <i>Euphorbia cremersii</i> (I) (Includes the forma <i>viridifolia</i> and the var. <i>rakotozafyi</i>) <i>Euphorbia cylindrifolia</i> (I) (Includes the ssp. <i>tuberifera</i>) <i>Euphorbia decaryi</i> (I) (Includes the vars. <i>ampanihyensis</i>, <i>robinsonii</i> and <i>sprirosticha</i>) <i>Euphorbia francoisii</i> (I) <i>Euphorbia handiensis</i> (II) <i>Euphorbia lambii</i> (II) <i>Euphorbia moratii</i> (I) (Includes the vars. <i>antsingensis</i>, <i>bemarahensis</i> and <i>multiflora</i>)	(3) artificially propagated specimens of <i>Euphorbia lactea</i> grafted on artificially propagated root stock of <i>Euphorbia neriifolia</i>, when they are: — crested, or — fan-shaped, or — colour mutants; (4) artificially propagated specimens of cultivars of <i>Euphorbia</i> ‘Mili’ when they are: — readily recognisable as artificially propagated specimens, and — introduced into or (re-)exported from the Union in shipments of 100 or more plants, which are not subject to this Regulation, and (5) the species included in Annex A)		

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	Annex A	Annex B	Annex C	Common name
	<i>Euphorbia parvicyathophora</i> (I) <i>Euphorbia quartziticola</i> (I) <i>Euphorbia stygiana</i> (II) <i>Euphorbia tulearensis</i> (I)			
FAGACEAE			<i>Quercus mongolica</i> (III Russian Federation) #5	Beeches, oaks Mongolian oak
FOUQUIERIACEAE		<i>Fouquieria columnaris</i> (II) #4		Ocotillos, boojums Boojum tree Arbol del barril
	<i>Fouquieria fasciculata</i> (I) <i>Fouquieria purpusii</i> (I)			
GNETACEAE			<i>Gnetum montanum</i> (III Nepal) #1	Joint firs
JUGLANDACEAE		<i>Oreomunnea pterocarpa</i> (II) #4		Walnuts, gavilan Gavilàn
LAURACEAE		<i>Aniba rosaeodora</i> (II) (also known as <i>A. duckei</i>) #12		Brazilian rosewood
LEGUMINOSAE (FABACEAE)		<i>Caesalpinia echinata</i> (II) #10 <i>Dalbergia</i> spp. (II) (Only the populations of Madagascar) #5 <i>Dalbergia cochinchinensis</i> (II) #5		Legumes Brazil wood Malagasy rosewood Thailand rosewood
	<i>Dalbergia nigra</i> (I)	<i>Dalbergia granadillo</i> (II) #6 <i>Dalbergia retusa</i> (II) #6 <i>Dalbergia stevensonii</i> (II) #6	<i>Dalbergia darienensis</i> (III Panama) (population of Panama) #2 <i>Dalbergia tucurensis</i> (III Nicaragua) #6 <i>Dipteryx panamensis</i> (III Costa Rica/Nicaragua)	Granadillo rosewood Brazilian rosewood Black rosewood Honduras rosewood Yucatan Rosewood
		<i>Pericopsis elata</i> (II) #5		Almendro Afromosia

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	Annex A	Annex B	Annex C	Common name
LILIACEAE	<i>Aloe albida</i> (I) <i>Aloe albiflora</i> (I) <i>Aloe alfredii</i> (I) <i>Aloe bakeri</i> (I) <i>Aloe bellatula</i> (I) <i>Aloe calcairophila</i> (I) <i>Aloe compressa</i> (I) (Includes the vars. <i>paucituberculata</i> , <i>rugos-quamosa</i> and <i>schistophila</i>) <i>Aloe delphinensis</i> (I) <i>Aloe descoingsii</i> (I) <i>Aloe fragilis</i> (I) <i>Aloe haworthioides</i> (I) (Includes the var. <i>aurantiaca</i>) <i>Aloe helenae</i> (I) <i>Aloe laeta</i> (I) (Includes the var. <i>maniaensis</i>) <i>Aloe parallelifolia</i> (I) <i>Aloe parvula</i> (I) <i>Aloe pillansii</i> (I) <i>Aloe polyphylla</i> (I) <i>Aloe rauhii</i> (I) <i>Aloe suzannae</i> (I) <i>Aloe versicolor</i> (I) <i>Aloe vossii</i> (I)	<i>Platymiscium pleiostachyum</i> (II) #4 <i>Pterocarpus santalinus</i> (II) #7 <i>Senna meridionalis</i> (II) <i>Aloe</i> spp. (II) (Except for the species included in Annex A and <i>Aloe vera</i> , also known as <i>Aloe barbadensis</i> , which is not included in the Annexes) #4		Quira macawood Red sandalwood Taraby Lilies Aloes

