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► **B****COUNCIL REGULATION (EC) No 338/97**

of 9 December 1996

on the protection of species of wild fauna and flora by regulating trade therein

(OJ L 61, 3.3.1997, p. 1)

Amended by:

		Official Journal		
		No	page	date
► <u>M1</u>	Commission Regulation (EC) No 938/97 of 26 May 1997	L 140	1	30.5.1997
► <u>M2</u>	Commission Regulation (EC) No 2307/97 of 18 November 1997	L 325	1	27.11.1997
► <u>M3</u>	Commission Regulation (EC) No 2214/98 of 15 October 1998	L 279	3	16.10.1998
► <u>M4</u>	Commission Regulation (EC) No 1476/1999 of 6 July 1999	L 171	5	7.7.1999
► <u>M5</u>	Commission Regulation (EC) No 2724/2000 of 30 November 2000	L 320	1	18.12.2000
► <u>M6</u>	Commission Regulation (EC) No 1579/2001 of 1 August 2001	L 209	14	2.8.2001
► <u>M7</u>	Commission Regulation (EC) No 2476/2001 of 17 December 2001	L 334	3	18.12.2001
► <u>M8</u>	Commission Regulation (EC) No 1497/2003 of 18 August 2003	L 215	3	27.8.2003
► <u>M9</u>	Regulation (EC) No 1882/2003 of the European Parliament and of the Council of 29 September 2003	L 284	1	31.10.2003
► <u>M10</u>	Commission Regulation (EC) No 834/2004 of 28 April 2004	L 127	40	29.4.2004
► <u>M11</u>	Commission Regulation (EC) No 1332/2005 of 9 August 2005	L 215	1	19.8.2005
► <u>M12</u>	Commission Regulation (EC) No 318/2008 of 31 March 2008	L 95	3	8.4.2008

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- **C1** Corrigendum, OJ L 298, 1.11.1997, p. 70 (338/97)



COUNCIL REGULATION (EC) No 338/97

of 9 December 1996

on the protection of species of wild fauna and flora by regulating trade therein

THE COUNCIL OF THE EUROPEAN UNION,

Having regard to the Treaty establishing the European Community, and in particular Article 130s (1) thereof,

Having regard to the proposal from the Commission ⁽¹⁾,

Having regard to the opinion of the Economic and Social Committee ⁽²⁾,

Acting in accordance with the procedure laid down in Article 189c of the Treaty ⁽³⁾,

- (1) Whereas Regulation (EEC) No 3626/82 ⁽⁴⁾ applies the Convention on International Trade in Endangered Species of Wild Fauna and Flora in the Community with effect from 1 January 1984; whereas the purpose of the Convention is to protect endangered species of fauna and flora through controls on international trade in specimens of those species;
- (2) Whereas, in order to improve the protection of species of wild fauna and flora which are threatened by trade or likely to be so threatened, Regulation (EEC) No 3626/82 must be replaced by a Regulation taking account of the scientific knowledge acquired since its adoption and the current structure of trade; whereas, moreover, the abolition of controls at internal borders resulting from the Single Market necessitates the adoption of stricter trade control measures at the Community's external borders, with documents and goods being checked at the customs office at the border where they are introduced;
- (3) Whereas the provisions of this Regulation do not prejudice any stricter measures which may be taken or maintained by Member States, in compliance with the Treaty, in particular with regard to the holding of specimens of species covered by this Regulation;
- (4) Whereas it is necessary to lay down objective criteria for the inclusion of species of wild fauna and flora in the Annexes to this Regulation;
- (5) Whereas the implementation of this Regulation necessitates the application of common conditions for the issue, use and presentation of documents relating to authorization of the introduction into the Community and the export or re-export from the Community of specimens of the species covered by this Regulation; whereas it is necessary to lay down specific provisions relating to the transit of specimens through the Community;
- (6) Whereas it is for a management authority of the Member State of destination, assisted by the scientific authority of that Member State and, where appropriate, taking into account any opinion of the Scientific Review Group, to decide on the requests for introduction of specimens into the Community;

⁽¹⁾ OJ No C 26, 3. 2. 1992, p. 1, and OJ No C 131, 12. 5. 1994, p. 1.

⁽²⁾ OJ No C 223, 31. 8. 1992, p. 19.

⁽³⁾ Opinion of the European Parliament of 15 December 1995 (OJ No C 17, 22. 1. 1996, p. 430). Common position of the Council of 26 February 1996 (OJ No C 196, 6. 7. 1996, p. 58) and Decision of the European Parliament of 18 September 1996 (OJ No C 320, 28. 10. 1996).

⁽⁴⁾ OJ No L 384, 31. 12. 1982, p. 1. Regulation as last amended by Commission Regulation (EC) No 558/95 (OJ No L 57, 15. 3. 1995, p. 1).

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- (7) Whereas it is necessary to supplement the provisions on re-export with a consultation procedure, in order to limit the risk of infringement;
- (8) Whereas, in order to guarantee effective protection of species of wild fauna and flora, additional restrictions may be imposed on the introduction of specimens into, and the export thereof from, the Community; whereas, with regard to live specimens, these restrictions may be supplemented by restrictions at Community level on the holding or movement of such specimens within the Community;
- (9) Whereas it is necessary to lay down specific provisions applicable to captive-born and bred, or artificially propagated specimens, to specimens which are personal or household effects, and to non-commercial loans, donations or exchanges between registered scientists and scientific institutions;
- (10) Whereas there is a need, in order to ensure the broadest possible protection for species covered by this Regulation, to lay down provisions for controlling trade and movement of specimens within the Community, and the conditions for housing specimens; whereas the certificates issued under this Regulation, which contribute to controlling these activities, must be governed by common rules on their issue, validity and use;
- (11) Whereas measures should be taken to minimize the adverse effects on live specimens of transport to their destination, from or within the Community;
- (12) Whereas, to ensure effective controls and to facilitate customs procedures, customs offices should be designated, with trained personnel responsible for carrying out the necessary formalities and corresponding checks where specimens are introduced into the Community, in order to assign them a customs-approved treatment or use within the meaning of Council Regulation (EEC) No 2913/92 of 12 October 1992 establishing the Community Customs Code ⁽¹⁾, or where they are exported or re-exported from the Community; whereas there should also be facilities guaranteeing that live specimens are adequately housed and cared for;
- (13) Whereas the implementation of this Regulation also calls for the designation of management and scientific authorities by the Member States;
- (14) Whereas informing the public and making them aware of the provisions of this Regulation, particularly at border crossing points, is likely to encourage compliance with these provisions;
- (15) Whereas, in order to ensure effective enforcement of this Regulation, Member States should closely monitor compliance with its provisions and, to that end, cooperate closely between themselves and with the Commission; whereas this requires the communication of information relating to the implementation of this Regulation;
- (16) Whereas the monitoring of levels of trade in the species of wild fauna and flora covered by this Regulation is of crucial importance for assessing the effects of trade on the conservation status of species; whereas detailed annual reports should be drawn up in a common format;
- (17) Whereas, in order to guarantee compliance with this Regulation, it is important that Member States impose sanctions for infringements in a manner which is both sufficient and appropriate to the nature and gravity of the infringement;

⁽¹⁾ OJ No L 302, 19. 10. 1992, p. 1. Regulation as last amended by the 1994 Act of Accession.

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- (18) Whereas it is essential to lay down a Community procedure enabling the implementing provisions and amendments to the Annexes of this Regulation to be adopted within a suitable period; whereas a Committee must be set up to permit close and effective cooperation between the Member States and the Commission in this field;
- (19) Whereas the multitude of biological and ecological aspects to be considered in the implementation of this Regulation requires the setting up of a Scientific Review Group, whose opinions will be forwarded by the Commission to the Committee and the management bodies of the Member States, to assist them in making their decisions,

HAS ADOPTED THIS REGULATION:

Article 1

Object

The object of this Regulation is to protect species of wild fauna and flora and to guarantee their conservation by regulating trade therein in accordance with the following Articles.

This Regulation shall apply in compliance with the objectives, principles and provisions of the Convention defined in Article 2.

Article 2

Definitions

For the purposes of this Regulation:

- (a) 'Committee' shall mean the Committee on Trade in Wild Fauna and Flora, established under Article 18;
- (b) 'Convention' shall mean the Convention on International Trade in Endangered Species of Wild Fauna and Flora (Cites);
- (c) 'country of origin' shall mean the country in which a specimen was taken from the wild, captive-bred or artificially propagated;
- (d) 'import notification' shall mean the notification given by the importer or his agent or representative, at the time of the introduction into the Community of a specimen of a species included in Annexes C or D, on a form prescribed by the Commission in accordance with the procedure laid down in Article 18;
- (e) 'introduction from the sea' shall mean the introduction into the Community of any specimen which was taken in, and is being introduced directly from, the marine environment not under the jurisdiction of any State, including the air-space above the sea and the sea-bed and subsoil beneath the sea;
- (f) 'issuance' shall mean the completion of all procedures involved in preparing and validating a permit or certificate and its delivery to the applicant;
- (g) 'management authority' shall mean a national administrative authority designated, in the case of a Member State, in accordance with Article 13(1)(a) or, in the case of a third country party to the Convention, in accordance with Article IX of the Convention;
- (h) 'Member State of destination' shall mean the Member State of destination mentioned in the document used to export or re-export a specimen; in the event of introduction from the sea, it shall mean the Member State within whose jurisdiction the place of destination of a specimen lies;

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- (i) 'offering for sale' shall mean offering for sale and any action that may reasonably be construed as such, including advertising or causing to be advertised for sale and invitation to treat;
- (j) 'personal or household effects' shall mean dead specimens, parts and derivatives thereof, that are the belongings of a private individual and that form, or are intended to form, part of his normal goods and chattels;
- (k) 'place of destination' shall mean the place at which at the time of introduction into the Community, it is intended that specimens will normally be kept; in the case of live specimens, this shall be the first place where specimens are intended to be kept following any period of quarantine or other confinement for the purposes of sanitary checks and controls;
- (l) 'population' shall mean a biologically or geographically distinct total number of individuals;
- (m) 'primarily commercial purposes' shall mean all purposes the non-commercial aspects of which do not clearly predominate;
- (n) 're-export from the Community' shall mean export from the Community of any specimen that has previously been introduced;
- (o) 'reintroduction into the Community' shall mean introduction into the Community of any specimen that has previously been exported or re-exported;
- (p) 'sale' shall mean any form of sale. For the purposes of this Regulation, hire, barter or exchange shall be regarded as sale; cognate expressions shall be similarly construed;
- (q) 'scientific authority' shall mean a scientific authority designated, in the case of a Member State, in accordance with Article 13(1)(b) or, in the case of a third country party to the Convention, in accordance with Article IX of the Convention;
- (r) 'Scientific Review Group' shall mean the consultative body established under Article 17;
- (s) 'species' shall mean a species, subspecies or population thereof;
- (t) 'specimen' shall mean any animal or plant, whether alive or dead, of the species listed in Annexes A to D, any part or derivative thereof, whether or not contained in other goods, as well as any other goods which appear from an accompanying document, the packaging or a mark or label, or from any other circumstances, to be or to contain parts or derivatives of animals or plants of those species, unless such parts or derivatives are specifically exempted from the provisions of this Regulation or from the provisions relating to the Annex in which the species concerned is listed by means of an indication to that effect in the Annexes concerned.

A specimen will be considered to be a specimen of a species listed in Annexes A to D if it is, or is part of or derived from, an animal or plant at least one of whose 'parents' is of a species so listed. In cases where the 'parents' of such an animal or plant are of species listed in different Annexes, or of species only one of which is listed, the provisions of the more restrictive Annex shall apply. However, in the case of specimens of hybrid plants, if one of the 'parents' is of a species listed in Annex A, the provisions of the more restrictive Annex shall apply only if that species is annotated to that effect in the Annex;

- (u) 'trade' shall mean the introduction into the Community, including introduction from the sea, and the export and re-export therefrom, as well as the use, movement and transfer of possession within the Community, including within a Member State, of specimens subject to the provisions of this Regulation;

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- (v) 'transit' shall mean the transport of specimens between two points outside the Community through the territory of the Community which are shipped to a named consignee and during which any interruption in the movement arises only from the arrangements necessitated by this form of traffic;
- (w) 'worked specimens that were acquired more than 50 years previously' shall mean specimens that were significantly altered from their natural raw state for jewellery, adornment, art, utility, or musical instruments, more than 50 years before the entry into force of this Regulation and that have been, to the satisfaction of the management authority of the Member State concerned, acquired in such conditions. Such specimens shall be considered as worked only if they are clearly in one of the aforementioned categories and require no further carving, crafting or manufacture to effect their purpose;
- (x) 'checks at the time of introduction, export, re-export and transit' shall mean documentary checks on the certificates, permits and notifications provided for in this Regulation and — in cases where Community provisions so provide or in other cases by representative sampling of the consignments — examination of the specimens, where appropriate accompanied by the taking of samples with a view to analysis or more detailed checks.

*Article 3***Scope**

1. Annex A shall contain:
 - (a) the species listed in Appendix I to the Convention for which the Member States have not entered a reservation;
 - (b) any species:
 - (i) which is, or may be, in demand for utilization in the Community or for international trade and which is either threatened with extinction or so rare that any level of trade would imperil the survival of the species;
 - or
 - (ii) which is in a genus of which most of the species or which is a species of which most of the subspecies are listed in Annex A in accordance with the criteria in subparagraphs (a) or (b)(i) and whose listing in the Annex is essential for the effective protection of those taxa.
2. Annex B shall contain:
 - (a) the species listed in Appendix II to the Convention, other than those listed in Annex A, for which the Member States have not entered a reservation;
 - (b) the species listed in Appendix I to the Convention for which a reservation has been entered;
 - (c) any other species not listed in Appendices I or II to the Convention:
 - (i) which is subject to levels of international trade that might not be compatible:
 - with its survival or with the survival of populations in certain countries, or
 - with the maintenance of the total population at a level consistent with the role of the species in the ecosystems in which it occurs;

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- (ii) whose listing in the Annex for reasons of similarity in appearance to other species listed in Annex A or Annex B, is essential in order to ensure the effectiveness of controls on trade in specimens of such species;
 - (d) species in relation to which it has been established that the introduction of live specimens into the natural habitat of the Community would constitute an ecological threat to wild species of fauna and flora indigenous to the Community.
3. Annex C shall contain:
- (a) the species listed in Appendix III to the Convention, other than those listed in Annexes A or B, for which the Member States have not entered a reservation;
 - (b) the species listed in Appendix II to the Convention for which a reservation has been entered.
4. Annex D shall contain:
- (a) species not listed in Annexes A to C which are imported into the Community in such numbers as to warrant monitoring;
 - (b) the species listed in Appendix III to the Convention for which a reservation has been entered.
5. Where the conservation status of species covered by this Regulation warrants their inclusion in one of the Appendices to the Convention, the Member States shall contribute to the necessary amendments.

*Article 4***Introduction into the Community**

1. The introduction into the Community of specimens of the species listed in Annex A shall be subject to completion of the necessary checks and the prior presentation, at the border customs office at the point of introduction, of an import permit issued by a management authority of the Member State of destination.

The import permit may be issued only in accordance with the restrictions established pursuant to paragraph 6 and when the following conditions have been met:

- (a) the competent scientific authority, after considering any opinion by the Scientific Review Group, has advised that the introduction into the Community:
 - (i) would not have a harmful effect on the conservation status of the species or on the extent of the territory occupied by the relevant population of the species;
 - (ii) is taking place:
 - for one of the purposes referred to in Article 8(3)(e), (f) and (g), or
 - for other purposes which are not detrimental to the survival of the species concerned;
- (b) (i) the applicant provides documentary evidence that the specimens have been obtained in accordance with the legislation on the protection of the species concerned which, in the case of import from a third country of specimens of a species listed in the Appendices to the Convention, shall be an export permit or re-export certificate, or copy thereof, issued in accordance with the Convention by a competent authority of the country of export or re-export;

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- (ii) however, the issuance of import permits for species listed in Annex A in accordance with Article 3(1)(a) shall not require such documentary evidence, but the original of any such import permit shall be withheld from the applicant pending presentation of the export permit or re-export certificate;
- (c) the competent scientific authority is satisfied that the intended accommodation for a live specimen at the place of destination is adequately equipped to conserve and care for it properly;
- (d) the management authority is satisfied that the specimen is not to be used for primarily commercial purposes;
- (e) the management authority is satisfied, following consultation with the competent scientific authority, that there are no other factors relating to the conservation of the species which militate against issuance of the import permit; and
- (f) in the case of introduction from the sea, the management authority is satisfied that any live specimen will be so prepared and shipped as to minimize the risk of injury, damage to health or cruel treatment.

2. The introduction into the Community of specimens of the species listed in Annex B shall be subject to completion of the necessary checks and the prior presentation, at the border customs office at the point of introduction, of an import permit issued by a management authority of the Member State of destination.

The import permit may be issued only in accordance with the restrictions established pursuant to paragraph 6 and when:

- (a) the competent scientific authority, after examining available data and considering any opinion from the Scientific Review Group, is of the opinion that the introduction into the Community would not have a harmful effect on the conservation status of the species or on the extent of the territory occupied by the relevant population of the species, taking account of the current or anticipated level of trade. This opinion shall be valid for subsequent imports as long as the abovementioned aspects have not changed significantly;
- (b) the applicant provides documentary evidence that the intended accommodation for a live specimen at the place of destination is adequately equipped to conserve and care for it properly;
- (c) the conditions referred to in paragraph 1(b)(i), (e) and (f) have been met.

3. The introduction into the Community of specimens of the species listed in Annex C shall be subject to completion of the necessary checks and the prior presentation, at the border customs office at the point of introduction, of an import notification and:

- (a) in the case of export from a country mentioned in relation to the species concerned in Annex C, the applicant shall provide documentary evidence, by means of an export permit issued in accordance with the Convention by an authority of that country competent for the purpose, that the specimens have been obtained in accordance with the national legislation on the conservation of the species concerned; or
- (b) in the case of export from a country not mentioned in relation to the species concerned in Annex C or re-export from any country, the applicant shall present an export permit, a re-export certificate or a certificate of origin issued in accordance with the Convention by an authority of the exporting or re-exporting country competent for the purpose.

4. The introduction into the Community of specimens of the species listed in Annex D shall be subject to completion of the necessary checks

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and the prior presentation of an import notification at the border customs office at the point of introduction.

5. The conditions for the issuance of an import permit as referred to in paragraph 1(a) and (d) and in paragraph 2(a), (b) and (c) shall not apply to specimens for which the applicant provides documentary evidence:

- (a) that they had previously been legally introduced into or acquired in the Community and that they are, modified or not, being reintroduced into the Community; or
- (b) that they are worked specimens that were acquired more than 50 years previously.

6. In consultation with the countries of origin concerned, in accordance with the procedure laid down in Article 18 and taking account of any opinion from the Scientific Review Group, the Commission may establish general restrictions, or restrictions relating to certain countries of origin, on the introduction into the Community:

- (a) on the basis of the conditions referred to in paragraph 1(a)(i) or (e), of specimens of species listed in Annex A;
- (b) on the basis of the conditions referred to in paragraph 1(e) or paragraph 2(a), of specimens of species listed in Annex B; and
- (c) of live specimens of species listed in Annex B which have a high mortality rate during shipment or for which it has been established that they are unlikely to survive in captivity for a considerable proportion of their potential life span; or
- (d) of live specimens of species for which it has been established that their introduction into the natural environment of the Community presents an ecological threat to wild species of fauna and flora indigenous to the Community.

The Commission shall on a quarterly basis publish a list of such restrictions, if any, in the *Official Journal of the European Communities*.

7. Where special cases of transshipment, air transfer or rail transport occur following introduction into the Community, derogations from completion of the checks and presentations of import documents at the border customs office at the point of introduction which are referred to in paragraphs 1 to 4 shall be granted in accordance with the procedure laid down in Article 18, in order to permit such checks and presentations to be made at another customs office designated in accordance with Article 12 (1).

Article 5

Export or re-export from the Community

1. The export or re-export from the Community of specimens of the species listed in Annex A shall be subject to completion of the necessary checks and the prior presentation, at the customs office at which the export formalities are completed, of an export permit or re-export certificate issued by a management authority of the Member State in which the specimens are located.

2. An export permit for specimens of the species listed in Annex A may be issued only when the following conditions have been met:

- (a) the competent scientific authority has advised in writing that the capture or collection of the specimens in the wild or their export will not have a harmful effect on the conservation status of the species or on the extent of the territory occupied by the relevant population of the species;

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- (b) the applicant provides documentary evidence that the specimens have been obtained in accordance with the legislation in force on the protection of the species in question; where the application is made to a Member State other than the Member State of origin, such documentary evidence shall be furnished by means of a certificate stating that the specimen was taken from the wild in accordance with the legislation in force on its territory;
- (c) the management authority is satisfied that:
 - (i) any live specimen will be so prepared and shipped as to minimize the risk of injury, damage to health or cruel treatment; and
 - (ii) — the specimens of species not listed in Annex I to the Convention will not be used for primarily commercial purposes, or
 - in the case of export to a State party to the Convention of specimens of the species referred to in Article 3(1)(a) of this Regulation, an import permit has been issued;
- and
- (d) the management authority of the Member State is satisfied, following consultation with the competent scientific authority, that there are no other factors relating to the conservation of the species which militate against issuance of the export permit.

3. A re-export certificate may be issued only when the conditions referred to in paragraph 2 (c) and (d) have been met and when the applicant provides documentary evidence that the specimens:

- (a) were introduced into the Community in accordance with the provisions of this Regulation;
- (b) if introduced into the Community before the entry into force of this Regulation, were introduced in accordance with the provisions of Regulation (EEC) No 3626/82; or
- (c) if introduced into the Community before 1984, entered international trade in accordance with the provisions of the Convention; or
- (d) were legally introduced into the territory of a Member State before the provisions of the Regulations referred to in (a) and (b) or of the Convention became applicable to them, or became applicable in that Member State.

4. The export or re-export from the Community of specimens of the species listed in Annexes B and C shall be subject to completion of the necessary checks and the prior presentation, at the customs office at which the export formalities are completed, of an export permit or re-export certificate issued by a management authority of the Member State in whose territory the specimens are located.

An export permit may be issued only when the conditions referred to in paragraph 2 (a), (b), (c) (i) and (d) have been met.

A re-export certificate may be issued only when the conditions referred to in paragraph 2 (c) (i) and (d) and in paragraph 3 (a) to (d) have been met.

5. Where an application for a re-export certificate concerns specimens introduced into the Community under an import permit issued by another Member State, the management authority must first consult the management authority which issued the permit. The consultation procedures and the cases in which consultation is necessary shall be established in accordance with the procedure laid down in Article 18.

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6. The conditions for the issuance of an export permit or re-export certificate as referred to in paragraph 2 (a) and (c) (ii) shall not apply to:
- (i) worked specimens that were acquired more than 50 years previously; or
 - (ii) dead specimens and parts and derivatives thereof for which the applicant provides documentary evidence that they were legally acquired before the provisions of this Regulation, or of Regulation (EEC) No 3626/82 or of the Convention became applicable to them.
7. (a) The competent scientific authority in each Member State shall monitor the issuance of export permits by that Member State for specimens of species listed in Annex B and actual exports of such specimens. Whenever such scientific authority determines that the export of specimens of any such species should be limited in order to maintain that species throughout its range at a level consistent with its role in the ecosystem in which it occurs, and well above the level at which that species might become eligible for inclusion in Annex A in accordance with Article 3 (1) (a) or (b) (i), the scientific authority shall advise the competent management authority, in writing, of suitable measures to be taken to limit the issuance of export permits for specimens of that species
- (b) Whenever a management authority is advised of the measures referred to in (a), it shall inform and send comments to the Commission which shall, if appropriate, recommend restrictions on exports of the species concerned in accordance with the procedure laid down in Article 18.

*Article 6***Rejection of applications for permits and certificates referred to in Articles 4, 5 and 10**

1. When a Member State rejects an application for a permit or certificate in a case of significance in respect of the objectives of this Regulation, it shall immediately inform the Commission of the rejection and of the reasons for rejection.
2. The Commission shall communicate information received in accordance with paragraph 1 to the other Member States in order to ensure uniform application of this Regulation.
3. When an application is made for a permit or certificate relating to specimens for which such an application has previously been rejected, the applicant must inform the competent authority to which the application is submitted of the previous rejection.
4. (a) Member States shall recognize the rejection of applications by the competent authorities of the other Member States, where such rejection is based on the provisions of this Regulation.
- (b) However, this need not apply where the circumstances have significantly changed or where new evidence to support an application has become available. In such cases, if a management authority issues a permit or certificate, it shall inform the Commission thereof, stating the reasons for issuance.

*Article 7***Derogations**

1. *Specimens born and bred in captivity or artificially propagated*
- (a) Save where Article 8 applies, specimens of species listed in Annex A that have been born and bred in captivity or artificially propagated shall be treated in accordance with the provisions applicable to specimens of species listed in Annex B.

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- (b) In the case of artificially propagated plants, the provisions of Articles 4 and 5 may be waived under special conditions laid down by the Commission, relating to:
 - (i) the use of phytosanitary certificates;
 - (ii) trade by registered commercial traders and by the scientific institutions referred to in paragraph 4 of this Article; and
 - (iii) trade in hybrids.
- (c) The criteria for determining whether a specimen has been born and bred in captivity or artificially propagated and whether for commercial purposes, as well as the special conditions referred to in (b), shall be specified by the Commission in accordance with the procedure laid down in Article 18.

2. *Transit*

- (a) By way of derogation from Article 4, where a specimen is in transit through the Community, checks and presentation at the border customs office at the point of introduction of the prescribed permits, certificates and notifications shall not be required.
- (b) In the case of species listed in the Annexes in accordance with Article 3 (1) and Article 3 (2) (a) and (b), the derogation referred to in (a) shall apply only where a valid export or re-export document provided for by the Convention, relating to the specimens that it accompanies and specifying the destination of the specimens, has been issued by the competent authorities of the exporting or re-exporting third country.
- (c) If the document referred to in (b) has not been issued before export or re-export, the specimen must be seized and may, where applicable, be confiscated unless the document is submitted retrospectively in compliance with the conditions specified by the Commission in accordance with the procedure laid down in Article 18.

3. *Personal and household effects*

By way of derogation from Articles 4 and 5, the provisions therein shall not apply to dead specimens, parts and derivatives of species listed in Annexes A to D which are personal or household effects being introduced into the Community, or exported or re-exported therefrom, in compliance with provisions that shall be specified by the Commission in accordance with the procedure laid down in Article 18.

4. *Scientific institutions*

The documents referred to in Articles 4, 5, 8 and 9 shall not be required in the case of non-commercial loans, donations and exchanges between scientists and scientific institutions, registered by the management authorities of the States in which they are located, of herbarium specimens and other preserved, dried or embedded museum specimens, and of live plant material, bearing a label, the model for which has been determined in accordance with the procedure laid down in Article 18 or a similar label issued or approved by a management authority of a third country.

Article 8

Provisions relating to the control of commercial activities

1. The purchase, offer to purchase, acquisition for commercial purposes, display to the public for commercial purposes, use for commercial gain and sale, keeping for sale, offering for sale or transporting for sale of specimens of the species listed in Annex A shall be prohibited.

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2. Member States may prohibit the holding of specimens, in particular live animals of the species listed in Annex A.

3. In accordance with the requirements of other Community legislation on the conservation of wild fauna and flora, exemption from the prohibitions referred to in paragraph 1 may be granted by issuance of a certificate to that effect by a management authority of the Member State in which the specimens are located, on a case-by-case basis where the specimens:

- (a) were acquired in, or were introduced into, the Community before the provisions relating to species listed in Appendix I to the Convention or in Annex C1 to Regulation (EEC) No 3626/82 or in Annex A became applicable to the specimens; or
- (b) are worked specimens that were acquired more than 50 years previously; or
- (c) were introduced into the Community in compliance with the provisions of this Regulation and are to be used for purposes which are not detrimental to the survival of the species concerned; or
- (d) are captive-born and bred specimens of an animal species or artificially propagated specimens of a plant species or are parts or derivatives of such specimens; or
- (e) are required under exceptional circumstances for the advancement of science or for essential biomedical purposes pursuant to Council Directive 86/609/EEC of 24 November 1986 on the approximation of laws, regulations and administrative provisions of the Member States regarding the protection of animals used for experimental and other scientific purposes ⁽¹⁾ where the species in question proves to be the only one suitable for those purposes and where there are no specimens of the species which have been born and bred in captivity; or
- (f) are intended for breeding or propagation purposes from which conservation benefits will accrue to the species concerned; or
- (g) are intended for research or education aimed at the preservation or conservation of the species; or
- (h) originate in a Member State and were taken from the wild in accordance with the legislation in force in that Member State.

4. General derogations from the prohibitions referred to in paragraph 1 based on the conditions referred to in paragraph 3, as well as general derogations with regard to species listed in Annex A in accordance with Article 3 (1) (b) (ii) may be defined by the Commission in accordance with the procedure laid down in Article 18. Any such derogations must be in accordance with the requirements of other Community legislation on the conservation of wild fauna and flora.

5. The prohibitions referred to in paragraph 1 shall also apply to specimens of the species listed in Annex B except where it can be proved to the satisfaction of the competent authority of the Member State concerned that such specimens were acquired and, if they originated outside the Community, were introduced into it, in accordance with the legislation in force for the conservation of wild fauna and flora.

6. The competent authorities of the Member States shall have discretion to sell any specimen of the species listed in Annexes B to D they have confiscated under this Regulation, provided that it is not thus returned directly to the person or entity from whom it was confiscated or who was party to the offence. Such specimens may then be treated for all purposes as if they had been legally acquired.

⁽¹⁾ OJ No L 358, 18. 12. 1986, p. 1.



Article 9

Movement of live specimens

1. Any movement within the Community of a live specimen of a species listed in Annex A from the location indicated in the import permit or in any certificate issued in compliance with this Regulation shall require prior authorization from a management authority of the Member State in which the specimen is located. In other cases of movement, the person responsible for moving the specimen must be able, where applicable, to provide proof of the legal origin of the specimen.
2. Such authorization shall:
 - (a) be granted only when the competent scientific authority of such Member State or, where the movement is to another Member State, the competent scientific authority of the latter, is satisfied that the intended accommodation for a live specimen at the place of destination is adequately equipped to conserve and care for it properly;
 - (b) be confirmed by issuance of a certificate; and
 - (c) where applicable, be immediately communicated to a management authority of the Member State in which the specimen is to be located.
3. However, no such authorization shall be required if a live animal must be moved for the purpose of urgent veterinary treatment and is returned directly to its authorized location.
4. Where a live specimen of a species listed in Annex B is moved within the Community, the holder of the specimen may relinquish it only after ensuring that the intended recipient is adequately informed of the accommodation, equipment and practices required to ensure the specimen will be properly cared for.
5. When any live specimens are transported into, from or within the Community or are held during any period of transit or transshipment, they shall be prepared, moved and cared for in a manner such as to minimize the risk of injury, damage to health or cruel treatment and, in the case of animals, in conformity with Community legislation on the protection of animals during transport.
6. Under the procedure laid down in Article 18, the Commission may establish restrictions on the holding or movement of live specimens of species in relation to which restrictions on introduction into the Community have been established in accordance with Article 4 (6).

Article 10

Certificates to be issued

On receiving an application, together with all the requisite supporting documents, from the person concerned and provided that all the conditions governing their issuance have been fulfilled, a management authority of a Member State may issue a certificate for the purposes referred to in Article 5 (2) (b), 5 (3) and (4), Article 8 (3) and Article 9 (2) (b).

Article 11

Validity of and special conditions for permits and certificates

1. Without prejudice to stricter measures which the Member States may adopt or maintain, permits and certificates issued by the competent authorities of the Member States in accordance with this Regulation shall be valid throughout the Community.

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2. (a) However, any such permit or certificate, as well as any permit or certificate issued on the basis of it, shall be deemed void if a competent authority or the Commission, in consultation with the competent authority which issued the permit or certificate, establishes that it was issued on the false premise that the conditions for its issuance were met.
- (b) Specimens situated in the territory of a Member State and covered by such documents shall be seized by the competent authorities of that Member State and may be confiscated.
3. Any permit or certificate issued in accordance with this Regulation may stipulate conditions and requirements imposed by the issuing authority to ensure compliance with the provisions thereof. Where such conditions or requirements need to be incorporated in the design of permits or certificates, Member States shall inform the Commission thereof.
4. Any import permit issued on the basis of a copy of the corresponding export permit or re-export certificate shall be valid for the introduction of specimens into the Community only when accompanied by the original of the valid export permit or re-export certificate.
5. The Commission shall establish time limits for the issuance of permits and certificates in accordance with the procedure laid down in Article 18.

*Article 12***Places of introduction and export**

1. Member States shall designate customs offices for carrying out the checks and formalities for the introduction into and export from the Community, in order to assign to them a customs-approved treatment or use, within the meaning of Regulation (EEC) No 2913/92, of specimens of species covered by this Regulation and shall state which offices are specifically intended to deal with live specimens.
2. All offices designated in accordance with paragraph 1 shall be provided with sufficient and adequately trained staff. Member States shall ensure that accommodation is provided in accordance with relevant Community legislation as regards the transport and accommodation of live animals and that, where necessary, adequate steps are taken for live plants.
3. All offices designated in accordance with paragraph 1 shall be notified to the Commission which shall publish a list of them in the *Official Journal of the European Communities*.
4. In exceptional cases and in accordance with criteria defined under the procedure laid down in Article 18, a management authority may authorize the introduction into the Community or the export or re-export therefrom at a customs office other than one designated in accordance with paragraph 1.
5. Member States shall ensure that at border crossing-points the public are informed of the implementing provisions of this Regulation.

*Article 13***Management and scientific authorities and other competent authorities**

1. (a) Each Member State shall designate a management authority with primary responsibility for implementation of this Regulation and for communication with the Commission:
- (b) Each Member State may also designate additional management authorities and other competent authorities to assist in implementation, in which case the primary management authority

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shall be responsible for providing the additional authorities with all the information required for correct application of this Regulation.

2. Each Member State shall designate one or more scientific authorities with appropriate qualifications whose duties shall be separate from those of any designated management authority.
3. (a) Not later than three months before the date of application of this Regulation, Member States shall forward the names and addresses of the designated management authorities, other authorities competent to issue permits or certificates and scientific authorities to the Commission, which shall publish this information in the *Official Journal of the European Communities* within a month.
- (b) Each management authority referred to in paragraph 1 (a) shall, if so requested by the Commission, communicate to it within two months the names and specimen signatures of people authorized to sign permits or certificates, and impressions of the stamps, seals or other devices used to authenticate permits or certificates.
- (c) Member States shall communicate to the Commission any changes in the information already provided, not later than two months after the implementation of such change.

Article 14

Monitoring of compliance and investigation of infringements

1. (a) The competent authorities of the Member States shall monitor compliance with the provisions of this Regulation.
- (b) If, at any time, the competent authorities have reason to believe that these provisions are being infringed, they shall take the appropriate steps to ensure compliance or to instigate legal action.
- (c) Member States shall inform the Commission and, in the case of species listed in the Appendices to the Convention, the Convention Secretariat of any steps taken by the competent authorities in relation to significant infringements of this Regulation, including seizures and confiscations.
2. The Commission shall draw the attention of the competent authorities of the Member States to matters whose investigation it considers necessary under this Regulation. Member States shall inform the Commission and, in the case of species listed in the Appendices to the Convention, the Convention Secretariat of the outcome of any subsequent investigation.
3. (a) An enforcement group shall be established consisting of the representatives of each Member State's authorities with responsibility for ensuring the implementation of the provisions of this Regulation. The group shall be chaired by the representative of the Commission.
- (b) The enforcement group shall examine any technical question relating to the enforcement of this Regulation raised by the chairman, either on his own initiative or at the request of the members of the group or the committee.
- (c) The Commission shall convey the opinions expressed in the enforcement group to the committee.



Article 15

Communication of information

1. The Member States and the Commission shall communicate to one another the information necessary for implementing this Regulation.

The Member States and the Commission shall ensure that the necessary steps are taken to make the public aware and inform it of the provisions regarding implementation of the Convention and of this Regulation and of the latter's implementing measures.

2. The Commission shall communicate with the Convention Secretariat so as to ensure that the Convention is effectively implemented throughout the territory to which this Regulation applies.

3. The Commission shall immediately communicate any advice from the Scientific Review Group to the management authorities of the Member States concerned.

4. (a) The management authorities of the Member States shall communicate to the Commission before 15 June each year all the information relating to the preceding year required for drawing up the reports referred to in Article VIII.7 (a) of the Convention and equivalent information on international trade in all specimens of species listed in Annexes A, B and C and on introduction into the Community of specimens of species listed in Annex D. The information to be communicated and the format for its presentation shall be specified by the Commission in accordance with the procedure laid down in Article 18.

(b) On the basis of the information referred to in (a), the Commission shall publish before 31 October each year a statistical report on the introduction into, and the export and re-export from, the Community of specimens of the species to which this Regulation applies and shall forward to the Convention Secretariat information on the species to which the Convention applies.

(c) Without prejudice to Article 20, the management authorities of the Member States shall, before 15 June of each second year, and for the first time in 1999, communicate to the Commission all the information relating to the preceding two years required for drawing up the reports referred to in Article VIII.7 (b) of the Convention and equivalent information on the provisions of this Regulation that fall outside the scope of the Convention. The information to be communicated and the format for its presentation shall be specified by the Commission in accordance with the procedure laid down in Article 18.

(d) On the basis of the information referred to in (c), the Commission shall, before 31 October of each second year, and for the first time in 1999, draw up a report on the implementation and enforcement of this Regulation.

5. With a view to the preparation of amendments to the Annexes, the competent authorities of the Member States shall forward all relevant information to the Commission. The Commission shall specify the information required, in accordance with the procedure laid down in Article 18.

►**C1** 6. Without prejudice to Council Directive 90/313/EEC ◄ of 7 June 1990 on the freedom of access to information on the environment ⁽¹⁾, the Commission shall take appropriate measures to protect the confidentiality of information obtained in implementation of this Regulation.

⁽¹⁾ OJ No L 158, 23. 6. 1990, p. 56.



Article 16

Sanctions

1. Member States shall take appropriate measures to ensure the imposition of sanctions for at least the following infringements of this Regulation:

- (a) introduction into, or export or re-export from, the Community of specimens without the appropriate permit or certificate or with a false, falsified or invalid permit or certificate or one altered without authorization by the issuing authority;
- (b) failure to comply with the stipulations specified on a permit or certificate issued in accordance with this Regulation;
- (c) making a false declaration or knowingly providing false information in order to obtain a permit or certificate;
- (d) using a false, falsified or invalid permit or certificate or one altered without authorization as a basis for obtaining a Community permit or certificate or for any other official purpose in connection with this Regulation;
- (e) making no import notification or a false import notification;
- (f) shipment of live specimens not properly prepared so as to minimize the risk of injury, damage to health or cruel treatment;
- (g) use of specimens of species listed in Annex A other than in accordance with the authorization given at the time of issuance of the import permit or subsequently;
- (h) trade in artificially propagated plants contrary to the provisions laid down in accordance with Article 7(1)(b);
- (i) shipment of specimens into or out of or in transit through the territory of the Community without the appropriate permit or certificate issued in accordance with this Regulation and, in the case of export or re-export from a third country party to the Convention, in accordance therewith, or without satisfactory proof of the existence of such permit or certificate;
- (j) purchase, offer to purchase, acquisition for commercial purposes, use for commercial gain, display to the public for commercial purposes, sale, keeping for sale, offering for sale or transporting for sale of specimens in contravention of Article 8;
- (k) use of a permit or certificate for any specimen other than one for which it was issued;
- (l) falsification or alteration of any permit or certificate issued in accordance with this Regulation;
- (m) failure to disclose rejection of an application for a Community import, export or re-export permit or certificate, in accordance with Article 6 (3).

2. The measures referred to in paragraph 1 shall be appropriate to the nature and gravity of the infringement and shall include provisions relating to the seizure and, where appropriate, confiscation of specimens.

3. Where a specimen is confiscated, it shall be entrusted to a competent authority of the Member State of confiscation which:

- (a) following consultation with a scientific authority of that Member State, shall place or otherwise dispose of the specimen under conditions which it deems to be appropriate and consistent with the purposes and provisions of the Convention and this Regulation; and

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(b) in the case of a live specimen which has been introduced into the Community, may, after consultation with the State of export, return the specimen to that State at the expense of the convicted person.