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	Annex A	Annex B	Annex C	Common name
MAGNOLIACEAE			<i>Magnolia liliifera</i> var. <i>obovata</i> (III Nepal) #1	Magnolias Safan
MELIACEAE			<i>Cedrela fissilis</i> (III Bolivia) #5 <i>Cedrela lilloi</i> (III Bolivia) #5 <i>Cedrela odorata</i> (III Bolivia/ Brazil. In addition, the following countries have listed their national populations: Colombia, Guatemala and Peru) #5	Mahoganies, cedars Spanish cedar Honduras mahogany Big-leaf mahogany
NEPENTHACEAE		<i>Swietenia humilis</i> (II) #4 <i>Swietenia macrophylla</i> (II) (Population of the Neotropics — includes Central and South America and the Caribbean) #6 <i>Swietenia mahagoni</i> (II) #5 <i>Nepenthes</i> spp. (II) (Except for the species included in Annex A) #4		Caribbean mahogany Pitcher plants (old-world) Tropical pitcher plants
OLEACEAE	<i>Nepenthes khasiana</i> (I) <i>Nepenthes rajah</i> (I)		<i>Fraxinus mandshurica</i> (III Russian Federation) #5	Indian pitcher plant Giant tropical pitcher plant Olives, ashes Manchurian ash
ORCHIDACEAE		ORCHIDACEAE spp. (II) (Except for the species included in Annex A) ⁽¹²⁾ #4		Orchids Orchids
	For all of the following Annex A orchid species, seedling or tissue cultures are not subject to this Regulation, when: — they are obtained <i>in vitro</i> , in solid or liquid media, and			

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	Annex A	Annex B	Annex C	Common name
	— meet the definition of ‘artificially propagated’ in accordance with Article 56 of Commission Regulation (EC) No 865/2006 ⁽¹³⁾, and — when introduced into or (re-)exported from the Union are transported in sterile containers <i>Aerangis ellisii</i> (I) <i>Cephalanthera cucullata</i> (II) <i>Cypripedium calceolus</i> (II) <i>Dendrobium cruentum</i> (I) <i>Goodyera macrophylla</i> (II) <i>Laelia jongheana</i> (I) <i>Laelia lobata</i> (I) <i>Liparis loeselii</i> (II) <i>Ophrys argolica</i> (II) <i>Ophrys lunulata</i> (II) <i>Orchis scopulorum</i> (II) <i>Paphiopedilum</i> spp. (I) <i>Peristeria elata</i> (I) <i>Phragmipedium</i> spp. (I) <i>Renanthera imschootiana</i> (I) <i>Spiranthes aestivalis</i> (II)			Hooded helleborine Lady's slipper orchid Madeiran lady's-tresses Fen orchid Eyed bee orchid Crescent ophrys Madeiran orchid Asian slipper orchids Holy ghost orchid South American slipper orchids Red vanda Summer lady's-tresses Broomrapes Desert cistanche Palms Manarano Butterfly palm Hovitra varimena Coco de Mer Ravimbe
OROBANCHACEAE		<i>Cistanche deserticola</i> (II) #4		
PALMAE (ARECACEAE)		<i>Beccariophoenix madagascariensis</i> (II) #4		
	<i>Chrysalidocarpus decipiens</i> (I)	<i>Lemurophoenix halleuxii</i> (II)		
		<i>Marojejya darianii</i> (II)	<i>Lodoicea maldivica</i> (III) Seychelles) #13	

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	Annex A	Annex B	Annex C	Common name
		<i>Neodypsis decaryi</i> (II) #4 <i>Ravenea louvelii</i> (II) <i>Ravenea rivularis</i> (II) <i>Satranala decussilvae</i> (II) <i>Voanioala gerardii</i> (II)		Triangle palm Lakamarefo Gora Satranabe Voanioala Poppies Himalayan poppy
PAPAVERACEAE			<i>Meconopsis regia</i> (III Nepal) #1	
PASSIFLORACEAE		<i>Adenia firingalavensis</i> (II) <i>Adenia olaboensis</i> (II) <i>Adenia subsessilifolia</i> (II)		Bottle liana Vahisasety Katakata Pedaliaceae family Uncarina Uncarina
PEDALIACEAE		<i>Uncarina grandidieri</i> (II) <i>Uncarina stellulifera</i> (II)		Pine family Guatemalan fir
PINACEAE	<i>Abies guatemalensis</i> (I)		<i>Pinus koraiensis</i> (III Russian Federation) #5	
PODOCARPACEAE			<i>Podocarpus neriifolius</i> (III Nepal) #1	Podocarps Yellow wood
PORTULACACEAE	<i>Podocarpus parlatoresi</i> (I)			Parlatore's podocarp Portulacas, purslanes Purslanes
		<i>Anacampseros</i> spp. (II) #4 <i>Avonia</i> spp. (II) #4 <i>Lewisia serrata</i> (II) #4		Saw-toothed lewisia Primulas, cyclamens Cyclamens
PRIMULACEAE		<i>Cyclamen</i> spp. (II) ⁽¹⁴⁾ #4		Buttercups Yellow adonis Golden seal
RANUNCULACEAE		<i>Adonis vernalis</i> (II) #2 <i>Hydrastis canadensis</i> (II) #8		Roses, cherries African cherry
ROSACEAE		<i>Prunus africana</i> (II) #4		Ayugue
RUBIACEAE	<i>Balmea stormiae</i> (I)			Ayugue