4. Where a live specimen of a species listed in Annex B or C arrives at a point of introduction into the Community without the appropriate valid permit or certificate, the specimen must be seized and may be confiscated or, if the consignee refuses to acknowledge the specimen, the competent authorities of the Member State responsible for the point of introduction may, if appropriate, refuse to accept the shipment and require the carrier to return the specimen to its place of departure.

*Article 17***The Scientific Review Group**

1. A Scientific Review Group is hereby established, consisting of the representatives of each Member State's scientific authority or authorities and chaired by the representative of the Commission.

2. (a) The Scientific Review Group shall examine any scientific question relating to the application of this Regulation — in particular concerning Article 4 (1) (a), (2) (a) and (6) — raised by the chairman, either on his own initiative or at the request of the members of the Group or the Committee.

(b) The Commission shall convey the opinions of the Scientific Review Group to the Committee.

▼M9*Article 18*

1. The Commission shall be assisted by a committee.

2. Where reference is made to this Article, Articles 5 and 7 of Decision 1999/468/EC ⁽¹⁾ shall apply, having regard to the provisions of Article 8 thereof.

The period laid down in Article 5(6) of Decision 1999/468/EC shall be set at three months. As regards the Committee's tasks referred to in points 1 and 2 of Article 19, if, on the expiry of a period of three months from the date of referral to the Council, the Council has not acted, the proposed measures shall be adopted by the Commission.

3. The Committee shall adopt its rules of procedure.

▼B*Article 19*

In accordance with the procedure laid down in Article 18, the Commission shall:

1. lay down uniform conditions and criteria for:

- (i) the issue, validity and use of the documents referred to in Articles 4, 5, 7 (4) and 10; it shall determine the design thereof;
- (ii) the use of phytosanitary certificates; and
- (iii) the establishment of procedures, where necessary, for marking specimens in order to facilitate identification and ensure enforcement of the provisions;

2. adopt the measures referred to in Article 4 (6) and (7), Article 5 (5) and (7) (b), Article 7 (1) (c), (2) (c) and (3), Article 8 (4), Article 9 (6), Article 11 (5), Article 15 (4) (a), (c) and (5) and Article 21 (3);

⁽¹⁾ Council Decision 1999/468/EC of 28 June 1999 laying down the procedures for the exercise of implementing powers conferred on the Commission (OJ L 184, 17.7.1999, p. 23).

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3. amend Annexes A to D except in the case of amendments to Annex A which do not result from decisions of the Conference of the Parties to the Convention;
4. adopt, where necessary, additional measures to implement resolutions of the Conference of the Parties to the Convention, decisions or recommendations of the Standing Committee of the Convention and recommendations of the Convention Secretariat.

*Article 20***Final provisions**

Each Member State shall notify the Commission and the Convention Secretariat of the provisions which it adopts specifically for the implementation of this Regulation and of all legal instruments used and measures taken for its implementation and enforcement.

The Commission shall communicate this information to the other Member States.

Article 21

1. Regulation (EEC) No 3626/82 is hereby repealed.
2. Until the measures provided for in points 1 and 2 of Article 19 have been adopted, Member States may maintain or continue to apply the measures adopted in accordance with Regulation (EEC) No 3626/82 and Commission Regulation (EEC) No 3418/83 of 28 November 1983 laying down provisions for the uniform issue and use of the documents required for the implementation in the Community of the Convention on International Trade in Endangered Species of Wild Fauna and Flora ⁽¹⁾.
3. Two months before this Regulation is implemented the Commission, in accordance with the procedure laid down in Article 18 and in consultation with the Scientific Review Group:
 - (a) must check that there is no justification for restrictions on the introduction into the Community of the species listed in Annex C1 to Regulation (EEC) No 3626/82 which are not included in Annex A to this Regulation;
 - (b) shall adopt a Regulation amending Annex D into a representative list of species meeting the criteria laid down in Article 3 (4) (a).

Article 22

This Regulation shall enter into force on the date of its publication in the *Official Journal of the European Communities*.

It shall apply from 1 June 1997.

Articles 12, 13, 14 (3), 16, 17, 18, 19 and 21(3) shall apply from the date of entry into force of this Regulation.

This Regulation shall be binding in its entirety and directly applicable in all Member States.

⁽¹⁾ OJ No L 344, 7. 12. 1983, p. 1.

▼ **M12***ANNEX***Notes on interpretation of Annexes A, B, C and D**

1. Species included in these Annexes A, B, C and D are referred to:
 - (a) by the name of the species; or
 - (b) as being all of the species included in a higher taxon or designated part thereof.
2. The abbreviation 'spp.' is used to denote all species of a higher taxon.
3. Other references to taxa higher than species are for the purposes of information or classification only.
4. Species printed in bold in Annex A are listed there in consistency with their protection as provided for by Council Directive 79/409/EEC (Birds Directive) or Council Directive 92/43/EEC (Habitats Directive).
5. The following abbreviations are used for plant taxa below the level of species:
 - (a) 'ssp.' is used to denote subspecies;
 - (b) 'var(s).' is used to denote variety (varieties); and
 - (c) 'fa' is used to denote forma.
6. The symbols '(I)', '(II)' and '(III)' placed against the name of a species or higher taxon refer to the Appendices of the Convention in which the species concerned are listed as indicated in notes 7 to 9. Where none of these annotations appears, the species concerned are not listed in the Appendices to the Convention.
7. (I) against the name of a species or higher taxon indicates that the species or higher taxon concerned is included in Appendix I to the Convention.
8. (II) against the name of a species or higher taxon indicates that the species or higher taxon concerned is included in Appendix II to the Convention.
9. (III) against the name of a species or higher taxon indicates that it is included in Appendix III to the Convention. In this case the country with respect to which the species or higher taxon is included in Appendix III is also indicated.
10. Hybrids may be specifically included in the Appendices but only if they form distinct and stable populations in the wild. Hybrid animals that have in their previous four generations of the lineage one or more specimens of species included in Annexes A or B shall be subject to the provisions of this Regulation just as if they were full species, even if the hybrid concerned is not specifically included in the Annexes.
11. When a species is included in Annexes A, B or C, all parts and derivatives of the species are also included in the same Annex unless the species is annotated to indicate that only specific parts and derivatives are included. In accordance with Article 2(t) of this Regulation, the symbol '#' followed by a number placed against the name of a species or higher taxon included in Annexes B or C designates parts or derivatives which are specified in relation thereto for the purposes of the Regulation as follows:
 - #1 Designates all parts and derivatives, except:
 - (a) seeds, spores and pollen (including pollinia);
 - (b) seedling or tissue cultures obtained *in vitro*, in solid or liquid media, transported in sterile containers;
 - (c) cut flowers of artificially propagated plants; and
 - (d) fruits and parts and derivatives thereof of artificially propagated plants of the genus *Vanilla*.
 - #2 Designates all parts and derivations, except:
 - (a) seeds and pollen; and
 - (b) finished products packaged and ready for retail trade.
 - #3 Designates whole and sliced roots and parts of roots.

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- #4 Designates all parts and derivatives, except:
- (a) seeds, except those from Mexican cacti originating in Mexico, and pollen;
 - (b) seedling or tissue cultures obtained *in vitro*, in solid or liquid media, transported in sterile containers;
 - (c) cut flowers of artificially propagated plants;
 - (d) fruits and parts and derivatives thereof of naturalised or artificially propagated plants; and
 - (e) separate stem joints (pads) and parts and derivatives thereof of naturalised or artificially propagated plants of the genus *Opuntia* subgenus *Opuntia*.
- #5 Designates logs, sawn wood and veneer sheets.
- #6 Designates logs, sawn wood, veneer sheets and plywood.
- #7 Designates logs, wood-chips, powder and extracts.
- #8 Designates underground parts (i.e. roots, rhizomes): whole, parts and powdered.
- #9 Designates all parts and derivatives, except those bearing a label 'Produced from *Hoodia* spp. material obtained through controlled harvesting and production in collaboration with the CITES Management Authorities of Botswana/Namibia/South Africa under agreement No BW/NA/ZA xxxxxx'.
- #10 Designates logs, sawn wood, veneer sheets, including unfinished wood articles used for the fabrication of bows for stringed musical instruments.
- #11 Designates logs, sawn wood, veneer sheets, plywood, powder and extracts.
12. As none of the species or higher taxa of FLORA included in Annex A is annotated to the effect that its hybrids shall be treated in accordance with the provisions of Article 4.1 of the Regulation, this means that artificially propagated hybrids produced from one or more of these species or taxa may be traded with a certificate of artificial propagation, and that seeds and pollen (including pollinia), cut flowers, seedling or tissue cultures obtained *in vitro*, in solid or liquid media, transported in sterile containers of these hybrids are not subject to the provisions of the Regulation.
13. Urine, faeces and ambergris which are waste products and gained without the manipulation of the animal concerned are not subject to the provisions of the Regulation.
14. In respect of fauna species listed in Annex D, the provisions shall apply only to live specimens and whole, or substantially whole, dead specimens except for taxa which are annotated as follows to show that other parts and derivatives are also covered:
- § 1 Any whole, or substantially whole, skins, raw or tanned.
 - § 2 Any feathers or any skin or other part with feathers on it.
15. In respect of flora species listed in Annex D, the provisions shall apply only to live specimens except for taxa which are annotated as follows to show that other parts and derivatives are also covered:
- § 3 Dried and fresh plants, including, where appropriate; leaves, roots/ootstock, stems, seeds/spores, bark and fruits.
 - § 4 Logs, sawn wood and veneer sheets.

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	Annex A	Annex B	Annex C	Common name
FAUNA				
CHORDATA (CHORDATES)				
MAMMALIA				Mammals
ARTIODACTYLA				
Antilocapridae	<i>Antilocapra americana</i> (I) (Only the population of Mexico; no other population is included in the Annexes to this Regulation)			Pronghorn Mexican pronghorn
Bovidae	<i>Addax nasomaculatus</i> (I)	<i>Ammotragus lervia</i> (II)	<i>Antilope cervicapra</i> (III Nepal)	Antelopes, cattle, duikers, gazelles, goats, sheep etc. Addax Barbary sheep Blackbuck Wood bison Gaur
	<i>Bos gaurus</i> (I) (Excludes the domesticated form referenced as <i>Bos frontalis</i> which is not subject to the provisions of this Regulation) <i>Bos mutus</i> (I) (Excludes the domesticated form referenced as <i>Bos grunniens</i> which is not subject to the provisions of this Regulation) <i>Bos sauveli</i> (I)	<i>Bison bison athabascæ</i> (II)		Wild yak
	<i>Bubalus depressicornis</i> (I) <i>Bubalus mindorensis</i> (I) <i>Bubalus quarlesi</i> (I)		<i>Bubalus arnee</i> (III Nepal) (Excludes the domesticated form referenced as <i>Bubalus bubalis</i> , which is not subject to the provisions of this Regulation)	Kouprey Wild Asiatic buffalo
				Lowland anoa Tamarau Mountain anoa

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	Annex A	Annex B	Annex C	Common name
	<i>Ovis ammon nigrimontana</i> (I)	<i>Ovis canadensis</i> (II) (Only the population of Mexico; no other population is included in the Annexes to this Regulation)		Kara Tau argali
	<i>Ovis orientalis ophion</i> (I)	<i>Ovis vignei</i> (II) (Except for the subspecies included in Annex A)		Mexican bighorn sheep
	<i>Ovis vignei vignei</i> (I)			Cyprus mouflon
	<i>Pantholops hodgsonii</i> (I)	<i>Philantomba monticola</i> (II)		Urrial
	<i>Pseudoryx nghetinhensis</i> (I)			Ladakh urial
	<i>Rupicapra pyrenaica ornata</i> (I)	<i>Saiga borealis</i> (II)		Chiru
		<i>Saiga tatarica</i> (II)		Blue duiker
				Siola
				Abruzzo chamois
				Mongolian saiga
				Steppe saiga
			<i>Tetracerus quadricornis</i> (III Nepal)	Four-horned antelope
Camelidae	<i>Vicugna vicugna</i> (I) (Except for the populations of: Argentina [the population of the Provinces of Jujuy and Catamarca and the semi-captive populations of the Provinces of Jujuy, Salta, Catamarca, La Rioja and San Juan]; Bolivia [the whole population]; Chile [population of the Primera Región]; and Peru [the whole population]; which are included in Annex B)	<i>Lama glama guanicoe</i> (II)		Camels, guanaco, vicuña
		<i>Vicugna vicugna</i> (II) (Only the populations of Argentina ⁽¹⁾ [the population of the Provinces of Jujuy and Catamarca and the semi-captive populations of the Provinces of Jujuy, Salta, Catamarca, La Rioja and San Juan]; Bolivia ⁽²⁾ [the whole population]; Chile ⁽³⁾ [population of the Primera Región]; Peru ⁽⁴⁾ [the whole population]; all other populations are included in Annex A)		Guanaco
	<i>Axis calamianensis</i> (I)			Vicuña
	<i>Axis kuhlii</i> (I)			
Cervidae				Deer, huemuls, muntjacs, pudus
				Calamian deer
				Bawean deer

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	Annex A	Annex B	Annex C	Common name
Hippopotamidae	<i>Axis porcinus annamiticus</i> (I) <i>Blastocerus dichotomus</i> (I)	<i>Cervus elaphus bactrianus</i> (II)	<i>Cervus elaphus barbarus</i> (III) Algeria/Tunisia)	Indochina hog deer Marsh deer Bactrian deer Barbary deer
	<i>Cervus elaphus hanglu</i> (I) <i>Dama dama mesopotamica</i> (I) <i>Hippocamelus</i> spp. (I)			Hangul Persian fallow deer Huemuls
	<i>Muntiacus crinifrons</i> (I) <i>Muntiacus vuquangensis</i> (I)		<i>Mazama temama cerasina</i> (III) Guatemala)	Central American red brocket
	<i>Ozotoceros bezoarticus</i> (I)		<i>Odocoileus virginianus mayensis</i> (III Guatemala)	Black muntjac Giant muntjac Guatemalan white-tailed deer
	<i>Pudu puda</i> (I) <i>Rucervus divauctelii</i> (I) <i>Rucervus eldii</i> (I)	<i>Pudu mephistophiles</i> (II)		Pampas deer Northern pudu Southern pudu Barasingha Eld's deer
		<i>Hexaprotodon liberiensis</i> (II) <i>Hippopotamus amphibius</i> (II)		Hippopotamuses Pygmy hippopotamus Common hippopotamus
	<i>Moschus</i> spp. (I) (Only the populations of Afghanistan, Bhutan, India, Myanmar, Nepal and Pakistan; all other populations are included in Annex B)	<i>Moschus</i> spp. (II) (Except for the populations of Afghanistan, Bhutan, India, Myanmar, Nepal and Pakistan, which are included in Annex A)		Musk deer Musk deer
	<i>Babyrusa babyrussa</i> (I)			Babirusa, hogs, pigs Buru babirusa
Moschidae				
Suidae				

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	Annex A	Annex B	Annex C	Common name
Tayassuidae	<i>Babyroura bolabatuensis</i> (I)	Tayassuidae spp. (II) (Except for the species included in Annex A and excluding the populations of <i>Pecari tajacu</i> of Mexico and the United States, which are not included in the Annexes to this Regulation)		Bola Batu babirusa
	<i>Babyroura celebensis</i> (I)			North Sulawesi babirusa
	<i>Babyroura togeanensis</i> (I)			Malenge babirusa
	<i>Sus salvanius</i> (I)			Pygmy hog Peccaries Peccaries
CARNIVORA				
Ailuridae	<i>Catagonus wagneri</i> (I)			Chacoan peccary
Canidae	<i>Ailurus fulgens</i> (I)			Red panda
	<i>Canis lupus</i> (I/II) (All populations except those of Spain north of the Douro and Greece north of the 39th parallel. Populations of Bhutan, India, Nepal and Pakistan are listed in Appendix I; all other populations are listed in Appendix II.)	<i>Canis lupus</i> (II) (Populations of Spain north of the Douro and Greece north of the 39th parallel)	<i>Canis aureus</i> (III India)	Dogs, foxes, wolves
	<i>Canis simensis</i>			Golden jackal
				Grey wolf
		<i>Cerdocyon thous</i> (II)		Ethiopian wolf
		<i>Chrysocyon brachyurus</i> (II)		Crab-eating fox
		<i>Cuon alpinus</i> (II)		Maned wolf
		<i>Lycalopex culpaeus</i> (II)		Dhole
		<i>Lycalopex fulvipes</i> (II)		Culpeo
				Darwin's fox

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	Annex A	Annex B	Annex C	Common name
	<i>Speothos venaticus</i> (I)	<i>Lycalopex griseus</i> (II) <i>Lycalopex gymnocercus</i> (II) <i>Vulpes cana</i> (II) <i>Vulpes zerda</i> (II) <i>Cryptoprocta ferox</i> (II) <i>Eupleres goudotii</i> (II) <i>Fossa fossana</i> (II)	<i>Vulpes bengalensis</i> (III India)	South American grey fox Pampas fox Bush dog Bengal fox Blanford's fox Fennec fox Fossa Falanouc Malagasy civet Cats, cheetahs, leopards, lions, tigers etc. Cats
Eupleridae				
Felidae	<i>Acinonyx jubatus</i> (I) (Annual export quotas for live specimens and hunting trophies are granted as follows: Botswana: 5; Namibia: 150; Zimbabwe: 50. The trade in such specimens is subject to the provisions of Article 4.1 of this Regulation.) <i>Caracal caracal</i> (I) (Only the population of Asia; all other populations are included in Annex B) <i>Catopuma temminckii</i> (I) <i>Felis nigripes</i> (I) <i>Felis silvestris</i> (II) <i>Leopardus geoffroyi</i> (I)	Felidae spp. (II) (Except for the species included in Annex A. Specimens of the domesticated form are not subject to the provisions of this Regulation)		Cheetah Asian Caracal Asian golden cat Black-footed cat Wild cat Geoffroy's cat

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	Annex A	Annex B	Annex C	Common name
	<i>Leopardus jacobitus</i> (I) <i>Leopardus pardalis</i> (I) <i>Leopardus tigrinus</i> (I) <i>Leopardus wiedii</i> (I) <i>Lynx lynx</i> (II) <i>Lynx pardinus</i> (I) <i>Neofelis nebulosa</i> (I) <i>Panthera leo persica</i> (I) <i>Panthera onca</i> (I) <i>Panthera pardus</i> (I) <i>Panthera tigris</i> (I) <i>Pardofelis marmorata</i> (I) <i>Prionailurus bengalensis bengalensis</i> (I) (Only the populations of Bangladesh, India and Thailand; all other populations are included in Annex B.) <i>Prionailurus iriomotensis</i> (II) <i>Prionailurus planiceps</i> (I) <i>Prionailurus rubiginosus</i> (I) (Only the population of India; all other populations are included in Annex B.) <i>Puma concolor coryi</i> (I) <i>Puma concolor costaricensis</i> (I) <i>Puma concolor cougar</i> (I) <i>Puma yagouaroundi</i> (I) (Only the populations of Central and North America; all other populations are included in Annex B) <i>Uncia uncia</i> (I)			Andean mountain cat Ocelot Oncilla Margay Eurasian lynx Iberian lynx Clouded leopard Asiatic lion Jaguar Leopard Tiger Marbled cat Bengal leopard cat Iriomote cat Flat-headed cat Rusty-spotted cat Florida cougar Costa Rican cougar Eastern cougar Jaguarundi Snow leopard

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	Annex A	Annex B	Annex C	Common name
Herpestidae			<i>Herpestes fuscus</i> (III India) <i>Herpestes edwardsi</i> (III India) <i>Herpestes javanicus auropunctatus</i> (III India) <i>Herpestes smithii</i> (III India) <i>Herpestes urva</i> (III India) <i>Herpestes vitticollis</i> (III India)	Mongoose Indian brown mongoose Indian grey mongoose Small Indian mongoose
Hyaenidae				Ruddy mongoose Crab-eating mongoose Stripe-necked mongoose
Mephitidae				Aardwolf, hyenas Aardwolf
Mustelidae				Skunks Humboldt's hog-nosed skunk
Lutrinae	<i>Aonyx capensis microdon</i> (I) (Only the populations of Cameroon and Nigeria; all other populations are included in Annex B) <i>Enhydra lutris nereis</i> (I) <i>Lontra felina</i> (I) <i>Lontra longicaudis</i> (I) <i>Lontra provocax</i> (I) <i>Lutra lutra</i> (I) <i>Lutra nippon</i> (I) <i>Pteronura brasiliensis</i> (I)	<i>Conepatus humboldtii</i> (II) Lutrinae spp. (II) (Except for the species included in Annex A)		Badgers, martens, weasels etc. Otters Otters Cameroon clawless otter Southern sea otter Marine otter Neotropical otter Southern river otter European otter Japanese otter Giant otter
Mustelinae			<i>Eira barbara</i> (III Honduras) <i>Galictis vittata</i> (III Costa Rica)	Grisons, martens, tayra, weasels Tayra Greater grison

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	Annex A	Annex B	Annex C	Common name
Odobenidae	<i>Mustela nigripes</i> (I)	<i>Odobenus rosmarus</i> (III Canada)	<i>Martes flavigula</i> (III India) <i>Martes foina intermedia</i> (III India) <i>Martes gwatkinsii</i> (III India) <i>Mellivora capensis</i> (III Botswana)	Yellow-throated marten Stone marten Nilgiri marten Honey badger Black-footed ferret Walrus Walrus
Otariidae	<i>Arctocephalus philippii</i> (II) <i>Arctocephalus townsendi</i> (I)	<i>Arctocephalus</i> spp. (II) (Except for the species included in Annex A)		Fur seals, sealions Fur seals Juan Fernández fur seal Guadalupe fur seal
Phocidae	<i>Monachus</i> spp. (I)	<i>Mirounga leonina</i> (II)		Seals Southern elephant seal Monk seals
Procyonidae			<i>Bassaricyon gabbii</i> (III Costa Rica) <i>Bassariscus sumichrasti</i> (III Costa Rica) <i>Nasua narica</i> (III Honduras) <i>Nasua nasua solitaria</i> (III Uruguay) <i>Potos flavus</i> (III Honduras)	Coatis, olingos Olingo Cacomistle White-nosed coati South Brazilian coati Kinkajou
Ursidae	<i>Ailuropoda melanoleuca</i> (I) <i>Helarctos malayanus</i> (I) <i>Melursus ursinus</i> (I) <i>Tremarctos ornatus</i> (I)	<i>Ursidae</i> spp. (II) (Except for the species included in Annex A)		Bears Bears Giant panda Sun bear Sloth bear Spectacled bear

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	Annex A	Annex B	Annex C	Common name
Viverridae	<i>Ursus arctos</i> (I/II) (Only the populations of Bhutan, China, Mexico and Mongolia and the subspecies <i>Ursus arctos isabellinus</i> are listed in Appendix I; all other populations and subspecies are listed in Appendix II).			Brown bear
	<i>Ursus thibetanus</i> (I)			Asian black bear
			<i>Arctictis binturong</i> (III India)	Binturong, civets, linsangs, otter-civet, palm civet
		<i>Cynogale bennettii</i> (II)	<i>Civettictis civetta</i> (III Botswana)	Binturong
		<i>Hemigalus derbyanus</i> (II)		African civet
CETACEA				Otter civet
			<i>Paguma larvata</i> (III India)	Banded palm civet
			<i>Paradoxurus hermaphroditus</i> (III India)	Masked palm civet
			<i>Paradoxurus jerdoni</i> (III India)	Asian palm civet
	<i>Prionodon pardicolor</i> (I)	<i>Prionodon linsang</i> (II)		Jerdon's palm civet
CHIROPTERA				Banded linsang
				Spotted linsang
			<i>Viverra civetina</i> (III India)	Malabar large-spotted civet
			<i>Viverra zibetha</i> (III India)	Large Indian civet
			<i>Viverricula indica</i> (III India)	Small Indian civet
Phyllostomidae	CETACEA spp. (I/II) (5)			Cetaceans (dolphins, porpoises, whales)
				Cetaceans
			<i>Platyrrhinus lineatus</i> (III Uruguay)	Broad-nosed bats White-lined bat

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	Annex A	Annex B	Annex C	Common name
Pteropodidae		<i>Acerodon</i> spp. (II) (Except for the species included in Annex A) <i>Pteropus</i> spp. (II) (Except for the species included in Annex A)		Fruit bats, flying foxes Flying foxes Golden-capped fruit bat Flying foxes Ruck flying fox Comoro flying fox Japanese flying fox Marianas flying fox Caroline flying fox Pelew flying fox Large Pelew flying fox Rodrigues flying fox Samoan flying fox Pacific flying fox Kosrae flying fox Pemba flying fox Yap flying fox
	<i>Acerodon jubatus</i> (I) <i>Pteropus insularis</i> (I) <i>Pteropus livingstonii</i> (II) <i>Pteropus loochoensis</i> (I) <i>Pteropus mariannus</i> (I) <i>Pteropus molossinus</i> (I) <i>Pteropus pelewensis</i> (I) <i>Pteropus pilosus</i> (I) <i>Pteropus rodricensis</i> (II) <i>Pteropus samoensis</i> (I) <i>Pteropus tonganus</i> (I) <i>Pteropus ualanus</i> (I) <i>Pteropus voeltzkowi</i> (II) <i>Pteropus yapensis</i> (I)	<i>Chaetophractus nationi</i> (II) (A zero annual export quota has been established. All specimens shall be deemed to be specimens of species included in Annex A and the trade in them shall be regulated accordingly)	<i>Cabassous centralis</i> (III Costa Rica) <i>Cabassous tatouay</i> (III Uruguay)	Armadillos Northern naked-tailed armadillo Greater naked-tailed armadillo Andean hairy armadillo
	<i>Priodontes maximus</i> (I)			Giant armadillo
CINGULATA				
Dasypodidae				

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	Annex A	Annex B	Annex C	Common name
DASYUROMORPHIA				
Dasyuridae	<i>Sminthopsis longicaudata</i> (I) <i>Sminthopsis psammophila</i> (I)			Dunnarts, marsupial mice, planigales Long-tailed dunnart Sandhill dunnart
Thylaciniidae	<i>Thylacinus cynocephalus</i> (possibly extinct) (I)			Tasmanian wolf, thylacine Thylacine
DIPROTODONTIA				
Macropodidae	<i>Lagorchestes hirsutus</i> (I) <i>Lagostrophus fasciatus</i> (I) <i>Onychogalea fraenata</i> (I) <i>Onychogalea lunata</i> (I)	<i>Dendrolagus inustus</i> (II) <i>Dendrolagus ursinus</i> (II)		Kangaroos, wallabies Grizzled tree-kangaroo Ursine tree-kangaroo Rufous hare-wallaby Banded hare-wallaby Bridled nail-tail wallaby Crescent nail-tail wallaby
Phalangeridae		<i>Phalanger intercastellanus</i> (II) <i>Phalanger mimicus</i> (II) <i>Phalanger orientalis</i> (II) <i>Spilocuscus kraemeri</i> (II) <i>Spilocuscus maculatus</i> (II) <i>Spilocuscus papuensis</i> (II)		Cuscus Eastern common cuscus Southern common cuscus Northern common cuscus Admiralty Island cuscus Common spotted cuscus Waigeou cuscus
Potoroidae	<i>Bettongia</i> spp. (I) <i>Caloprymnus campestris</i> (possibly extinct) (I)			Rat-kangaroos Bettongs Desert rat-kangaroo
Vombatidae	<i>Lasiorhinus krefftii</i> (I)			Wombats Northern hairy-nosed wombat

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	Annex A	Annex B	Annex C	Common name
LAGOMORPHA				
Leporidae	<i>Caprolagus hispidus</i> (I) <i>Romerolagus diazi</i> (I)			Hares, rabbits Hispid hare Volcano rabbit
MONOTREMATA				
Tachyglossidae		<i>Zaglossus</i> spp. (II)		Echidnas, spiny anteaters Long-beaked echidnas
PERAMELEMORPHIA				
Chaeropodidae	<i>Chaeropus ecaudatus</i> (possibly extinct) (I)			Bandicoots Pig-footed bandicoot
Peramelidae	<i>Perameles bougainville</i> (I)			Western barred bandicoot
Thylacomyidae	<i>Macrotis lagotis</i> (I) <i>Macrotis leucura</i> (I)			Greater bilby Lesser bilby
PERISSODACTYLA				
Equidae	<i>Equus africanus</i> (I) (Excludes the domesticated form referenced as <i>Equus asinus</i> , which is not subject to the provisions of this Regulation) <i>Equus grevyi</i> (I) <i>Equus hemionus</i> (I/II) (The species is listed in Appendix II but subspecies <i>Equus hemionus hemionus</i> and <i>Equus hemionus khur</i> are listed in Appendix I) <i>Equus kiang</i> (II) <i>Equus przewalskii</i> (I)	<i>Equus zebra hartmannae</i> (II)		Horses, wild asses, zebras African ass Grévy's zebra Asiatic wild ass Kiang Przewalski's horse Hartmann's mountain zebra

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	Annex A	Annex B	Annex C	Common name
Rhinocerotidae	<i>Equus zebra zebra</i> (I) <i>Rhinocerotidae</i> spp. (I) (Except for the subspecies included in Annex B)	<i>Ceratotherium simum sinum</i> (II) (Only the populations of South Africa and Swaziland; all other populations are included in Annex A. For the exclusive purpose of allowing international trade in live animals to appropriate and acceptable destinations and trade in hunting trophies. All other specimens shall be deemed to be specimens of species included in Annex A and trade in them shall be regulated accordingly)		Cape mountain zebra Rhinoceroses Rhinoceroses Southern white rhinoceros
Tapiridae	<i>Tapiridae</i> spp. (I) (Except for the species included in Annex B)	<i>Tapirus terrestris</i> (II) <i>Manis</i> spp. (II) (A zero annual export quota has been established for <i>Manis crassicaudata</i> , <i>Manis culionensis</i> , <i>Manis javanica</i> and <i>Manis pentadactyla</i> for specimens removed from the wild and traded for primarily commercial purposes)		Tapirs Tapirs South American tapir
PHOLIDOTA Manidae				Pangolins Pangolins
PILOSA Bradypodidae		<i>Bradypus variegatus</i> (II)		Three-toed sloths Brown-throated sloth

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	Annex A	Annex B	Annex C	Common name
Megalonychidae				Two-toed sloth Hoffmann's two-toed sloth
Myrmecophagidae		<i>Myrmecophaga tridactyla</i> (II)	<i>Choloepus hoffmanni</i> (III Costa Rica) <i>Tamandua mexicana</i> (III Guatemala)	American anteaters Giant anteater Northern tamandua
PRIMATES		PRIMATES spp. (II) (Except for the species included in Annex A)		Primates (apes and monkeys) Primates
Atelidae	<i>Alouatta colibensis</i> (I) <i>Alouatta palliata</i> (I) <i>Alouatta pigra</i> (I) <i>Ateles geoffroyi frontatus</i> (I) <i>Ateles geoffroyi panamensis</i> (I) <i>Brachyteles arachnoides</i> (I) <i>Brachyteles hypoxanthus</i> (I) <i>Oreonax flavicauda</i> (I)			Howlers, spider monkeys Coiba Island howler Mantled howler Guatemalan black howler Black-browed spider monkey Red spider monkey Southern muriqui
Cebidae	<i>Callimico goeldii</i> (I) <i>Callithrix aurita</i> (I) <i>Callithrix flaviceps</i> (I) <i>Leontopithecus</i> spp. (I) <i>Saguinus bicolor</i> (I) <i>Saguinus geoffroyi</i> (I) <i>Saguinus leucopus</i> (I) <i>Saguinus martinsi</i> (I)			Yellow-tailed woolly monkey Marmosets, tamarins, New-world monkeys Goeldi's marmoset Buffy-tufted marmoset Buffy-headed marmoset Lion tamarins Pied tamarin Geoffroy's tamarin White-footed tamarin

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	Annex A	Annex B	Annex C	Common name
Cercopithecidae	<i>Saguinus oedipus</i> (I) <i>Saimiri oerstedii</i> (I) <i>Cercocebus galeritus</i> (I) <i>Cercopithecus diana</i> (I) <i>Cercopithecus roloway</i> (I) <i>Cercopithecus solatus</i> (II) <i>Colobus satanas</i> (II) <i>Macaca silenus</i> (I) <i>Mandrillus leucophaeus</i> (I) <i>Mandrillus sphinx</i> (I) <i>Nasalis larvatus</i> (I) <i>Ptilocolobus foai</i> (II) <i>Ptilocolobus gordonorum</i> (II) <i>Ptilocolobus kirkii</i> (I) <i>Ptilocolobus pennantii</i> (II) <i>Ptilocolobus preussi</i> (II) <i>Ptilocolobus rufomitatus</i> (I) <i>Ptilocolobus tephrosceles</i> (II) <i>Ptilocolobus tholloni</i> (II) <i>Presbytis potenziani</i> (I) <i>Pygathrix spp.</i> (I) <i>Rhinopithecus spp.</i> (I) <i>Sennopithecus ajax</i> (I) <i>Sennopithecus dussumieri</i> (I) <i>Sennopithecus entellus</i> (I) <i>Sennopithecus hector</i> (I)			Cottontop tamarin Central American squirrel monkey Old-world monkeys Tana River mangabey Diana monkey Roloway monkey Sun-tailed monkey Black colobus Lion-tailed macaque Drill Mandrill Proboscis monkey Central African red colobus Uzungwa red colobus Zanzibar red colobus Pennant's red colobus Preuss's red colobus Tana River red colobus Ugandan red colobus Thollon's red colobus Mentawai langur Douc langurs Snub-nosed monkeys Kashmir grey langur Southern Plains grey langur Northern Plains grey langur Tarai grey langur

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	<i>Annex A</i>	<i>Annex B</i>	<i>Annex C</i>	<i>Common name</i>
Cheirogaleidae	<i>Sennopithecus hypoleucos</i> (I) <i>Sennopithecus priam</i> (I) <i>Sennopithecus schistaceus</i> (I) <i>Simias concolor</i> (I) <i>Trachypithecus delacouri</i> (II) <i>Trachypithecus francoisi</i> (II) <i>Trachypithecus geei</i> (I) <i>Trachypithecus hatinhensis</i> (II) <i>Trachypithecus johnii</i> (II) <i>Trachypithecus laotum</i> (II) <i>Trachypithecus pileatus</i> (I) <i>Trachypithecus poliocephalus</i> (II) <i>Trachypithecus shortridgei</i> (I)			Black-footed grey langur Tufted grey langur Nepal grey langur Simakobou Delacour's langur François's langur Gee's golden langur Hatinh langur Nilgiri langur Laotian langur Capped langur White-headed langur Shortridge's langur
Daubentonidae	<i>Cheirogaleidae</i> spp. (I)			Dwarf lemurs and mouse-lemurs Dwarf lemurs and mouse lemurs Aye-aye Aye-aye
Hominidae	<i>Daubentonia madagascariensis</i> (I) <i>Gorilla beringei</i> (I) <i>Gorilla gorilla</i> (I) <i>Pan</i> spp. (I) <i>Pongo abelii</i> (I) <i>Pongo pygmaeus</i> (I)			Chimpanzees, gorillas, orang-utan Eastern gorilla Western gorilla Chimpanzee and bonobo Sumatran orangutan Bornean orangutan Gibbons Gibbons
Hylobatidae	<i>Hylobatidae</i> spp. (I)			Indri, sifakas and woolly lemurs Indri, sifakas and woolly lemurs
Indridae	<i>Indridae</i> spp. (I)			

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	Annex A	Annex B	Annex C	Common name
Lemuridae	<i>Lemuridae</i> spp. (I)			Large lemurs Large lemurs
Lepilemuridae	<i>Lepilemuridae</i> spp. (I)			Sportive lemurs Sportive lemurs
Lorisiidae	<i>Nycticebus</i> spp. (I)			Lorises Slow lorises
Pitheciidae	<i>Cacajao</i> spp. (I) <i>Callicebus barbarabrowniae</i> (II) <i>Callicebus melanochir</i> (II) <i>Callicebus nigrifrons</i> (II) <i>Callicebus personatus</i> (II) <i>Chiropotes albinasus</i> (I)			Uacaris, tiftis, sakis Uacaris Barbara Brown's Titi Coastal Black-handed Titi Black-fronted Titi Atlantic titi White-nosed saki
Tarsiidae	<i>Tarsius</i> spp. (II)			Tarsiers Tarsiers
<i>PROBOSCIDEA</i>				
Elephantidae	<i>Elephas maximus</i> (I) <i>Loxodonta africana</i> (I) (Except for the populations of Botswana, Namibia, South Africa and Zimbabwe, which are included in Annex B)	<i>Loxodonta africana</i> (II) (Only the populations of Botswana, Namibia, South Africa and Zimbabwe ⁽⁶⁾ ; all other populations are included in Annex A)		Elephants Asian elephant African elephant
<i>RODENTIA</i>				
Chinchillidae	<i>Chinchilla</i> spp. (I) (Specimens of the domesticated form are not subject to the provisions of this Regulation)			Chinchillas Chinchillas

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	Annex A	Annex B	Annex C	Common name
Cuniculidae			<i>Cuniculus paca</i> (III Honduras)	Pacas Lowland paca
Dasyproctidae			<i>Dasyprocta punctata</i> (III Honduras)	Agoutis Central American agouti
Erethizontidae			<i>Sphiggurus mexicanus</i> (III Honduras)	New-world porcupines Mexican hairy dwarf porcupine
			<i>Sphiggurus spinosus</i> (III Uruguay)	Paraguayan hairy dwarf porcupine
Hystriidae	<i>Hystrix cristata</i>			Old-world porcupines Crested porcupine
Muridae	<i>Leporillus conditor</i> (I) <i>Pseudomys fieldi praeconis</i> (I) <i>Xeromys myoides</i> (I) <i>Zyzomys pedunculatus</i> (I) <i>Cynomys mexicanus</i> (I)			Mice, rats Greater stick-nest rat Shark Bay mouse False water rat Central Australian rock rat
Sciuridae		<i>Ratufa</i> spp. (II)	<i>Marmota caudata</i> (III India) <i>Marmota himalayana</i> (III India) <i>Sciurus deppei</i> (III Costa Rica)	Ground squirrels, tree squirrels Mexican prairie dog Long-tailed marmot Himalayan marmot Giant squirrels Deppe's squirrel
<i>SCANDENTIA</i>				
Tupaiaidae		Tupaiaidae spp.		Tree shrews Treeshrews
<i>SIRENIA</i>				
Dugongidae	<i>Dugong dugon</i> (I)			Dugong Dugong

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	Annex A	Annex B	Annex C	Common name
Trichechidae	Trichechidae spp. (I/II) (<i>Trichechus inunguis</i> and <i>Trichechus manatus</i> are listed in Appendix I. <i>Trichechus senegalensis</i> is listed in Appendix II.)			Manatees Manatees
AVES				Birds
ANSERIFORMES				Ducks, geese, swans etc.
Anatidae	<i>Anas aucklandica</i> (I)	<i>Anas bernieri</i> (II)		Auckland Islands teal
	<i>Anas chlorotis</i> (I)	<i>Anas formosa</i> (II)		Madagascar teal
	<i>Anas laysanensis</i> (I)			Brown teal
	<i>Anas nesiotis</i> (I)			Baikal teal
	<i>Anas oustaleti</i> (I)			Laysan duck
<i>Anas querquedula</i>				Campbell Island teal
<i>Aythya innotata</i>				Marianas mallard
<i>Aythya nyroca</i>				Garganey
<i>Branta canadensis leucopareia</i> (I)				Madagascar pochard
<i>Branta ruficollis</i> (II)				Ferruginous duck
<i>Branta sandvicensis</i> (I)				Aleutian goose
				Red-breasted goose
				Nene
			<i>Cairina moschata</i> (III Honduras)	Muscovy duck
<i>Cairina scutulata</i> (I)				White-winged duck
		<i>Coscoroba coscoroba</i> (II)		Coscoroba swan
		<i>Cygnus melancoryphus</i> (II)		Black-necked swan
		<i>Dendrocygna arborea</i> (II)		West Indian whistling-duck
			<i>Dendrocygna autumnalis</i> (III Honduras)	Black-bellied whistling-duck
			<i>Dendrocygna bicolor</i> (III Honduras)	Fulvous whistling-duck