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	Annex A	Annex B	Annex C	Common name
SANTALACEAE		<i>Osyris lanceolata</i> (II) (Only the populations of Burundi, Ethiopia, Kenya, Rwanda, Uganda and the United Republic of Tanzania; no other population is included in the Annexes) #2		East African sandalwood
SARRACENIACEAE		<i>Sarracenia</i> spp. (II) (Except for the species included in Annex A) #4		Pitcher plants (new world) Pitcher plants
	<i>Sarracenia oreophila</i> (I)			Green pitcher plant
	<i>Sarracenia rubra</i> ssp. <i>alabamensis</i> (I)			Alabama canebrake pitcher plant
	<i>Sarracenia rubra</i> ssp. <i>jonesii</i> (I)			Mountain sweet pitcher plant
SCROPHULARIACEAE		<i>Picrorhiza kurrooa</i> (II) (excludes <i>Picrorhiza scrophulariiflora</i>) #2		Figworts Indian gentian
STANGERIACEAE		<i>Bowenia</i> spp. (II) #4		Stangerias (cycads) Cycads
	<i>Stangeria eriopus</i> (I)			Stangeria
TAXACEAE		<i>Taxus chinensis</i> and infraspecific taxa of this species (II) #2		Yews Chinese yew
		<i>Taxus cuspidata</i> and infraspecific taxa of this species (II) ⁽¹⁵⁾ #2		Japanese yew
		<i>Taxus fuana</i> and infraspecific taxa of this species (II) #2		Tibetan yew

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	Annex A	Annex B	Annex C	Common name
THYMELAEACEAE (AQUILARIACEAE)		<i>Taxus sumatrana</i> and infraspecific taxa of this species (II) #2 <i>Taxus wallichiana</i> (II) #2		Sumatran yew Himalayan yew Agarwood, ramin
TROCHODENDRACEAE (TETRACENTRACEAE)		<i>Aquilaria</i> spp. (II) #14 <i>Gonystylus</i> spp. (II) #4 <i>Gyrinops</i> spp. (II) #14		Agarwood Ramin Agarwood Tetracentrons
VALERIANACEAE			<i>Tetracentron sinense</i> (III Nepal) #1	Valerians
VITACEAE		<i>Nardostachys grandiflora</i> (II) #2		
		<i>Cyphostemma elephantopus</i> (II) <i>Cyphostemma laza</i> (II) <i>Cyphostemma montagnacii</i> (II)		Lazampasika Laza Lazambohitra
WELWITSCHIACEAE		<i>Welwitschia mirabilis</i> (II) #4		Welwitschias Welwitschia
ZAMIACEAE		ZAMIACEAE spp. (II) (Except for the species included in Annex A) #4		Cycads Cycads
	<i>Ceratozamia</i> spp. (I) <i>Chigua</i> spp. (I) <i>Encephalartos</i> spp. (I) <i>Microcycas calocoma</i> (I)			Horncones
ZINGIBERACEAE		<i>Hedychium philippinense</i> (II) #4		Bread palms Palm corcho Ginger lilies
ZYGOPHYLLACEAE		<i>Bulnesia sarmientoi</i> (II) #11 <i>Guaiacum</i> spp. (II) #2		Philippine garland-flower Lignum-vitae Holy wood Lignum-vitae

(1) Population of Argentina (listed in Annex B):

For the exclusive purpose of allowing international trade in wool sheared from live vicuñas of the populations included in Annex B, in cloth and in derived manufactured products and other handicraft artefacts. The reverse side of the cloth must bear the logotype adopted by the range States of the species, which are signatories to the Convenio para la Conservación y Manejo de la Vicuña, and the selvages the words 'VICUÑA-ARGENTINA'. Other products must bear a label including the logotype and the designation 'VICUÑA-ARGENTINA-ARTESANÍA'. All other specimens shall be deemed to be specimens of species included in Annex A and the trade in them shall be regulated accordingly.