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	Annex A	Annex B	Annex C	Common name
	<i>Mergus octosetaceus</i>	<i>Oxyura jamaicensis</i>		Brazilian merganser
	<i>Oxyura leucocephala</i> (II)			Ruddy duck
	<i>Rhodonessa caryophyllacea</i> (possibly extinct) (I)			White-headed duck
	<i>Tadorna cristata</i>	<i>Sarkidiornis melanotos</i> (II)		Pink-headed duck
				Comb duck
				Crested shelduck
APODIFORMES				
Trochilidae		Trochilidae spp. (II) (Except for the species included in Annex A)		Hummingbirds Hummingbirds
	<i>Glaucis dohrnii</i> (I)			Hook-billed hermit
CHARADRIIFORMES				
Burhinidae			<i>Burhinus bistriatus</i> (III Guatemala)	Thick-knees Double-striped thick-knee
Laridae	<i>Larus relictus</i> (I)			Gulls, terns Relict gull
Scolopacidae	<i>Numenius borealis</i> (I) <i>Numenius tenuirostris</i> (I) <i>Tringa guttifer</i> (I)			Curllews, greenshanks Eskimo curlew Slender-billed curlew Nordmann's greenshank
CICONIIFORMES				
Ardeidae	<i>Ardea alba</i> <i>Bubulcus ibis</i> <i>Egretta garzetta</i>			Egrets, herons Great egret Cattle egret Little egret
Balaenicipitidae		<i>Balaeniceps rex</i> (II)		Shoebill, whale-headed stork Shoebill

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	Annex A	Annex B	Annex C	Common name
Ciconiidae	<i>Ciconia boyciana</i> (I) <i>Ciconia nigra</i> (II) <i>Ciconia stormi</i> <i>Jabiru mycteria</i> (I) <i>Leptoptilos dubius</i> <i>Mycteria cinerea</i> (I)			Storks Oriental stork Black stork Storm's stork Jabiru Greater adjutant stork Milky stork Flamingos Flamingos
Phoenicopteridae		Phoenicopteridae spp. (II) (Except for the species included in Annex A)		Greater flamingo
Threskiornithidae	<i>Phoenicopiterus ruber</i> (II) <i>Geronticus calvus</i> (II) <i>Geronticus eremita</i> (I) <i>Nipponia nippon</i> (I) <i>Platalea leucorodia</i> (II) <i>Pseudibis gigantea</i>	<i>Eudocimus ruber</i> (II)		Ibises, spoonbills Scarlet ibis Bald ibis Waldrapp Crested ibis Eurasian spoonbill Giant ibis
COLUMBIFORMES				
Columbidae	<i>Caloenas nicobarica</i> (I) <i>Claravis godefrida</i> <i>Columba livia</i> <i>Ducula mindorensis</i> (I) <i>Leptotila wellsi</i>	<i>Gallicolumba luzonica</i> (II) <i>Goura</i> spp. (II)	<i>Nesoenas mayeri</i> (III Mauritius)	Doves, pigeons Nicobar pigeon Purple-winged ground-dove Rock pigeon Mindoro zone-tailed pigeon Luzon bleeding-heart Crowned-pigeons Grenada dove Pink pigeon

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	Annex A	Annex B	Annex C	Common name
<i>CORACIIFORMES</i> Bucerotidae	<i>Streptopelia turtur</i>	<i>Aceros</i> spp. (II) (Except for the species included in Annex A)		European turtle-dove
	<i>Aceros nipalensis</i> (I)	<i>Anorrhinus</i> spp. (II)		Hornbills
		<i>Anthracoceros</i> spp. (II)		Hornbills
		<i>Berenicornis</i> spp. (II)		Rufous-necked hornbill
		<i>Buceros</i> spp. (II) (Except for the species included in Annex A)		Hornbills
<i>CUCULIFORMES</i> Musophagidae	<i>Buceros bicornis</i> (I)			Hornbills
	<i>Rhinoplax vigil</i> (I)	<i>Penelopides</i> spp. (II)		Great hornbill
		<i>Rhyticeros</i> spp. (II) (Except for the species included in Annex A)		Hornbills
	<i>Rhyticeros subruficollis</i> (I)			Hornbills
				Plain-pouched hornbill
<i>FALCONIFORMES</i>	<i>Tauraco bannermani</i> (II)	<i>Tauraco</i> spp. (II) (Except for the species included in Annex A)		Turacos
				Turacos
		FALCONIFORMES spp. (II) (Except for the species included in Annex A and for one species of the family Cathartidae included in Annex C; the other species of that family are not included in the Annexes to this Regulation)		Bannerman's turaco
				Diurnal birds of prey (eagles, falcons, hawks, vultures)
				Diurnal birds of prey

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	Annex A	Annex B	Annex C	Common name
Accipitridae	<i>Accipiter brevipes</i> (II) <i>Accipiter gentilis</i> (II) <i>Accipiter nisus</i> (II) <i>Aegypius monachus</i> (II) <i>Aquila adalberti</i> (I) <i>Aquila chrysaetos</i> (II) <i>Aquila clanga</i> (II) <i>Aquila heliaca</i> (I) <i>Aquila pomarina</i> (II) <i>Buteo buteo</i> (II) <i>Buteo lagopus</i> (II) <i>Buteo rufinus</i> (II) <i>Chondrohierax uncinatus wilsonii</i> (I) <i>Circus gallicus</i> (II) <i>Circus aeruginosus</i> (II) <i>Circus cyaneus</i> (II) <i>Circus macrourus</i> (II) <i>Circus pygargus</i> (II) <i>Elanus caeruleus</i> (II) <i>Euriorchis astur</i> (II) <i>Gypaetus barbatus</i> (II) <i>Gyps fulvus</i> (II) <i>Haliaeetus</i> spp. (I/II) (<i>Haliaeetus albicilla</i> is listed in Appendix I; the other species are listed in Appendix II) <i>Harpia harpyja</i> (I)			Hawks, eagles Levant sparrowhawk Northern goshawk Eurasian sparrowhawk Cinereous vulture Adalbert's eagle Golden eagle Greater spotted eagle Imperial eagle Lesser spotted eagle Common buzzard Rough-legged buzzard Long-legged buzzard Cuban hook-billed kite Short-toed snake-eagle Western marsh-harrier Northern harrier Pallid harrier Montagu's harrier Black-winged kite Madagascar serpent-eagle Lammergeier Eurasian griffon Sea-eagles Harpy eagle

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	Annex A	Annex B	Annex C	Common name
Cathartidae	<i>Hieraetus fasciatus</i> (II)			Bonelli's eagle
	<i>Hieraetus pennatus</i> (II)			Booted eagle
	<i>Leucopternis occidentalis</i> (II)			Grey-backed hawk
	<i>Milvus migrans</i> (II)			Black kite
	<i>Milvus milvus</i> (II)			Red kite
	<i>Neophron percnopterus</i> (II)			Egyptian vulture
	<i>Pernis apivorus</i> (II)			European honey-buzzard
	<i>Pithecopphaga jefferyi</i> (I)			Great Philippine eagle
				New world vultures
	<i>Gymnogyps californianus</i> (I)			California condor
Falconidae	<i>Vultur gryphus</i> (I)		<i>Sarcoramphus papa</i> (III Honduras)	King vulture
				Andean condor
	<i>Falco araeus</i> (I)			Falcons
	<i>Falco biarmicus</i> (II)			Seychelles kestrel
	<i>Falco cherrug</i> (II)			Lanner falcon
	<i>Falco columbarius</i> (II)			Saker falcon
	<i>Falco eleonorae</i> (II)			Merlin
	<i>Falco jugger</i> (I)			Eleonora's falcon
	<i>Falco naumanni</i> (II)			Laggar falcon
	<i>Falco newtoni</i> (I) (Only the population of the Seychelles)			Lesser kestrel
	<i>Falco pelegrinoides</i> (I)			Newton's kestrel
	<i>Falco peregrinus</i> (I)			Barbary falcon
	<i>Falco punctatus</i> (I)			Peregrine falcon
	<i>Falco rusticolus</i> (I)			Mauritius kestrel
	<i>Falco subbuteo</i> (II)			Gyrfalcon
	<i>Falco tinnunculus</i> (II)			Eurasian hobby
				Common kestrel

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	Annex A	Annex B	Annex C	Common name
Pandionidae	<i>Falco vespertinus</i> (II)			Red-footed falcon
GALLIFORMES	<i>Pandion haliaetus</i> (II)			Ospreys Osprey
Cracidae		<i>Crax</i> spp. (-/III) (Except for the species included in Annex A and Annex D; the following species are listed in Appendix III: <i>Crax daubentoni</i> and <i>Crax globulosa</i> for Colombia and <i>Crax rubra</i> for Colombia, Costa Rica, Guatemala and Honduras)		Curassows
	<i>Crax alberti</i> (III Colombia)			Blue-knobbed curassow
	<i>Crax blumenbachii</i> (I)			Red-billed curassow
	<i>Mitu mitu</i> (I)			Alagoas curassow
	<i>Oreophasis derbianus</i> (I)			Horned guan
		<i>Ortalis vetula</i> (III Guatemala/Honduras)		Plain chachalaca
		<i>Pauxi pauxi</i> (III Colombia)		Helmeted curassow
	<i>Penelope albipennis</i> (I)			White-winged guan
			<i>Penelope purpurascens</i> (III Honduras)	Crested guan
	<i>Pipile jacutinga</i> (I)			Highland guan
	<i>Pipile pipile</i> (I)			Black-fronted piping guan
Megapodiidae	<i>Macrocephalon maleo</i> (I)	<i>Penelopina nigra</i> (III Guatemala)		Trinidad piping guan
Phasianidae				Megapodes, scrubfowl Maleo Grouse, guineafowl, partridges, pheasants, tragopans

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	Annex A	Annex B	Annex C	Common name
		<i>Arborophila campbelli</i> (III Malaysia)		Grey-breasted partridge
		<i>Arborophila charltonii</i> (III Malaysia)		Chestnut-necklaced partridge
		<i>Argusianus argus</i> (II)		Great argus
	<i>Catreus wallichii</i> (I)		<i>Caloperdix oculeus</i> (III Malaysia)	Ferruginous partridge
	<i>Colinus virginianus ridgwayi</i> (I)			Cheer pheasant
	<i>Crossoptilon crossoptilon</i> (I)			Masked bobwhite
	<i>Crossoptilon mantchuricum</i> (I)			White eared-pheasant
				Brown eared-pheasant
		<i>Gallus sonneratii</i> (II)		Grey junglefowl
		<i>Ithaginis cruentus</i> (II)		Blood pheasant
	<i>Lophophorus impejanus</i> (I)			Himalayan monal
	<i>Lophophorus lhuysii</i> (I)			Chinese monal
	<i>Lophophorus sclateri</i> (I)			Sclater's monal
	<i>Lophura edwardsi</i> (I)			Edwards' pheasant
		<i>Lophura erythrophthalma</i> (III Malaysia)		Crestless fireback
		<i>Lophura hatinensis</i>		Vietnamese fireback
		<i>Lophura ignita</i> (III Malaysia)		Crested fireback
<i>Lophura imperialis</i> (I)				Imperial pheasant
<i>Lophura swinhoii</i> (I)				Swinhoe's pheasant
			<i>Melanoperdix niger</i> (III Malaysia)	Black partridge
			<i>Meleagris ocellata</i> (III Guatemala)	Ocellated turkey
<i>Odontophorus strophium</i>				Gorgeted wood-quail
<i>Ophrysia superciliosa</i>				Himalayan quail
		<i>Pavo muticus</i> (II)		Green peafowl
		<i>Polyplectron bicalcaratum</i> (II)		Grey peacock-pheasant
		<i>Polyplectron germaini</i> (II)		Germain's peacock-pheasant

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	Annex A	Annex B	Annex C	Common name
	<i>Polyplectron napoleonis</i> (I)	<i>Polyplectron malacense</i> (II)	<i>Polyplectron inopinatum</i> (III Malaysia)	Mountain peacock-pheasant
	<i>Rheinardia ocellata</i> (I)	<i>Polyplectron schlieirmacheri</i> (II)		Malayan peacock-pheasant
				Palawan peacock-pheasant
				Bornean peacock-pheasant
				Crested argus
			<i>Rhizothera dulitensis</i> (III Malaysia)	Hose's partridge
			<i>Rhizothera longirostris</i> (III Malaysia)	Long-billed partridge
			<i>Rollulus rouloul</i> (III Malaysia)	Crested partridge
	<i>Symaticus elioti</i> (I)			Elliot's pheasant
	<i>Symaticus humiae</i> (I)			Hume's pheasant
	<i>Symaticus mikado</i> (I)			Mikado pheasant
	<i>Tetraogallus caspius</i> (I)			Caspian snowcock
	<i>Tetraogallus tibetanus</i> (I)			Tibetan snowcock
	<i>Tragopan blythii</i> (I)			Blyth's tragopan
	<i>Tragopan caboti</i> (I)			Cabot's tragopan
	<i>Tragopan melanocephalus</i> (I)			Western tragopan
	<i>Tympanuchus cupido attwateri</i> (I)		<i>Tragopan satyra</i> (III Nepal)	Satyr tragopan
				Attwater's prairie-chicken
				Cranes
				Cranes
				Whooping crane
				Sandhill crane
	<i>Grus americana</i> (I)			
	<i>Grus canadensis</i> (I/II) (The species is listed in Appendix II but subspecies <i>Grus canadensis nesiotis</i> and <i>Grus canadensis pulla</i> are listed in Appendix I)	Gruidae spp. (II) (Except for the species included in Annex A)		

GRUIFORMES

Gruidae

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	Annex A	Annex B	Annex C	Common name
Otididae	<i>Grus grus</i> (II) <i>Grus japonensis</i> (I) <i>Grus leucogeranus</i> (I) <i>Grus monacha</i> (I) <i>Grus nigricollis</i> (I) <i>Grus vipio</i> (I)	Otididae spp. (II) (Except for the species included in Annex A)		Common crane Red-crowned crane Siberian crane Hooded crane Black-necked crane White-necked crane Bustards Bustards Indian bustard Macqueen's bustard Houbara bustard Bengal florican Great bustard Lesser florican Little bustard Coots, rails Lord Howe rail Kagu Kagu
Rallidae				
Rhynchoetidae				
PASSERIFORMES				
Atrichornithidae				
Cotingidae	<i>Atrichornis clamosus</i> (I) <i>Cotinga maculata</i> (I)		<i>Cephalopterus ornatus</i> (III) Colombia) <i>Cephalopterus penduliger</i> (III) Colombia)	Scrub-birds Noisy scrub-bird Cotingas Amazonian umbrella bird Long-wattled umbrella bird Banded cotinga

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	Annex A	Annex B	Annex C	Common name
Emberizidae	<i>Xipholena atropurpurea</i> (I)	<i>Rupicola</i> spp. (II) <i>Gubernatrix cristata</i> (II) <i>Paroaria capitata</i> (II) <i>Paroaria coronata</i> (II) <i>Tangara fastuosa</i> (II)		Cocks-of-the-rock White-winged cotinga Cardinals, tanagers Yellow cardinal Yellow-billed cardinal Red-crested cardinal Seven-coloured tanager Mannikins, waxbills Green avadavat Timor sparrow Java sparrow Southern black-throated finch
Fringillidae		<i>Amandava formosa</i> (II) <i>Lonchura fuscata</i> <i>Lonchura oryzivora</i> (II) <i>Poephila cincta cincta</i> (II)		Finches Red siskin Yellow-faced siskin
Hirundinidae	<i>Carduelis cucullata</i> (I)	<i>Carduelis yarrellii</i> (II)		Martins White-eyed river-martin
Icteridae	<i>Pseudochelidon sirintarae</i> (I)			New-world blackbirds
Meliphagidae	<i>Xanthopsar flavus</i> (I)			Saffron-cowled blackbird
Muscicapidae	<i>Lichenostomus melanops cassidix</i> (I) <i>Acrocephalus rodericanus</i> (III Mauritius) <i>Dasyornis broadbenti litoralis</i> (possibly extinct) (I) <i>Dasyornis longirostris</i> (I)	<i>Cyornis ruckii</i> (II)		Honey-eaters Helmited honeyeater Old-world flycatchers Rodrigues brush-warbler Rueck's blue-flycatcher Western rufous bristlebird Western bristlebird

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	Annex A	Annex B	Annex C	Common name
Paradisaeidae	<i>Picathartes gymnocephalus</i> (I) <i>Picathartes oreas</i> (I)	<i>Garrulax canorus</i> (II) <i>Leiothrix argenteauris</i> (II) <i>Leiothrix lutea</i> (II) <i>Liocichla omeiensis</i> (II)	<i>Terpsiphone bourbonnensis</i> (III Mauritius)	Melodious laughingthrush Silver-eared mesia Red-billed leiothrix Omei Shan liocichla White-necked rockfowl Grey-necked rockfowl Mascarene paradise-flycatcher
Pittidae	<i>Pitta gurneyi</i> (I) <i>Pitta kochi</i> (I)	<i>Paradisaeidae</i> spp. (II) <i>Pitta guajana</i> (II) <i>Pitta nympha</i> (II)		Birds of paradise Birds of paradise Pittas Banded pitta Gurney's pitta Whiskered pitta Fairy pitta
Pycnonotidae		<i>Pycnonotus zeylanicus</i> (II)		Bulbuls Straw-headed bulbul
Sturnidae	<i>Leucopsar rothschildi</i> (I) <i>Zosterops albogularis</i> (I)	<i>Gracula religiosa</i> (II)		Mynas Hill myna Bali myna White-eyes White-chested white-eye
Zosteropidae				
<i>PELECANIFORMES</i>				
Fregatidae	<i>Fregata andrewsi</i> (I)			Frigatebirds Christmas frigatebird
Pelecanidae	<i>Pelecanus crispus</i> (I)			Pelicans Dalmatian pelican

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	Annex A	Annex B	Annex C	Common name
Sulidae	<i>Papasula abbotti</i> (I)			Boobies Abbott's booby
<i>PICIFORMES</i>				
Capitonidae		<i>Semnornis ramphastinus</i> (III Colombia)		Barbets Toucan barbet
Picidae	<i>Campephilus imperialis</i> (I) <i>Dryocopus javensis richardsi</i> (I)			Woodpeckers Imperial woodpecker Tristram's woodpecker
Ramphastidae		<i>Bailloniuss bailloni</i> (III Argentina) <i>Pteroglossus aracari</i> (II) <i>Pteroglossus castanotis</i> (III Argentina) <i>Pteroglossus viridis</i> (II) <i>Ramphastos dicolorus</i> (III Argentina) <i>Ramphastos sulfuratus</i> (II) <i>Ramphastos toco</i> (II) <i>Ramphastos tucanus</i> (II) <i>Ramphastos vitellinus</i> (II) <i>Selenidera maculirostris</i> (III Argentina)		Toucans Saffron toucanet Black-necked aracari Chestnut-eared aracari Green aracari Red-breasted toucan Keel-billed toucan Toco toucan Red-billed toucan Channel-billed toucan Spot-billed toucanet
<i>PODICIPEDIFORMES</i>				
Podicipedidae	<i>Podilymbus gigas</i> (I)			Grebes Atitlan Grebe
<i>PROCELLARIIFORMES</i>				
Diomedidae	<i>Phoebastria albatrus</i> (I)			Albatrosses Short-tailed albatross

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	Annex A	Annex B	Annex C	Common name
PSITTACIFORMES		PSITTACIFORMES spp. (II) (Except for the species included in Annex A and excluding <i>Agapornis roseicollis</i> , <i>Melopsittacus undulatus</i> , <i>Nymphicus hollandicus</i> and <i>Psittacula krameri</i> , which are not included in the Annexes to this Regulation)		Cockatoos, lories, macaws, parakeets, parrots etc. Parrots, etc.
Cacatuidae	<i>Cacatua goffini</i> (I) <i>Cacatua haematuropygia</i> (I) <i>Cacatua moluccensis</i> (I) <i>Cacatua sulphurea</i> (I) <i>Probosciger aterrimus</i> (I)			Cockatoos Tanimbar cockatoo Philippine cockatoo Salmon-crested cockatoo Yellow-crested cockatoo Palm cockatoo
Loriidae	<i>Eos histrio</i> (I) <i>Vini</i> spp. (I/II) (<i>Vini ultramarina</i> is listed in Appendix I, the other species are listed in Appendix II)			Lories, lorikeets Red and blue lory Blue lorikeets
Psittacidae	<i>Amazona arausiaca</i> (I) <i>Amazona auropalliata</i> (I) <i>Amazona barbadensis</i> (I) <i>Amazona brasiliensis</i> (I) <i>Amazona finschi</i> (I) <i>Amazona guildingii</i> (I) <i>Amazona imperialis</i> (I) <i>Amazona leucocephala</i> (I) <i>Amazona oratrix</i> (I)			Amazons, macaws, parakeets, parrots Red-necked parrot Yellow-naped parrot Yellow-shouldered parrot Red-tailed parrot Lilac-crowned parrot St Vincent parrot Imperial parrot Cuban parrot Yellow-headed parrot