(2) Population of Bolivia (listed in Annex B):

For the exclusive purpose of allowing international trade in wool sheared from live vicuñas and in cloth and items made thereof, including luxury handicrafts and knitted articles. The reverse side of the cloth must bear the logotype adopted by the range States of the species, which are signatories to the Convenio para la Conservación y Manejo de la Vicuña, and the selvages the words 'VICUÑA-BOLIVIA'. Other products must bear a label including the logotype and the designation 'VICUÑA-BOLIVIA-ARTESANÍA'. All other specimens shall be deemed to be specimens of species included in Annex A and the trade in them shall be regulated accordingly.

(3) Population of Chile (listed in Annex B):

For the exclusive purpose of allowing international trade in wool sheared from live vicuñas of the populations included in Annex B, and in cloth and items made thereof, including luxury handicrafts and knitted articles. The reverse side of the cloth must bear the logotype adopted by the range States of the species, which are signatories to the Convenio para la Conservación y Manejo de la Vicuña, and the selvages the words 'VICUÑA-CHILE'. Other products must bear a label including the logotype and the designation 'VICUÑA-CHILE-ARTESANÍA'. All other specimens shall be deemed to be specimens of species included in Annex A and the trade in them shall be regulated accordingly.

(4) Population of Ecuador (listed in Annex B):

For the exclusive purpose of allowing international trade in wool sheared from live vicuñas and in cloth and items made thereof, including luxury handicrafts and knitted articles. The reverse side of the cloth must bear the logotype adopted by the range States of the species, which are signatories to the Convenio para la Conservación y Manejo de la Vicuña, and the selvages the words 'VICUÑA ECUADOR'. Other products must bear a label including the logotype and the designation 'VICUÑA ECUADOR-ARTESANÍA'.

All other specimens shall be deemed to be specimens of species included in Annex A and the trade in them shall be regulated accordingly.

(5) Population of Peru (listed in Annex B):

For the exclusive purpose of allowing international trade in wool sheared from live vicuñas and in the stock extant at the time of the ninth meeting of the Conference of the Parties (November 1994) of 3 249 kg of wool, and in cloth and items made thereof, including luxury handicrafts and knitted articles. The reverse side of the cloth must bear the logotype adopted by the range States of the species, which are signatories to the Convenio para la Conservación y Manejo de la Vicuña, and the selvages the words 'VICUÑA-PERU'. Other products must bear a label including the logotype and the designation 'VICUÑA-PERU-ARTESANÍA'. All other specimens shall be deemed to be specimens of species included in Annex A and the trade in them shall be regulated accordingly.

(6) All species are listed in Appendix II to the Convention except *Balaena mysticetus*, *Eubalaena* spp., *Balaenoptera acutorostrata* (except population of West Greenland), *Balaenoptera bonaerensis*, *Balaenoptera borealis*, *Balaenoptera edeni*, *Balaenoptera musculus*, *Balaenoptera omurai*, *Balaenoptera physalus*, *Megaptera novaeangliae*, *Orcaella brevirostris*, *Orcaella heinsohni*, *Sotalia* spp., *Sousa* spp., *Eschrichtius robustus*, *Lipotes vexillifer*, *Caperea marginata*, *Neophocaena phocaenoides*, *Phocoena sinus*, *Physeter macrocephalus*, *Platanista* spp., *Berardius* spp., *Hyperoodon* spp., which are listed in Appendix I. Specimens of the species listed in Appendix II to the Convention, including products and derivatives other than meat products for commercial purposes, taken by the people of Greenland under licence granted by the competent authority concerned, shall be treated as belonging to Annex B. A zero annual export quota is established for live specimens from the Black Sea population of *Tursiops truncatus* removed from the wild and traded for primarily commercial purposes.

(7) Populations of Botswana, Namibia, South Africa and Zimbabwe (listed in Annex B):

For the exclusive purpose of allowing: (a) trade in hunting trophies for non-commercial purposes; (b) trade in live animals to appropriate and acceptable destinations as defined in Resolution Conf. 11.20 for Botswana and Zimbabwe and for *in situ* conservation programmes for Namibia and South Africa; (c) trade in hides; (d) trade in hair; (e) trade in leather goods for commercial or non-commercial purposes for Botswana, Namibia and South Africa and for non-commercial purposes for Zimbabwe; (f) trade in individually marked and certified Ekipas incorporated in finished jewellery for non-commercial purposes for Namibia and ivory carvings for non-commercial purposes for Zimbabwe; (g) trade in registered raw ivory (for Botswana, Namibia, South Africa and Zimbabwe whole tusks and pieces) subject to the following: (i) only registered government-owned stocks, originating in the State (excluding seized ivory and ivory of unknown origin); (ii) only to trading partners that have been verified by the Secretariat, in consultation with the Standing Committee, to have sufficient national legislation and domestic trade controls to ensure that the imported ivory will not be re-exported and will be managed in accordance with all requirements of Resolution Conf. 10.10 (Rev. CoP14) concerning domestic manufacturing and trade; (iii) not before the Secretariat has verified the prospective importing countries and the registered government-owned stocks; (iv) raw ivory pursuant to the conditional sale of registered government-owned ivory stocks agreed at CoP12 which are 20 000 kg (Botswana), 10 000 kg (Namibia) and 30 000 kg (South Africa); (v) in addition to the quantities agreed at CoP12, government-owned ivory from Botswana, Namibia, South Africa and Zimbabwe registered by 31 January 2007 and verified by the Secretariat may be traded and despatched, with the ivory in paragraph (g)(iv) above in a single sale per destination under strict supervision of the Secretariat; (vi) the proceeds of the trade are used exclusively for elephant conservation and community conservation and development programmes within or adjacent to the elephant range; and (vii) the additional quantities specified in paragraph (g)(v) above shall be traded only after the Standing Committee has agreed that the above conditions have been met; (h) no further proposals to allow trade in elephant ivory from populations already in Annex B shall be submitted to the Conference of the Parties for the period from CoP14 and ending nine years from the date of the single sale of ivory that is to take place in accordance with provisions in paragraphs (g)(i), (g)(ii), (g)(iii), (g)(vi), and (g)(vii). In addition, such further proposals shall be

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dealt with in accordance with Decisions 14.77 and 14.78 (Rev. CoP15). On a proposal from the Secretariat, the Standing Committee can decide to cause this trade to cease partially or completely in the event of non-compliance by exporting or importing countries, or in the case of proven detrimental impacts of the trade on other elephant populations. All other specimens shall be deemed to be specimens of species included in Annex A and the trade in them shall be regulated accordingly.

(⁸) This listing includes the newly described taxon *Scleropages inscriptus*.

(⁹) The following are not subject to the provisions of this Regulation:

Fossils;

Coral sand, that is to say, material consisting entirely or in part of finely crushed fragments of dead coral no larger than 2 mm in diameter, not identifiable to the level of genus, and which may also contain, amongst other things, the remains of Foraminifera, mollusc and crustacean shell, and coralline algae;

Coral fragments (including gravel and rubble), that is to say, unconsolidated fragments of broken finger-like dead coral and other material between 2 and 30 mm measured in any direction, not identifiable to the level of genus.

(¹⁰) Trade of specimens with source code A is allowed only if specimens traded possess cataphylls.

(¹¹) Artificially propagated specimens of the following hybrids and/or cultivars are not subject to the provisions of this Regulation:

Hatiora x *graeseri*

Schlumbergera x *buckleyi*

Schlumbergera russelliana x *Schlumbergera truncata*

Schlumbergera orssichiana x *Schlumbergera truncata*

Schlumbergera opuntioides x *Schlumbergera truncata*

Schlumbergera truncata (cultivars)

Cactaceae spp. colour mutants grafted on the following grafting stocks: *Harrisia* 'Jusbertii', *Hylocereus trigonus* or *Hylocereus undatus*

Opuntia microdasys (cultivars)

(¹²) Artificially propagated hybrids of *Cymbidium*, *Dendrobium*, *Phalaenopsis* and *Vanda* are not subject to the provisions of this Regulation, when specimens are readily recognisable as artificially propagated and do not show any signs of having been collected in the wild such as mechanical damage or strong dehydration resulting from collection, irregular growth and heterogeneous size and shape within a taxon and shipment, algae or other epiphyllous organisms adhering to leaves, or damage by insects or other pests; and

(a) when shipped in non-flowering state, the specimens must be traded in shipments consisting of individual containers (such as cartons, boxes, crates or individual shelves of CC-containers) each containing 20 or more plants of the same hybrid; the plants within each container must exhibit a high degree of uniformity and healthiness; and the shipment must be accompanied by documentation, such as an invoice, which clearly states the number of plants of each hybrid; or

(b) when shipped in flowering state, with at least one fully open flower per specimen, no minimum number of specimens per shipment is required but specimens must be professionally processed for commercial retail sale, e.g. labelled with printed labels or packaged with printed packages indicating the name of the hybrid and the country of final processing. This should be clearly visible and allow easy verification.