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	Annex A	Annex B	Annex C	Common name
	<i>Amazona pretrei</i> (I) <i>Amazona rhodocorytha</i> (I) <i>Amazona tucumana</i> (I) <i>Amazona versicolor</i> (I) <i>Amazona vinacea</i> (I) <i>Amazona viridigenalis</i> (I) <i>Amazona vittata</i> (I) <i>Anodorhynchus</i> spp. (I) <i>Ara ambiguus</i> (I) <i>Ara glaucogularis</i> (I) <i>Ara macao</i> (I) <i>Ara militaris</i> (I) <i>Ara rubrogenys</i> (I) <i>Cyanopsitta spixii</i> (I) <i>Cyanoramphus cookii</i> (I) <i>Cyanoramphus forbesi</i> (I) <i>Cyanoramphus novaezelandiae</i> (I) <i>Cyanoramphus satsseti</i> (I) <i>Cyclopsitta diophthalma coxeni</i> (I) <i>Eunymphicus cornutus</i> (I) <i>Geopsittacus occidentalis</i> (possibly extinct) (I) <i>Guarouba guarouba</i> (I) <i>Neophema chrysogaster</i> (I) <i>Ognorhynchus icterotis</i> (I) <i>Pezoporus wallicus</i> (I) <i>Pionopsitta pileata</i> (I)			Red-spectacled parrot Red-browed parrot Tucuman parrot Saint Lucia parrot Vinaceous parrot Green-cheeked parrot Puerto Rican parrot Blue macaws Great green macaw Blue-throated macaw Scarlet macaw Military macaw Red-fronted macaw Spix's macaw Norfolk Island parakeet Chatham Island yellow-fronted parakeet Red-fronted parakeet Red-crowned parakeet Coxen's double-eyed fig parrot Horned parakeet Night parrot Golden parakeet Orange-bellied parrot Yellow-eared parrot Ground parrot Pileated parrot

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	Annex A	Annex B	Annex C	Common name
	<i>Primolius couloni</i> (I) <i>Primolius maracana</i> (I) <i>Psephotus chrysopterygius</i> (I) <i>Psephotus dissimilis</i> (I) <i>Psephotus pulcherrimus</i> (possibly extinct) (I) <i>Psittacula echo</i> (I) <i>Pyrhura cruentata</i> (I) <i>Rhynchopsitta</i> spp. (I) <i>Strigops habroptilus</i> (I)			Blue-headed macaw Blue-winged macaw Golden-shouldered parrot Hooded parrot Paradise parrot Mauritius parakeet Blue-throated parakeet Thick-billed parrots Kakapo
<i>RHEIFORMES</i> Rheidae	<i>Pterocnemio pennata</i> (I) (Except <i>Pterocnemio pennata pennata</i> which is included in Annex B)	<i>Pterocnemio pennata pennata</i> (II) <i>Rhea americana</i> (II)		Rheas Lesser rhea Lesser rhea Greater rhea
<i>SPHENISCIFORMES</i> Spheniscidae	<i>Spheniscus humboldti</i> (I)	<i>Spheniscus demersus</i> (II)		Penguins Jackass penguin Humboldt penguin
<i>STRIGIFORMES</i>		STRIGIFORMES spp. (II) (Except for the species included in Annex A)		Owls Owls
Strigidae	<i>Aegolius funereus</i> (II) <i>Asio flammeus</i> (II) <i>Asio otus</i> (II)			Owls Boreal owl Short-eared owl Long-eared owl

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	Annex A	Annex B	Annex C	Common name
Tytonidae	<i>Athene noctua</i> (II)			Little owl
	<i>Bubo bubo</i> (II)			Eurasian eagle-owl
	<i>Glaucidium passerinum</i> (II)			Eurasian pygmy-owl
	<i>Heteroglaux blewitti</i> (I)			Forest owllet
	<i>Mimizuku gurneyi</i> (I)			Lesser eagle-owl
	<i>Ninox natalis</i> (I)			Christmas hawk-owl
	<i>Ninox novaeseelandiae undulata</i> (I)			Norfolk boobook
	<i>Nyctea scandiaca</i> (II)			Snowy owl
	<i>Otus ireneae</i> (II)			Sokoke scops-owl
	<i>Otus scops</i> (II)			Eurasian scops-owl
STRUTHIONIFORMES	<i>Strix aluco</i> (II)			Tawny owl
	<i>Strix nebulosa</i> (II)			Great grey owl
	<i>Strix uralensis</i> (II)			Ural owl
	<i>Surnia ulula</i> (II)			Northern hawk owl
	<i>Tyto alba</i> (II)			Barn owls
	<i>Tyto soumagnei</i> (I)			Barn owl
				Soumagne's owl
				Ostrich
				Ostrich
TINAMIFORMES				
Tinamidae				Tinamous
				Solitary tinamou

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	Annex A	Annex B	Annex C	Common name
TROGONIFORMES				
Trogonidae	<i>Pharomachrus mocinno</i> (I)			Quetzals Resplendent quetzal
REPTILIA				Reptiles
CROCODYLIA		CROCODYLIA spp. (II) (Except for the species included in Annex A)		Alligators, caimans, crocodiles Alligators, caimans, crocodiles
Alligatoridae	<i>Alligator sinensis</i> (I) <i>Caiman crocodilus apaportensis</i> (I) <i>Caiman latirostris</i> (I) (Except for the population of Argentina, which is included in Annex B) <i>Melanosuchus niger</i> (I) (Except for the population of Brazil, which is included in Annex B, and population of Ecuador, which is included in Annex B and is subject to a zero annual export quota until an annual export quota has been approved by the CITES Secretariat and the IUCN/SSC Crocodile Specialist Group)			Alligators, caimans Chinese alligator Rio Apaporis spectacled caiman Broad-nosed caiman
Crocodylidae	<i>Crocodylus acutus</i> (I) (Except for the population of Cuba, which is included in Annex B) <i>Crocodylus cataphractus</i> (I) <i>Crocodylus intermedius</i> (I) <i>Crocodylus mindorensis</i> (I) <i>Crocodylus moreletii</i> (I)			Crocodiles American crocodile African slender-snouted crocodile Orinoco crocodile Philippine crocodile Morelet's crocodile

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	Annex A	Annex B	Annex C	Common name
	<i>Crocodylus niloticus</i> (I) (Except for the populations of Botswana, Ethiopia, Kenya, Madagascar, Malawi, Mozambique, Namibia, South Africa, Uganda, the United Republic of Tanzania [subject to an annual export quota of no more than 1 600 wild specimens including hunting trophies, in addition to ranched specimens], Zambia and Zimbabwe; these populations are included in Annex B)			Nile crocodile
	<i>Crocodylus palustris</i> (I)			Mugger crocodile
	<i>Crocodylus porosus</i> (I) (Except for the populations of Australia, Indonesia and Papua New Guinea, which are included in Annex B)			Estuarine crocodile
	<i>Crocodylus rhombifer</i> (I)			Cuban crocodile
	<i>Crocodylus siamensis</i> (I)			Siamese crocodile
	<i>Osteolaemus tetraspis</i> (I)			West African dwarf crocodile
	<i>Tomistoma schlegelii</i> (I)			False gharial
Gavialidae	<i>Gavialis gangeticus</i> (I)			Gavial or gharial Gharial
RHYNCHOCEPHALLA				
Sphenodontidae	<i>Sphenodon</i> spp. (I)			Tuataras Tuataras
SAURIA				
Agamidae			<i>Uromastyx</i> spp. (II)	Spiny-tailed lizards (Agamas, mastigures) Spiny-tailed lizards
Chamaeleonidae		<i>Bradypodion</i> spp. (II)		Chameleons Dwarf chameleons

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	Annex A	Annex B	Annex C	Common name
Cordylidae	<i>Brookesia perarmata</i> (I)	<i>Brookesia</i> spp. (II) (Except for the species included in Annex A)		Dwarf chameleons
	<i>Chamaeleo chamaeleon</i> (II)	<i>Calumma</i> spp. (II)		Dwarf spiny chameleon
		<i>Chamaeleo</i> spp. (II) (Except for the species included in Annex A)		Madagascar chameleons
		<i>Furcifer</i> spp. (II)		Chameleons
		<i>Cordylus</i> spp. (II)		European chameleon
Gekkonidae				Madagascar chameleons
				Spiny-tailed lizards
				Girdled lizards
				Geckos
				Serpent Island gecko
Helodermatidae			<i>Hoplodactylus</i> spp. (III New Zealand)	Sticky-toed geckos
			<i>Nautilinus</i> spp. (III New Zealand)	New Zealand tree geckos
				Day geckos
	<i>Phelsuma guentheri</i> (II)	<i>Phelsuma</i> spp. (II) (Except for the species included in Annex A)		Round Island day gecko
		<i>Uroplatus</i> spp. (II)		Flat-tailed geckos
Iguanidae				Gila monster and bearded lizard
				Gila monster and bearded lizard
	<i>Heloderma horridum charlesbogerti</i> (I)	<i>Heloderma</i> spp. (II) (Except for the subspecies included in Annex A)		Guatemalan bearded lizard
	<i>Brachylophus</i> spp. (I)	<i>Amblyrhynchus cristatus</i> (II)		Iguanas
	<i>Cyclura</i> spp. (I)	<i>Conolophus</i> spp. (II)		Galapagos marine iguana
		<i>Iguana</i> spp. (II)		Fiji iguanas
				Galapagos land iguanas
				Ground iguanas
				Iguanas

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	Annex A	Annex B	Annex C	Common name
Lacertidae	<i>Sauromalus varius</i> (I) <i>Gallotia simonyi</i> (I) <i>Podarcis tilfordi</i> (II) <i>Podarcis pityusensis</i> (II)	<i>Phrynosoma coronatum</i> (II)		Coast horned lizard San Esteban Island chuckwalla Lizards Hierro giant lizard Lilford's wall lizard Ibiza wall lizard Skinks Prehensile-tailed skink Caiman lizards, tegu lizards Dragon lizard Caiman lizards Tegus Monitor lizards Monitor lizards
Scincidae		<i>Corucia zebrata</i> (II)		
Teiidae		<i>Crocodilurus amazonicus</i> (II) <i>Dracaena</i> spp. (II) <i>Tupinambis</i> spp.(II)		
Varanidae	<i>Varanus bengalensis</i> (I) <i>Varanus flavescens</i> (I) <i>Varanus griseus</i> (I) <i>Varanus komodoensis</i> (I) <i>Varanus nebulosus</i> (I) <i>Varanus olivaceus</i> (II)	<i>Varanus</i> spp. (II) (Except for the species included in Annex A)		Indian monitor Yellow monitor Desert monitor Komodo dragon Clouded monitor Gray's monitor Chinese crocodile lizard Chinese crocodile lizard Snakes Boas Boas Madagascar ground boas
Xenosauridae		<i>Shinisaurus crocodilurus</i> (II)		
SERPENTES				
Boidae	<i>Acrantophis</i> spp. (I)	<i>Boidae</i> spp. (II) (Except for the species included in Annex A)		

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	Annex A	Annex B	Annex C	Common name
	<i>Boa constrictor occidentalis</i> (I) <i>Epicrates inornatus</i> (I) <i>Epicrates monensis</i> (I) <i>Epicrates subflavus</i> (I) <i>Eryx jaculus</i> (II) <i>Sanzinia madagascariensis</i> (I)			Argentine boa constrictor Puerto Rican boa Virgin Island tree boa Jamaican boa Spotted sand boa Madagascar tree boa Round Island boas Round Island boas
Bolyeriidae		Bolyeriidae spp. (II) (Except for the species included in Annex A)		Round Island boa Round Island keel-scaled boa
Colubridae	<i>Bolyeria multocarinata</i> (I) <i>Casarea dussumieri</i> (I)		<i>Atretium schistosum</i> (III India) <i>Cerberus rynchops</i> (III India)	Typical snakes, water snakes, whip snakes Olive keel-back Dog-faced water snake Mussurana False cobra Indian egg-eating snake Common rat snake Checkered keel-back Cobras, coral snakes Broad-headed snake Atlantic coral snake Central American coral snake
		<i>Clelia clelia</i> (II) <i>Cyclagras gigas</i> (II) <i>Elachistodon westermanni</i> (II) <i>Ptyas mucosus</i> (II)	<i>Xenochrophis piscator</i> (III India)	
Elapidae		<i>Hoplocephalus bungaroides</i> (II)	<i>Micrurus diastema</i> (III Honduras) <i>Micrurus nigrocinctus</i> (III Honduras)	
		<i>Naja atra</i> (II) <i>Naja kaouthia</i> (II) <i>Naja mandalayensis</i> (II) <i>Naja naja</i> (II)		Chinese spitting cobra Monocellate cobra Burmese spitting cobra Indian cobra

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	Annex A	Annex B	Annex C	Common name
		<i>Naja oxiana</i> (II) <i>Naja philippinensis</i> (II) <i>Naja sagittifera</i> (II) <i>Naja samarensis</i> (II) <i>Naja siamensis</i> (II) <i>Naja sputatrix</i> (II) <i>Naja sumatrana</i> (II) <i>Ophiophagus hannah</i> (II)		Central Asian cobra North Philippine spitting cobra Andaman cobra South-east Philippine spitting cobra Indochinese spitting cobra South Indonesian spitting cobra Golden spitting cobra King cobra
Loxocemidae		Loxocemidae spp. (II)		Mexican dwarf boa Mexican dwarf boa
Pythonidae		Pythonidae spp. (II) (Except for the subspecies included in Annex A)		Pythons Pythons Indian python
Tropidophiidae	<i>Python molurus molurus</i> (I)	Tropidophiidae spp. (II)		Wood boas Wood boas
Viperidae	<i>Vipera latifii</i> <i>Vipera ursinii</i> (I) (Only the population of Europe, except the area which formerly constituted the USSR; these latter populations are not included in the Annexes to this Regulation)	<i>Crotalus durissus unicolor</i> <i>Vipera wagneri</i> (II)	<i>Crotalus durissus</i> (III Honduras) <i>Daboia russelii</i> (III India)	Vipers Neotropical rattlesnake Aruba rattlesnake Russell's viper Latif's viper Orsini's viper
				Wagner's viper

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	Annex A	Annex B	Annex C	Common name
TESTUDINES				
Carettochelyidae		<i>Carettochelys insculpta</i> (II)		Pig-nosed turtles Pig-nosed turtle
Chelidae		<i>Chelodina mccordi</i> (II)		Austro-American sideneck turtles Roti snake-necked turtle Western swamp turtle
Cheloniidae	<i>Pseudemys umbrina</i> (I)			Sea turtles Sea turtles
Chelydridae	Cheloniidae spp. (I)			Snapping turtles Alligator snapping turtle
Dermatemydidae		<i>Dermatemys mawii</i> (II)		Central American river turtle Central American river turtle
Dermochelyidae	<i>Dermochelys coriacea</i> (I)			Leatherback turtle Leatherback turtle
Emydidae		<i>Chrysemys picta</i> <i>Glyptemys insculpta</i> (II)		Box turtles, freshwater turtles Painted turtle Wood turtle Bog turtle Map turtles
	<i>Glyptemys muhlenbergii</i> (I)		<i>Graptemys</i> spp. (III United States of America)	Box turtles
		<i>Terrapene</i> spp. (II) (Except for the species included in Annex A)		Aquatic box turtle
	<i>Terrapene coahuila</i> (I)	Trachemys scripta elegans		Red-eared terrapin
Geoemydidae	<i>Batagur baska</i> (I)	<i>Callagur borneoensis</i> (II) <i>Cuora</i> spp. (II)		Batagur Painted terrapin Asian box turtles

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	Annex A	Annex B	Annex C	Common name
Platysternidae		<i>Siebenrockiella crassicaulis</i> (II) <i>Siebenrockiella leyensis</i> (II)	<i>Sacalia pseudocellata</i> (III China) <i>Sacalia quadriocellata</i> (III China)	Chinese false-eyed turtle Four-eyed turtle Black marsh turtle Philippine pond turtle Big-headed turtle Big-headed turtle
Podocnemididae		<i>Platysternon megacephalum</i> (II) <i>Erymnochelys madagascariensis</i> (II) <i>Peltocephalus dumerilianus</i> (II) <i>Podocnemis</i> spp. (II)		Afro-American sideneck turtles Madagascar sideneck turtle Big-headed sideneck turtle Sideneck turtles
Testudinidae	<i>Astrochelys radiata</i> (I) <i>Astrochelys yniphora</i> (I) <i>Chelonoidis nigra</i> (I) <i>Gopherus flavomarginatus</i> (I) <i>Malacochersus tornieri</i> (II) <i>Psammobates geometricus</i> (I) <i>Pyxis arachnoides</i> (I) <i>Pyxis planicauda</i> (I) <i>Testudo graeca</i> (II) <i>Testudo hermanni</i> (II) <i>Testudo kleinmanni</i> (I) <i>Testudo marginata</i> (II)	Testudinidae spp. (II) (Except for the species included in Annex A; a zero annual export quota has been established for <i>Geochelone sulcata</i> for specimens removed from the wild and traded for primarily commercial purposes.)		Tortoises Tortoises Radiated tortoise Angonoka Galapagos giant tortoise Bolson tortoise Pancake tortoise Geometric tortoise Madagascar spider tortoise Madagascar flat-shelled tortoise Spur-thighed tortoise Hermann's tortoise Egyptian tortoise Marginated tortoise

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	Annex A	Annex B	Annex C	Common name
Trionychidae	<i>Apalone spinifera atra</i> (I) <i>Aspideretes gangeticus</i> (I) <i>Aspideretes hurum</i> (I) <i>Aspideretes nigricans</i> (I)	<i>Amyda cartilaginea</i> (II) <i>Chitra</i> spp. (II) <i>Lissemys punctata</i> (II) <i>Lissemys scutata</i> (II) <i>Pelochelys</i> spp. (II)	<i>Palea steindachneri</i> (III China) <i>Pelodiscus axenaria</i> (III China) <i>Pelodiscus maackii</i> (III China) <i>Pelodiscus parviformis</i> (III China) <i>Rafetus swinhoiei</i> (III China)	Softshell turtles, terrapins Southeast Asian soft-shelled turtle Cuatro Cienagas soft-shell turtle Indian soft-shell turtle Peacock soft-shell turtle Black soft-shell turtle Narrow-headed softshell turtles Indo-Gangetic flapshell turtle Burmese flapshell turtle Wattle-necked softshell turtle Giant softshell turtles Hunan softshell turtle Amur softshell turtle Chinese softshell turtle Yangtze softshell turtle Amphibians
AMPHIBIA ANURA Bufo Bufonidae	<i>Altiphrynoides</i> spp. (I) <i>Atelopus zeteki</i> (I) <i>Bufo periglenes</i> (I) <i>Bufo superciliaris</i> (I) <i>Nectophrynoides</i> spp. (I) <i>Nimbaphrynoides</i> spp. (I) <i>Spinophrynoides</i> spp. (I)			Toads Malcolm's Ethiopian toad Golden frog Golden toad Cameroon toad African viviparous toads Nimba toads Osgood's Ethiopian toad Poison frogs Brilliant-thighed poison frog Sanguine poison frog
Dendrobatidae		<i>Allobates femoralis</i> (II) <i>Allobates zaparo</i> (II)		