Plants not clearly qualifying for the exemption must be accompanied by appropriate CITES documents.

(¹³) Commission Regulation (EC) No 865/2006 of 4 May 2006 laying down detailed rules concerning the implementation of Council Regulation (EC) No 338/97 on the protection of species of wild fauna and flora by regulating trade therein (OJ L 166, 19.6.2006, p. 1).

(¹⁴) Artificially propagated specimens of cultivars of *Cyclamen persicum* are not subject to the provisions of this Regulation. However, the exemption does not apply to such specimens traded as dormant tubers.

(¹⁵) Artificially propagated hybrids and cultivars of *Taxus cuspidata*, live, in pots or other small containers, each consignment being accompanied by a label or document stating the name of the taxon or taxa and the text 'artificially propagated', are not subject to the provisions of this Regulation.

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	Annex D	Common name
FAUNA		
CHORDATA (CHORDATES)		
MAMMALIA		Mammals
CARNIVORA		
Canidae		Dogs, foxes, wolves
	<i>Vulpes vulpes griffithi</i> (III India) §1	Red fox
	<i>Vulpes vulpes montana</i> (III India) §1	Red fox
	<i>Vulpes vulpes pusilla</i> (III India) §1	Red fox
Mustelidae		Badgers, martens, weasels, etc.
	<i>Mustela altaica</i> (III India) §1	Mountain weasel
	<i>Mustela erminea ferghanae</i> (III India) §1	Stoat
	<i>Mustela kathiah</i> (III India) §1	Yellow-bellied weasel
	<i>Mustela sibirica</i> (III India) §1	Siberian weasel
DIPROTODONTIA		
Macropodidae		Kangaroos, wallabies
	<i>Dendrolagus dorianus</i>	Doria's tree-kangaroo
	<i>Dendrolagus goodfellowi</i>	Goodfellow's tree-kangaroo
	<i>Dendrolagus matschiei</i>	Huon tree-kangaroo
	<i>Dendrolagus pulcherrimus</i>	Golden-mantled tree-kangaroo
	<i>Dendrolagus stellarum</i>	Seri's tree-kangaroo
AVES		Birds
ANSERIFORMES		
Anatidae		Ducks, geese, swans
	<i>Anas melleri</i>	Meller's duck
COLUMBIFORMES		
Columbidae		Doves, pigeons
	<i>Columba oenops</i>	Peruvian pigeon
	<i>Didunculus strigirostris</i>	Tooth-billed pigeon
	<i>Ducula pickeringii</i>	Grey imperial-pigeon

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	Annex D	Common name
	<i>Gallicolumba crinigera</i>	Mindanao bleeding-heart
	<i>Ptilinopus marchei</i>	Flame-breasted fruit-dove
	<i>Turacoena modesta</i>	Black cuckoo-dove
GALLIFORMES		
Cracidae		Chachalacas, curassows, guans
	<i>Crax alector</i>	Black curassow
	<i>Pauxi unicornis</i>	Horned curassow
	<i>Penelope pileata</i>	White-crested guan
Megapodiidae		Megapodes, scrubfowl
	<i>Eulipoa wallacei</i>	Moluccan scrubfowl
Phasianidae		Grouse, guineafowl, partridges, pheasants, tragopans
	<i>Arborophila gingica</i>	White-necklaced partridge
	<i>Lophura bulweri</i>	Bulwer's pheasant
	<i>Lophura diardi</i>	Siamese fireback
	<i>Lophura inornata</i>	Salvadori's pheasant
	<i>Syrmaticus reevesii</i> §2	Reeves's pheasant
PASSERIFORMES		
Bombycillidae		Waxwings
	<i>Bombycilla japonica</i>	Japanese waxwing
Corvidae		Crows, magpies, jays
	<i>Cyanocorax caeruleus</i>	Azure jay
	<i>Cyanocorax dickeyi</i>	Tufted jay
Cotingidae		Cotingas
	<i>Procnias nudicollis</i>	Bare-throated bellbird
Emberizidae		Cardinals, seedeaters, tanagers
	<i>Dacnis nigripes</i>	Black-legged dacnis
	<i>Sporophila falcirostris</i>	Temminck's seedeater

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	Annex D	Common name
Estrildidae	<i>Sporophila frontalis</i>	Buffy-throated seedeater
	<i>Sporophila hypochroma</i>	Grey-and-chestnut seedeater
	<i>Sporophila palustris</i>	Marsh seedeater
		Mannikins, waxbills
	<i>Amandava amandava</i>	Red avadavat
	<i>Cryptospiza reichenovii</i>	Red-faced crimson-wing
	<i>Erythrura coloria</i>	Red-eared parrotfinch
	<i>Erythrura viridifacies</i>	Green-faced parrotfinch
	<i>Estrilda quartinia</i> (Frequently traded as <i>Estrilda melanotis</i>)	Yellow-bellied waxbill
	<i>Hypargos niveoguttatus</i>	Peters's twinspot
Fringillidae	<i>Lonchura griseicapilla</i>	Grey-headed silverbill
	<i>Lonchura punctulata</i>	Scaly-breasted munia
	<i>Lonchura stygia</i>	Black munia
		Finches
	<i>Carduelis ambigua</i>	Black-headed greenfinch
	<i>Carduelis atrata</i>	Black siskin
	<i>Kozlowia roborowskii</i>	Tibetan rosefinch
	<i>Pyrrhula erythaca</i>	Grey-headed bullfinch
	<i>Serinus canicollis</i>	Cape canary
	<i>Serinus citrinelloides hypostictus</i> (Frequently traded as <i>Serinus citrinelloides</i>)	East African citril
Icteridae		New-world blackbirds
Muscicapidae	<i>Sturnella militaris</i>	Pampas meadowlark
		Old-world flycatchers, thrushes
	<i>Cochoa azurea</i>	Javan cochoa
	<i>Cochoa purpurea</i>	Purple cochoa
	<i>Garrulax formosus</i>	Red-winged laughingthrush
	<i>Garrulax galbanus</i>	Yellow-throated laughingthrush

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	Annex D	Common name
	<i>Garrulax milnei</i>	Red-tailed laughing thrush
	<i>Niltava davidi</i>	Fujian niltava
	<i>Stachyris whiteheadi</i>	Chestnut-faced babbler
	<i>Swynnertonia swynnertoni</i> (Also referenced as <i>Pogonicichla swynnertoni</i>)	Swynnerton's robin
	<i>Turdus dissimilis</i>	Black-breasted thrush
Pittidae		Pittas
	<i>Pitta nipalensis</i>	Blue-naped pitta
	<i>Pitta steerii</i>	Azure-breasted pitta
Sittidae		Nuthatches
	<i>Sitta magna</i>	Giant nuthatch
	<i>Sitta yunnanensis</i>	Yunnan nuthatch
Sturnidae		Mynas, starlings
	<i>Cosmopsarus regius</i>	Golden-breasted starling
	<i>Mino dumontii</i>	Yellow-faced myna
	<i>Sturnus erythropygius</i>	White-headed starling
REPTILIA		Reptiles
SAURIA		
Agamidae		
	<i>Physignathus cocincinus</i>	Chinese water dragon
Anguidae		
	<i>Abronia graminea</i>	Arboreal alligator lizard
Gekkonidae		Gekkos
	<i>Rhacodactylus auriculatus</i>	New Caledonia bumpy gecko
	<i>Rhacodactylus ciliatus</i>	Guichenot's giant gecko
	<i>Rhacodactylus leachianus</i>	New Caledonia giant gecko
	<i>Teratoscincus microlepis</i>	Small-scaled wonder gecko
	<i>Teratoscincus scincus</i>	Common wonder gecko
Gerrhosauridae		Spiny-tailed lizards

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	Annex D	Common name
	<i>Zonosaurus karsteni</i>	Karsten's girdled lizard
	<i>Zonosaurus quadrilineatus</i>	Four-lined girdled lizard
Iguanidae		
	<i>Ctenosaura quinquecarinata</i>	Club-tail iguana
Scincidae		Skinks
	<i>Tribolonotus gracilis</i>	Crocodile skink
	<i>Tribolonotus novaeguineae</i>	New Guinea helmet skink
SERPENTES		
Colubridae		Typical snakes, water snakes, whip snakes
	<i>Elaphe carinata</i> §1	Taiwan stink snake
	<i>Elaphe radiata</i> §1	Radiated rat snake
	<i>Elaphe taeniura</i> §1	Taiwan beauty snake
	<i>Enhydryis bocourti</i> §1	Bocourt's water snake
	<i>Homalopsis buccata</i> §1	Masked water snake
	<i>Langaha nasuta</i>	Northern leafnose snake
	<i>Leioheterodon madagascariensis</i>	Madagascar menarana snake
	<i>Ptyas korros</i> §1	Indochinese rat snake
	<i>Rhabdophis subminiatus</i> §1	Redneck keelback
Hydrophiidae		Sea snakes
	<i>Lapemis curtus</i> (Includes <i>Lapemis hardwickii</i>) §1	Shaw's sea snake
Viperidae		Vipers
	<i>Calloselasma rhodostoma</i> §1	Malayan pit viper
AMPHIBIA		
ANURA		Frogs and toads
Dicroglossidae		Frogs
	<i>Limnonectes macrodon</i>	Fanged river frog or Javan giant frog
Hylidae		Tree frogs
	<i>Phyllomedusa sauvagii</i>	Waxy monkey tree frog
Leptodactylidae		Neotropical frogs