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	Annex A	Annex B	Annex C	Common name
Mantellidae		<i>Cryptophylllobates azureiventris</i> (II) <i>Dendrobates</i> spp. (II) <i>Epipedobates</i> spp. (II) <i>Phylllobates</i> spp. (II)		Sky-blue poison frog Poison-arrow frogs Poison-arrow frogs Poison-arrow frogs
Microhylidae		<i>Mantella</i> spp. (II)		Mantella frogs Mantella frogs
Myobatrachidae	<i>Dyscophus antongilii</i> (I)	<i>Scaphiophryne gottlebei</i> (II) <i>Rheobatrachus</i> spp. (II) (Except for the species included in Annex A)		Tomato frogs Tomato frog Red rain frog Gastric brooding frogs Gastric brooding frog
Ranidae	<i>Rheobatrachus silus</i> (II)	<i>Conraua goliath</i> <i>Euphyctis hexadactylus</i> (II) <i>Hoplobatrachus tigerinus</i> (II) <i>Rana catesbeiana</i>		Platypus frog Frogs Goliath frog Six-fingered frog Tiger frog American bullfrog
<i>CAUDATA</i> Ambystomatidae		<i>Ambystoma dumerilii</i> (II) <i>Ambystoma mexicanum</i> (II)		Axolotls Lake Patzcuaro salamander Axolotl
Cryptobranchidae	<i>Andrias</i> spp. (I)			Giant salamanders Giant salamanders Sharks and rays
ELASMOBRANCHII <i>LAMNIFORMES</i> Cetorhinidae		<i>Cetorhinus maximus</i> (II)		Basking sharks Basking shark

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	Annex A	Annex B	Annex C	Common name
Lamnidae		<i>Carcharodon carcharias</i> (II)		Great white shark Great white shark
<i>ORECTOLOBIFORMES</i>				
Rhincodontidae		<i>Rhincodon typus</i> (II)		Whale sharks Whale shark
<i>RAJIFORMES</i>				
Pristidae	<i>Pristidae</i> spp. (I) (Except for the species included in Annex B)	<i>Pristis microdon</i> (II) (For the exclusive purpose of allowing international trade in live animals to appropriate and acceptable aquaria for primarily conservation purposes. All other specimens shall be deemed to be specimens of species included in Annex A and the trade in them shall be regulated accordingly)		Sawfishes Sawfishes Freshwater sawfish
ACTINOPTERYGII				
<i>ACIPENSERIFORMES</i>		<i>ACIPENSERIFORMES</i> spp. (II) (Except for the species included in Annex A)		Fish Sturgeons and paddlefish
Acipenseridae	<i>Acipenser brevirostrum</i> (I) <i>Acipenser sturio</i> (I)			Sturgeons Shortnose sturgeon Common sturgeon
<i>ANGUILLIFORMES</i>				
Anguillidae		<i>Anguilla anguilla</i> (II) (This inclusion will enter into force on 13 March 2009)		Freshwater eels European eel

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	Annex A	Annex B	Annex C	Common name
<i>CYPRINIFORMES</i>				
Catostomidae	<i>Chasmistes cujus</i> (I)			Cui-ui Cui-ui
Cyprinidae	<i>Probarbus jullieni</i> (I)	<i>Caecobarbus geertsi</i> (II)		Blind carps, plaesok African blind barb fish Ikan temoleh
<i>OSTEOGLOSSIFORMES</i>				
Osteoglossidae	<i>Scleropages formosus</i> (I)	<i>Arapaima gigas</i> (II)		Arapaimas, bonytongues Arapaima Asian arowana
<i>PERCIFORMES</i>				
Labridae		<i>Cheilinus undulatus</i> (II)		Wrasses Humphead wrasse
Sciaenidae	<i>Totoaba macdonaldi</i> (I)			Totoabas Totoaba
<i>SILURIFORMES</i>				
Pangasiidae	<i>Pangasianodon gigas</i> (I)			Pangasid catfish Giant catfish
<i>SYNGNATHIFORMES</i>				
Syngnathidae		<i>Hippocampus</i> spp. (II)		Pipefishes, seahorses Seahorses Lungfishes
<i>SARCOPTERYGII</i>				
<i>CERATODONTIFORMES</i>				
Ceratodontidae		<i>Neoceratodus forsteri</i> (II)		Australian lungfishes Australian lungfish
<i>COELACANTHIFORMES</i>				
Latimeriidae	<i>Latimeria</i> spp. (I)			Coelacanths Coelacanths

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	Annex A	Annex B	Annex C	Common name
ECHINODERMATA (STARFISH, BRITTLE STARS, SEA URCHINS AND SEA CUCUMBERS)				
HOLOTHUROIDEA <i>ASPIDOCHIROTIDA</i> Stichopodidae			<i>Isostichopus fuscus</i> (III Ecuador)	Sea cucumbers Sea cucumbers Brown sea cucumber
ARTHROPODA (ARTHROPODS)				
ARACHNIDA <i>ARANEAE</i> Theraphosidae		<i>Aphonopelma albiceps</i> (II) <i>Aphonopelma pallidum</i> (II) <i>Brachypelma</i> spp. (II)		Spiders and scorpions Red-kneed tarantulas, tarantulas Chihuahua rose-grey tarantula Central American tarantulas
SCORPIONES Scorpionidae		<i>Pandinus dictator</i> (II) <i>Pandinus gambiensis</i> (II) <i>Pandinus imperator</i> (II)		Scorpions Giant Senegalese scorpion Emperor scorpion Insects Beetles Stag beetles Cape stag beetles Butterflies Birdwing and swallowtail butterflies Sri Lankan rose Palu swallowtail butterfly Malabar rose
INSECTA <i>COLEOPTERA</i> Lucanidae			<i>Colophon</i> spp. (III South Africa)	
LEPIDOPTERA Papilionidae		<i>Atrophaneura jophon</i> (II) <i>Atrophaneura palu</i> <i>Atrophaneura pandiyana</i> (II)		

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	Annex A	Annex B	Annex C	Common name
		<i>Bhutanitis</i> spp. (II) <i>Graphium sandawanum</i> <i>Graphium stresemanni</i> <i>Ornithoptera</i> spp. (II) (except for the species included in Annex A) <i>Papilio benguetanus</i> <i>Papilio esperanza</i>		Swallowtail butterflies Apo swallowtail butterfly Seram swallowtail Birdwing butterflies Queen Alexandra's birdwing
	<i>Ornithoptera alexandrae</i> (I) <i>Papilio chikae</i> (I) <i>Papilio homerus</i> (I) <i>Papilio hospiton</i> (I)	<i>Papilio morondavana</i> <i>Papilio neuomegei</i> <i>Parides ascanius</i> <i>Parides hahneli</i>		Luzon peacock swallowtail Homerus swallowtail Corsican swallowtail Madagascan emperor swallowtail
	<i>Parnassius apollo</i> (II)	<i>Teinopalpus</i> spp. (II) <i>Trogonoptera</i> spp. (II) <i>Troides</i> spp. (II)		Fluminense swallowtail butterfly Hahnel's amazonian swallowtail butterfly Mountain apollo Kaiser-I-Hind butterflies Birdwing butterflies Birdwing butterflies
ANNELIDA (SEGMENTED WORMS AND LEECHES)				
HIRUDINOIDEA <i>ARHYNCHOBDELLIDA</i> Hirudinidae		<i>Hirudo medicinalis</i> (II) MOLLUSCA (MOLLUSCS)		Leeches Leeches Medicinal leech
BIVALVIA				Bivalve molluscs (clams, mussels etc.)

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	Annex A	Annex B	Annex C	Common name
<i>MYTILOIDA</i>				
Mytilidae		<i>Lithophaga lithophaga</i> (II)		Marine mussels European date mussel
<i>UNIONOIDA</i>				
Unionidae	<i>Conradilla caelata</i> (I)	<i>Cyprogenia aberti</i> (II)		Freshwater mussels, pearly mussels Birdwing pearly mussel Western fanshell mussel Dromedary pearly mussel Curtis' pearly mussel Yellow-blossom pearly mussel Wabash riffleshell White catspaw mussel Green-blossom pearly mussel
	<i>Dromus dromas</i> (I)			
	<i>Epioblasma curtisii</i> (I)			
	<i>Epioblasma florentina</i> (I)			
	<i>Epioblasma sampsonii</i> (I)			
	<i>Epioblasma sulcata perobliqua</i> (I)			
	<i>Epioblasma torulosa gubernaculum</i> (I)	<i>Epioblasma torulosa rangiana</i> (II)		Northern riffleshell Turbercle-blossom pearly mussel Turgid-blossom pearly mussel Tan riffleshell Fine-rayed pigtoe pearly mussel Shiny pigtoe pearly mussel Higgins' eye pearly mussel Pink mucket pearly mussel Sandback pocketbook mussel Alabama lamp pearly mussel White warty-back pearly mussel Orange-footed pimpleback mussel Clubshell pearly mussel Rough pigtoe pearly mussel
	<i>Epioblasma torulosa torulosa</i> (I)			
	<i>Epioblasma turgidula</i> (I)			
	<i>Epioblasma walkeri</i> (I)			
	<i>Fusconaita cuneolus</i> (I)			
	<i>Fusconaita edgariana</i> (I)			
	<i>Lampsilis higginsii</i> (I)			
	<i>Lampsilis orbiculata orbiculata</i> (I)			
	<i>Lampsilis satur</i> (I)			
	<i>Lampsilis virescens</i> (I)			
	<i>Plethobasus cicatricosus</i> (I)			
	<i>Plethobasus cooperianus</i> (I)	<i>Pleurobema clava</i> (II)		
	<i>Pleurobema plenum</i> (I)			

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	Annex A	Annex B	Annex C	Common name
	<i>Potamilus capax</i> (I) <i>Quadrula intermedia</i> (I) <i>Quadrula sparsa</i> (I) <i>Toxolasma cylindrellus</i> (I) <i>Unio nickliniana</i> (I) <i>Unio tampicoensis tecomatensis</i> (I) <i>Villosa trabalis</i> (I)			Fat pocketbook pearly mussel Cumberland monkey-face pearly mussel Appalachian monkey-face pearly mussel Pale lilliput pearly mussel Nicklin's pearly mussel Tampico pearly mussel Cumberland bean pearly mussel
VENEROIDA				
Tridacnidae		Tridacnidae spp. (II)		Giant clams Giant clams Slugs, snails and conches
GASTROPODA				
ARCHAEOGASTROPODA				
Haliotidae			<i>Haliotis midae</i> (III South Africa)	Abalones Midas ear abalone
MESOGASTROPODA				
Strombidae		<i>Strombus gigas</i> (II)		Conches Queen conch
STYLOMMATOPHORA				
Achatinellidae	<i>Achatinella</i> spp. (I)			Agate snails, oahu tree snails Little agate shells Green tree snail Manus green tree snail
Camaenidae		<i>Papustyla pulcherrima</i> (II)		
		CNIDARIA (CORALS, FIRE CORALS, SEA ANEMONES)		
ANTHOZOA				Corals, sea anemones
ANTIPATHARIA		ANTIPATHARIA spp. (II)		Black corals

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	Annex A	Annex B	Annex C	Common name
<i>HELIOPORACEA</i> Helioporidae		Helioporidae spp. (II) (Includes only the species <i>Heliopora coerulea</i>) (7)		Blue coral Blue coral
<i>SCLERACTINIA</i>		SCLERACTINIA spp. (II) (7)		Stony corals
<i>STOLONIFERA</i> Tubiporidae		Tubiporidae spp. (II) (7)		Organpipe corals Organpipe corals Sea ferns, fire corals, stinging medusas
<i>HYDROZOA</i>				
<i>MILLEPORINA</i> Milleporidae		Milleporidae spp. (II) (7)		Wello fire corals Wello fire corals
<i>STYLASTERINA</i> Stylasteridae		Stylasteridae spp. (II) (7)		Lace corals Lace corals
		FLORA		
<i>AGAVACEAE</i>	<i>Agave parviflora</i> (I)	<i>Agave victoriae-reginae</i> (II) #1 <i>Nolina interrata</i> (II)		Agaves Santa Cruz striped agave Queen Victoria agave Dehesa bear-grass
<i>AMARYLLIDACEAE</i>		<i>Galanthus</i> spp. (II) #1 <i>Sternbergia</i> spp. (II) #1		Amaryllids Snowdrops Sternbergias
<i>APOCYNACEAE</i>		<i>Hoodia</i> spp. (II) #9		Dogbanes Hoodia

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	Annex A	Annex B	Annex C	Common name
	<i>Pachypodium ambongense</i> (I) <i>Pachypodium baronii</i> (I) <i>Pachypodium decaryi</i> (I)	<i>Pachypodium</i> spp. (II) (Except for the species included in Annex A) #1		Elephant trunks
ARALIACEAE		<i>Rauvolfia serpentina</i> (II) #2 <i>Panax ginseng</i> (II) (Only the population of the Russian Federation; no other population is included in the Annexes to this Regulation) #3 <i>Panax quinquefolius</i> (II) #3		Snake-root devil-pepper Aralias Asian ginseng
ARAUUCARIACEAE	<i>Araucaria araucana</i> (I)	<i>Podophyllum hexandrum</i> (II) #2		American ginseng Araucarias Monkey-puzzle tree
BERBERIDACEAE				Barberries Himalayan may-apple
BROMELIACEAE		<i>Tillandsia harrisii</i> (II) #1 <i>Tillandsia kammii</i> (II) #1 <i>Tillandsia kautskyi</i> (II) #1 <i>Tillandsia mauryana</i> (II) #1 <i>Tillandsia sprengeliana</i> (II) #1 <i>Tillandsia sucrei</i> (II) #1 <i>Tillandsia xerographica</i> (II) #1		Air plants, bromelias Harris' tillandsia Kamm's tillandsia Kautsky's tillandsia Maury's tillandsia Sprengel's tillandsia Sucre tillandsia Xerographic tillandsia
CACTACEAE		CACTACEAE spp. (II) (Except for the species included in Annex A and <i>Pereskia</i> spp., <i>Pereskopsis</i> spp. and <i>Quiabentia</i> spp.) (*) #4		Cacti Cacti

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	Annex A	Annex B	Annex C	Common name
	<i>Ariocarpus</i> spp. (1) <i>Astrophytum asterias</i> (1) <i>Aztekium ritteri</i> (1) <i>Coryphantha werdermannii</i> (1) <i>Discocactus</i> spp. (1) <i>Echinocereus ferreirianus</i> ssp. <i>lindsayi</i> (1) <i>Echinocereus schmollii</i> (1) <i>Escobaria minima</i> (1) <i>Escobaria sneedii</i> (1) <i>Mammillaria pectinifera</i> (1) <i>Mammillaria solisoides</i> (1) <i>Melocactus conoideus</i> (1) <i>Melocactus deinacanthus</i> (1) <i>Melocactus glaucescens</i> (1) <i>Melocactus paucispinus</i> (1) <i>Obregonia denegrii</i> (1) <i>Pachycereus militaris</i> (1) <i>Pediocactus bradyi</i> (1) <i>Pediocactus knowltonii</i> (1) <i>Pediocactus paradinei</i> (1) <i>Pediocactus peeblesianus</i> (1) <i>Pediocactus sileri</i> (1) <i>Pelecyphora</i> spp. (1) <i>Sclerocactus brevihamatus</i> ssp. <i>tobuschii</i> (1) <i>Sclerocactus erectocentrus</i> (1)			Living rock cacti Star cactus Aztec cactus Jobali pincushion cactus Discocacti Lindsay's hedgehog cacti Lamb's-tail cactus Nelle's cactus Sneed's pincushion cactus Conchilique Pitayita Conelike Turk's-cap cactus Wonderfully-bristled Turk's cap cactus Woolly waxy-stemmed Turk's-cap cactus Few-spined Turk's-cap cactus Artichoke cactus Grenadier's cap Brady's pincushion cactus Knowlton's cactus Houserock valley cactus Peebles's Navajo cactus Siler's pincushion cactus Pine cane cactus Tobusch fishhook cactus Needle-spined pineapple cactus

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	Annex A	Annex B	Annex C	Common name
<i>CARYOCARACEAE</i>	<i>Sclerocactus glaucus</i> (I) <i>Sclerocactus mariposensis</i> (I) <i>Sclerocactus mesae-verdae</i> (I) <i>Sclerocactus nyensis</i> (I) <i>Sclerocactus papyracanthus</i> (I) <i>Sclerocactus pubispinus</i> (I) <i>Sclerocactus wrightiae</i> (I) <i>Strombocactus</i> spp. (I) <i>Turbinicarpus</i> spp. (I) <i>Uebelmannia</i> spp. (I)	<i>Caryocar costaricense</i> (II) #1		Uinta Basin hookless cactus Mariposa cactus Mesa Verde cactus Tonopah fishhook cactus Grama-grass cactus Great-Basin fishhook cactus Wright's fishhook cactus Peyote Turbinicarps Uebelmann cacti
<i>COMPOSITAE (ASTERACEAE)</i>	<i>Saussurea costus</i> (I) (also known as <i>S. lappa</i> or <i>Aucklandia costus</i>)			Ajos Ajillo Asters, daisies, costus Costus
<i>CRASSULACEAE</i>		<i>Dudleya stolonifera</i> (II) <i>Dudleya traskiae</i> (II)		Dudleyas, crassulas Laguna beach dudleya Santa Barbara Island dudleya
<i>CUPRESSACEAE</i>	<i>Fitzroya cupressoides</i> (I) <i>Pilgerodendron uviferum</i> (I)			Cypresses Alerce Pilgerodendron
<i>CYATHEACEAE</i>		<i>Cyathea</i> spp. (II) #1		Tree ferns Tree ferns
<i>CYCADACEAE</i>	<i>Cycas beddomei</i> (I)	CYCADACEAE spp. (II) (Except for the species included in Annex A) #1		Cycads Cycads Beddome's cycad

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	Annex A	Annex B	Annex C	Common name
DICKSONIACEAE		<i>Cibotium barometz</i> (II) #1 <i>Dicksonia</i> spp. (II) (Only the populations of the Americas; no other populations are included in the Annexes to this Regulation: includes <i>Dicksonia berteriana</i>, <i>D. externa</i>, <i>D. sellowiana</i> and <i>D. stuebelii</i>) #1		Tree ferns Tree ferns
DIDIEREACEAE		DIDIEREACEAE spp. (II) #1		Didiereas Allaudias, didiereas
DIOSCOREACEAE		<i>Dioscorea deltoidea</i> (II) #1		Yams Elephant's foot
DROSERACEAE		<i>Dionaea muscipula</i> (II) #1		Sundews Venus fly-trap
EUPHORBIACEAE	<i>Euphorbia ambovombensis</i> (I) <i>Euphorbia capsaintemariensis</i> (I) <i>Euphorbia cremersii</i> (I)	<i>Euphorbia</i> spp. (II) (Except for the species included in Annex A; succulent species only; artificially propagated specimens of cultivars of <i>Euphorbia trigona</i>, artificially propagated, crested, fan-shaped or colour mutants of <i>Euphorbia lactea</i>, when grafted on artificially propagated root stock of <i>Euphorbia nerifolia</i> and artificially propagated specimens of cultivars of <i>Euphorbia</i> 'Mili' when they are traded in shipments of 100 or more plants and readily recognisable as artificially propagated specimens, are not subject to the provisions of this Regulation) #1		Spurges Euphorbias

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	Annex A	Annex B	Annex C	Common name
FOUQUIERIACEAE	<i>Euphorbia cylindrifolia</i> (I)			
	<i>Euphorbia decaryi</i> (I)			
	<i>Euphorbia francoisii</i> (I)			
	<i>Euphorbia handiensis</i> (II)			
	<i>Euphorbia lambii</i> (II)			
	<i>Euphorbia moratii</i> (I)			
	<i>Euphorbia parvicyathophora</i> (I)			
	<i>Euphorbia quartzicola</i> (I)			
	<i>Euphorbia tulearensis</i> (I)			
	<i>Euphorbia stygiana</i> (II)			
GNETACEAE	<i>Fouquieria fasciculata</i> (I)	<i>Fouquieria columnaris</i> (II) #1		Ocotillos, boojums
	<i>Fouquieria purpusii</i> (I)			Boojum tree Arbol del barril
JUGLANDACEAE			<i>Gnetum montanum</i> (III Nepal) #1	Joint firs
LEGUMINOSAE (FABACEAE)				Walnuts, gavlán
		<i>Oreomunnea pterocarpa</i> (II) #1		Gavlán
				Legumes
		<i>Caesalpinia echinata</i> (II) #10		Brazil wood
	<i>Dalbergia nigra</i> (I)			Brazilian rosewood
			<i>Dalbergia retusa</i> (III population of Guatemala) #5	Black rosewood
			<i>Dalbergia stevensonii</i> (III population of Guatemala) #5	Honduras rosewood
			<i>Dipteryx panamensis</i> (III Costa Rica/Nicaragua)	Almendro
		<i>Pericopsis elata</i> (II) #5		Afromosia
		<i>Platymiscium pleiostachyum</i> (II) #1		Quira macawood