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	Annex D	Common name
Ranidae	<i>Leptodactylus laticeps</i>	Red spotted burrow frog
CAUDATA	<i>Pelophylax shqiperica</i>	Frogs Albanian pool frog
Hynobiidae	<i>Ranodon sibiricus</i>	Asiatic salamanders Semirechensk salamander/Central Asian salamander/Siberian salamander
Plethodontidae	<i>Bolitoglossa dofleini</i>	Lungless salamanders Giant palm salamander
Salamandridae	<i>Cynops ensicauda</i>	Newts and salamanders Sword-tailed newt
	<i>Echinotriton andersoni</i>	Anderson's salamander
	<i>Laotriton laoensis</i>	Paddletail newt
	<i>Paramesotriton</i> spp.	Warty newt
	<i>Salamandra algira</i>	North African fire salamander
	<i>Tylototriton</i> spp.	Crocodile newts
ACTINOPTERYGII		Fish
PERCIFORMES		
Apogonidae	<i>Pterapogon kauderni</i>	Banggai cardinalfish
	ARTHROPODA (ARTHROPODS)	
INSECTA		Insects
LEPIDOPTERA		Butterflies
Papilionidae	<i>Baronia brevicornis</i>	Birdwing and swallow-tail butterflies Short-horned baronia
	<i>Papilio groesmithi</i>	
	<i>Papilio maraho</i>	Broad-tailed swallowtail

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	Annex D	Common name
MOLLUSCA (MOLLUSCS)		
GASTROPODA		
Haliotidae	<i>Haliotis midae</i>	Midas ear abalone
FLORA		
AGAVACEAE	<i>Calibanus hookeri</i>	Agaves
	<i>Dasylirion longissimum</i>	Beargrass
ARACEAE	<i>Arisaema dracontium</i>	Arums
	<i>Arisaema erubescens</i>	Green dragon
	<i>Arisaema galeatum</i>	
	<i>Arisaema nepenthoides</i>	
	<i>Arisaema sikokianum</i>	
	<i>Arisaema thunbergii</i> var. <i>urashima</i>	
	<i>Arisaema tortuosum</i>	
	<i>Biarum davisii</i> ssp. <i>marmarisense</i>	
	<i>Biarum ditschianum</i>	
COMPOSITAE (ASTERACEAE)	<i>Arnica montana</i> §3	Asters, daisies, costus
	<i>Othonna cacalioides</i>	Mountain tobacco
	<i>Othonna clavifolia</i>	
	<i>Othonna hallii</i>	
	<i>Othonna herrei</i>	
	<i>Othonna lepidocaulis</i>	
	<i>Othonna retrorsa</i>	
ERICACEAE	<i>Arctostaphylos uva-ursi</i> §3	Heathers, rhododendrons
		Bearberry

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	Annex D	Common name
GENTIANACEAE	<i>Gentiana lutea</i> §3	Gentians Great yellow gentian
LILIACEAE	<i>Trillium pusillum</i> <i>Trillium rugelii</i> <i>Trillium sessile</i>	Wakerobins Dwarf wakerobin Ill-scented wakerobin Sessile-flowered wakerobin wood-lily
LYCOPODIACEAE	<i>Lycopodium clavatum</i> §3	Clubmosses Stagshorn clubmoss
MELIACEAE	<i>Cedrela montana</i> §4 <i>Cedrela oaxacensis</i> §4 <i>Cedrela salvadorensis</i> §4 <i>Cedrela tonduzii</i> §4	Mahoganies, cedars
MENYANTHACEAE	<i>Menyanthes trifoliata</i> §3	Bogbeans Bogbean
PARMELIACEAE	<i>Cetraria islandica</i> §3	Parmelioid lichens Icelandic moss
PASSIFLORACEAE	<i>Adenia glauca</i> <i>Adenia pechuelli</i>	Desert roses Desert rose Desert rose
PEDALIACEAE	<i>Harpagophytum</i> spp. §3	Sesame, devil's claw Devil's claw
PORTULACACEAE	<i>Ceraria carrissoana</i> <i>Ceraria fruticulosa</i>	Portulas, purslanes
SELAGINELLACEAE	<i>Selaginella lepidophylla</i>	Clubmosses, spikemosses Rose of Jericho