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	Annex A	Annex B	Annex C	Common name
LILIACEAE	<i>Aloe albida</i> (I) <i>Aloe albiflora</i> (I) <i>Aloe alfredii</i> (I) <i>Aloe bakeri</i> (I) <i>Aloe bellatula</i> (I) <i>Aloe calcaitrophila</i> (I) <i>Aloe compressa</i> (I) <i>Aloe delphinensis</i> (I) <i>Aloe descoingsii</i> (I) <i>Aloe fragilis</i> (I) <i>Aloe haworthioides</i> (I) <i>Aloe helenae</i> (I) <i>Aloe laeta</i> (I) <i>Aloe parallelofolia</i> (I) <i>Aloe parvula</i> (I) <i>Aloe pillansii</i> (I) <i>Aloe polyphylla</i> (I) <i>Aloe rauhii</i> (I) <i>Aloe suzannae</i> (I) <i>Aloe versicolor</i> (I) <i>Aloe vossii</i> (I)	<i>Pterocarpus santalinus</i> (II) #7 <i>Aloe</i> spp. (II) (Except for the species included in Annex A and <i>Aloe vera</i>, also known as <i>Aloe barbadensis</i>, which is not included in the Annexes to this Regulation) #1		Red sandalwood Lilies Aloes

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	Annex A	Annex B	Annex C	Common name
MAGNOLIACEAE			<i>Magnolia liliifera</i> var. <i>obovata</i> (III Nepal) #1	Magnolias Safan
MELIACEAE		<i>Swietenia humilis</i> (II) #1 <i>Swietenia macrophylla</i> (II) (Population of the Neotropics — includes Central and South America and the Caribbean) #6 <i>Swietenia mahagoni</i> (II) #5	<i>Cedrela odorata</i> (III Population of Colombia, Population of Guatemala, Population of Peru) #5	Mahoganies Spanish cedar Honduras mahogany Big-leaf mahogany
NEPENTHACEAE	<i>Nepenthes khasiana</i> (I) <i>Nepenthes rajah</i> (I)	<i>Nepenthes</i> spp. (II) (Except for the species included in Annex A) #1		Caribbean mahogany Pitcher plants (old-world) Tropical pitcher plants Indian pitcher plant Giant tropical pitcher plant
ORCHIDACEAE	For all of the following Annex A species, seedling or tissue cultures obtained in vitro, in solid or liquid media, transported in sterile containers, are not subject to the provisions of this Regulation. <i>Aerangis ellisii</i> (I) <i>Cephalanthera cucullata</i> (II) <i>Cypripedium calceolus</i> (II) <i>Dendrobium cruentum</i> (I) <i>Goodyera macrophylla</i> (II)	ORCHIDACEAE spp. (II) (Except for the species included in Annex A) (?) #1		Orchids Orchids Hooded helleborine Lady's slipper orchid Madeiran lady's-tresses

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	Annex A	Annex B	Annex C	Common name
OROBANCHACEAE PALMAE (ARECACEAE)	<i>Laelia jongheana</i> (I)			Fen orchid
	<i>Laelia lobata</i> (I)			Eyed bee orchid
	<i>Liparis loeselii</i> (II)			Crescent ophrys
	<i>Ophrys argolica</i> (II)			Madeiran orchid
	<i>Ophrys lunulata</i> (II)			Asian slipper orchids
	<i>Orchis scopulorum</i> (II)			Holy ghost orchid
	<i>Paphiopedilum</i> spp. (I)			South American slipper orchids
	<i>Peristeria elata</i> (I)			Red vanda
	<i>Phragmipedium</i> spp. (I)			Summer lady's-tresses
	<i>Renanthera imschootiana</i> (I)			Broomrapes
PAPAVERACEAE PINACEAE	<i>Spiranthes aestivalis</i> (II)	<i>Cistanche deserticola</i> (II) #1		Desert cistanche
		<i>Beccariophoenix madagascariensis</i> (II) #1		Palms
				Manarano
				Butterfly palm
	<i>Chrysalidocarpus decipiens</i> (I)	<i>Lemurophoenix hallexii</i> (II)		Hovitra varinena
		<i>Marojejya darianii</i> (II)		Ravimbe
		<i>Neodrypsis decaryi</i> (II) #1		Triangle palm
		<i>Ravenea louvelii</i> (II)		Lakamarefo
		<i>Ravenea rivularis</i> (II)		Gora
		<i>Satranala decussilvae</i> (II)		Satranabe
PAPAVERACEAE PINACEAE		<i>Voanioala gerardii</i> (II)		Voanioala
				Poppies
			<i>Meconopsis regia</i> (III Nepal) #1	Himalayan poppy
PAPAVERACEAE PINACEAE				Pine family
	<i>Abies guatemalensis</i> (I)			Guatemalan fir

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	Annex A	Annex B	Annex C	Common name
PODOCARPACEAE			<i>Podocarpus nerifolius</i> (III Nepal) #1	Podocarps Yellow wood
PORTULACACEAE	<i>Podocarpus parlatores</i> (I)	<i>Anacampseros</i> spp. (II) #1 <i>Avonia</i> spp. #1 <i>Lewisia serrata</i> (II) #1		Parlatore's podocarp Portulacas, purslanes Purslanes
PRIMULACEAE		<i>Cyclamen</i> spp. (II) ⁽¹⁰⁾ #1		Saw-toothed lewisia
PROTEACEAE		<i>Orothamnus zeyheri</i> (II) #1 <i>Protea odorata</i> (II) #1		Primulas, cyclamens Cyclamens Proteas Marsh-rose Ground-rose
RANUNCULACEAE		<i>Adonis vernalis</i> (II) #2 <i>Hydrastis canadensis</i> (II) #8		Buttercups Yellow adonis Golden seal
ROSACEAE		<i>Prunus africana</i> (II) #1		Roses, cherries African cherry
RUBIACEAE	<i>Balmea stormiae</i> (I)			Ayugue Ayugue
SARRACENIACEAE	<i>Sarracenia oreophila</i> (I) <i>Sarracenia rubra</i> ssp. <i>alabamensis</i> (I) <i>Sarracenia rubra</i> ssp. <i>jonesii</i> (I)	<i>Sarracenia</i> spp. (II) (Except for the species included in Annex A) #1		Pitcher plants (new world) Pitcher plants Green pitcher plant Alabama canebrake pitcher plant Mountain sweet pitcher plant

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	Annex A	Annex B	Annex C	Common name
SCROPHULARIACEAE		<i>Picrorhiza kurroa</i> (II) (excludes <i>Picrorhiza scrophulariiflora</i>) #2		Figworts Indian gentian
STANGERIACEAE		<i>Bowenia</i> spp. (II) #1		Stangerias (cycads) Cycads Stangeria
TAXACEAE	<i>Stangeria eriopus</i> (I)	<i>Taxus chinensis</i> (II) #2 <i>Taxus cuspidata</i> (II) ⁽¹⁾ #2 <i>Taxus fuana</i> (II) #2 <i>Taxus sumatrana</i> (II) #2 <i>Taxus wallichiana</i> (II) #2		Yews Chinese yew Japanese yew Tibetan yew Sumatran yew Himalayan yew
THYMELEACEAE (AQUILARIACEAE)		<i>Aquilaria</i> spp. (II) #1 <i>Gonystylus</i> spp. (II) #1 <i>Gyrinops</i> spp. (II) #1		Agarwood, ramin Agarwood Ramin Agarwood
TROCHODENDRACEAE (TETRACENTRACEAE)			<i>Tetracentron sinense</i> (III Nepal) #1	Tetracentrons
VALERIANACEAE		<i>Nardostachys grandiflora</i> #2		Valerians
WELWITSCHIACEAE		<i>Welwitschia mirabilis</i> (II) #1		Welwitschias Welwitschia
ZAMIACEAE		ZAMIACEAE spp. (II) (Except for the species included in Annex A) #1		Cycads Cycads
	<i>Ceratozamia</i> spp. (I) <i>Chigua</i> spp. (I)			Horncones

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	Annex A	Annex B	Annex C	Common name
ZINGIBERACEAE	<i>Encephalartos</i> spp. (I) <i>Microcycas calocoma</i> (I)	<i>Hedychium philippinense</i> (II) #1		Bread palms Palm corcho Ginger lilies Philippine garland-flower Lignum-vitae Lignum-vitae Guaiacwood
ZYGOPHYLLACEAE		<i>Guaiacum</i> spp. (II) #2	<i>Bulnesia sarmientoi</i> (III Argentina) #11	

(1) Population of Argentina (listed in Annex B):

For the exclusive purpose of allowing international trade in wool sheared from live vicuñas of the populations included in Annex B, in cloth and in derived manufactured products and other handicraft artefacts. The reverse side of the cloth must bear the logotype adopted by the range States of the species, which are signatories to the Convenio para la Conservación y Manejo de la Vicuña, and the selvages the words 'VICUÑA-ARGENTINA'. Other products must bear a label including the logotype and the designation 'VICUÑA-ARGENTINA-ARTESANÍA'. All other specimens shall be deemed to be specimens of species included in Annex A and the trade in them shall be regulated accordingly.

(2) Population of Bolivia (listed in Annex B):

For the exclusive purpose of allowing international trade in wool sheared from live vicuñas and in cloth and items made thereof, including luxury handicrafts and knitted articles. The reverse side of the cloth must bear the logotype adopted by the range States of the species, which are signatories to the Convenio para la Conservación y Manejo de la Vicuña, and the selvages the words 'VICUÑA-BOLIVIA-ARTESANÍA'. All other specimens shall be deemed to be specimens of species included in Annex A and the trade in them shall be regulated accordingly.

(3) Population of Chile (listed in Annex B):

For the exclusive purpose of allowing international trade in wool sheared from live vicuñas of the populations included in Annex B, and in cloth and items made thereof, including luxury handicrafts and knitted articles. The reverse side of the cloth must bear the logotype adopted by the range States of the species, which are signatories to the Convenio para la Conservación y Manejo de la Vicuña, and the selvages the words 'VICUÑA-CHILE-ARTESANÍA'. All other specimens shall be deemed to be specimens of species included in Annex A and the trade in them shall be regulated accordingly.

(4) Population of Peru (listed in Annex B):

For the exclusive purpose of allowing international trade in wool sheared from live vicuñas and in the stock extant at the time of the ninth meeting of the Conference of the Parties (November 1994) of 3 249 kg of wool, and in cloth and items made thereof, including luxury handicrafts and knitted articles. The reverse side of the cloth must bear the logotype adopted by the range States of the species, which are signatories to the Convenio para la Conservación y Manejo de la Vicuña, and the selvages the words 'VICUÑA-PERU'. Other products must bear a label including the logotype and the designation 'VICUÑA-PERU-ARTESANÍA'. All other specimens shall be deemed to be specimens of species included in Annex A and the trade in them shall be regulated accordingly.

(5) All species are listed in Appendix II except *Balaena mysticetus*, *Eubalaena* spp., *Balaenoptera acutorostrata* (except population of West Greenland), *Balaenoptera borealis*, *Balaenoptera edeni*, *Balaenoptera musculus*, *Balaenoptera physalus*, *Megaptera novaeangliae*, *Orcaella brevirostris*, *Sotalia* spp., *Sousa* spp., *Eschrichtius robustus*, *Lipotes vexillifer*, *Caperea marginata*, *Neophocaena phocaenoides*, *Phocoena sinus*, *Physeter catodon*, *Platanista* spp., *Berardius* spp., *Hyperoodon* spp., which are listed in Appendix I. Specimens of the species listed in Appendix I to the Convention, including products and derivatives other than meat products for commercial purposes, taken by the people of Greenland under licence granted by the competent authority concerned, shall be treated as belonging to Annex B. A zero annual export quota is established for live specimens from the Black Sea population of *Turtops truncatus* removed from the wild and traded for primarily commercial purposes.

(6) Populations of Botswana, Namibia, South Africa and Zimbabwe (listed in Annex B):

For the exclusive purpose of allowing: a) trade in hunting trophies for non-commercial purposes; b) trade in live animals to appropriate and acceptable destinations as defined in Res. Conf. 11.20 for Botswana and Zimbabwe and for in situ conservation programmes for Namibia and South Africa; c) trade in hides; d) trade in hair; e) trade in leather goods for commercial or non-commercial purposes for Botswana, Namibia and South Africa and for non-commercial purposes for Zimbabwe; f) trade in individually marked and certified Ekipas incorporated in finished jewellery for non-commercial purposes for Namibia and ivory carvings for non-commercial purposes for Zimbabwe; g) trade in registered raw ivory (for Botswana, Namibia, South Africa and Zimbabwe whole tusks and pieces) subject to the following: i) only registered government-owned stocks, originating in the State (excluding seized ivory and ivory of unknown origin); ii) only to trading partners that have been verified by the Secretariat, in consultation with the Standing Committee, to have sufficient national legislation and domestic trade controls to ensure that the imported ivory will not be re-exported and will be managed in accordance with all requirements of Resolution Conf. 10.10 (Rev. CoP14) concerning domestic manufacturing and trade; iii) not before the Secretariat has verified the prospective importing countries and the registered government-owned stocks; iv) Raw ivory pursuant to the conditional sale of registered government-owned ivory stocks agreed at COP12 which are 20 000 kg (Botswana), 10 000 kg (Namibia), 30 000 kg (South Africa); v) In addition to the quantities agreed at COP12, government-owned ivory from Botswana, Zimbabwe, Namibia and South Africa registered by the 31st of January 2007 and verified by the Secretariat may be traded and despatched, with the ivory in g) iv) in a single sale per destination under strict supervision of the Secretariat; vi) the proceeds of the

trade are used exclusively for elephant conservation and community conservation and development programmes within or adjacent to the elephant range; and vii) The additional quantities specified in g) v) shall be traded only after the Standing Committee has agreed that the above conditions have been met; h) No further proposals to allow trade in elephant ivory from populations already on annex B shall be submitted to the Conference of the Parties for the period from COP14 and ending nine years from the date of the single sale of ivory that is to take place in accordance with provisions in paragraph g) i), g) ii), g) iii), g) vi) and g) vii). In addition, such further proposals shall be dealt with in accordance with Decisions 14.77 and 14.78. On a proposal from the Secretariat, the Standing Committee can decide to cause this trade to cease partially or completely in the event of non-compliance by exporting or importing countries, or in the case of proven detrimental impacts of the trade on other elephant populations. All other specimens shall be deemed to be specimens of species included in Annex A and the trade in them shall be regulated accordingly.

(7) The following are not subject to the provisions of this Regulation:

Fossils

Coral sand, that is to say material consisting entirely or in part of finely crushed fragments of dead coral no larger than 2 mm in diameter and which may also contain, amongst other things, the remains of *Foraminifera*, mollusc and crustacean shell, and coralline algae

(8) Coral fragments (including gravel and rubble), that is to say unconsolidated fragments of broken finger-like dead coral and other material between 2 and 30 mm in diameter.

(8) Artificially propagated specimens of the following hybrids and/or cultivars are not subject to the provisions of this Regulation:

Hatiora x *graciosa*

Schlumbergera x *buckleyi*

Schlumbergera russelliana x *Schlumbergera truncata*

Schlumbergera orssichiana x *Schlumbergera truncata*

Schlumbergera opuntioidea x *Schlumbergera truncata*

Schlumbergera truncata (cultivars)

Cactaceae spp. colour mutants lacking chlorophyll, grafted on the following grafting stocks: *Harrisia Jusburtii*, *Hylocereus trigonus* or *Hylocereus undatus*

Opuntia microdasys (cultivars)

Vanda:

(9) Artificially propagated hybrids of the following genera are not subject to the provisions of this Regulation, if conditions, as indicated in paragraphs a) and b) below, are met: *Cymbidium*, *Dendrobium*, *Phalaenopsis* and

a) Specimens are readily recognizable as artificially propagated and do not show any signs of having been collected in the wild such as mechanical damage or strong dehydration resulting from collection, irregular growth

and heterogeneous size and shape within a taxon and shipment, algae or other epiphyllous organisms adhering to leaves, or damage by insects or other pests; and

b) i) when shipped in non flowering state, the specimens must be traded in shipments consisting of individual containers (such as cartons, boxes, crates or individual shelves of CC-containers) each containing 20 or more

plants of the same hybrid; the plants within each container must exhibit a high degree of uniformity and healthiness; and the shipment must be accompanied by documentation, such as an invoice, which clearly states

the number of plants of each hybrid; or

ii) when shipped in flowering state, with at least one fully open flower per specimen, no minimum number of specimens per shipment is required but specimens must be professionally processed for commercial retail

sale, e.g. labelled with printed labels or packaged with printed packages indicating the name of the hybrid and the country of final processing. This should be clearly visible and allow easy verification. Plants not

clearly qualifying for the exemption must be accompanied by appropriate CITES documents.

(10) Artificially propagated specimens of cultivars of *Cyclamen persicum* are not subject to the provisions of this Regulation. However, the exemption does not apply to such specimens traded as dormant tubers.

(11) Artificially propagated hybrids and cultivars of *Taxus cuspidata*, live, in pots or other small containers, each consignment being accompanied by a label or document stating the name of the taxon or taxa and the text 'artificially propagated', are not subject to the provisions of this Regulation.

▼M12

	Annex D	Common name
	FAUNA	
	CHORDATA (CHORDATES)	
MAMMALIA		Mammals
CARNIVORA		Dogs, foxes, wolves
Canidae	<i>Vulpes vulpes griffithi</i> (III India) §1	Red fox
	<i>Vulpes vulpes montana</i> (III India) §1	Red fox
	<i>Vulpes vulpes pusilla</i> (III India) §1	Red fox
Mustelidae		Badgers, martens, weasels etc.
	<i>Mustela altaica</i> (III India) §1	Mountain weasel
	<i>Mustela erminea ferghanae</i> (III India) §1	Stoat
	<i>Mustela kathiah</i> (III India) §1	Yellow-bellied weasel
	<i>Mustela sibirica</i> (III India) §1	Siberian weasel
DIPROTODONTIA		Kangaroos, wallabies
Macropodidae	<i>Dendrolagus dorianus</i>	Doria's tree-kangaroo
	<i>Dendrolagus goodfellowi</i>	Goodfellow's tree-kangaroo
	<i>Dendrolagus matschiei</i>	Huon tree-kangaroo
	<i>Dendrolagus pulcherrimus</i>	Golden-mantled tree-kangaroo
	<i>Dendrolagus stellarum</i>	Seri's tree-kangaroo
AVES		Birds
ANSERIFORMES		Ducks, geese, swans
Anatidae	<i>Anas melleri</i>	Meller's duck
COLUMBIFORMES		Doves, pigeons
Columbidae	<i>Columba oenops</i>	Peruvian pigeon

▼ M12

	Annex D	Common name
GALLIFORMES	<i>Didunculus strigirostris</i>	Tooth-billed pigeon
	<i>Ducula pickeringii</i>	Grey imperial-pigeon
	<i>Gallicolumba crinigera</i>	Mindanao bleeding-heart
	<i>Ptilinopus marchei</i>	Flame-breasted fruit-dove
	<i>Turacoena modesta</i>	Black cuckoo-dove
Cracidae		Chachalacas, curassows, guans
	<i>Crax alector</i>	Black curassow
	<i>Pauxi unicornis</i>	Horned curassow
	<i>Penelope pileata</i>	White-crested guan
Megapodiidae		Megapodes, scrubfowl
	<i>Eulipoa wallacei</i>	Moluccan scrubfowl
Phasianidae		Grouse, guinea fowl, partridges, pheasants, tragopans
	<i>Arborophila gingica</i>	White-necklaced partridge
	<i>Lophura bulweri</i>	Bulwer's pheasant
	<i>Lophura diardi</i>	Siamese fireback
	<i>Lophura hoogerwerfi</i>	Hoogerwerf's pheasant
	<i>Lophura inornata</i>	Salvadori's pheasant
	<i>Lophura leucomelanos</i>	Kalij pheasant
	<i>Symaticus reevesii</i> §2	Reeves's pheasant
		Waxwings
	<i>Bombycilla japonica</i>	Japanese waxwing
PASSERIFORMES		Crows, magpies, jays
	<i>Cyanocorax caeruleus</i>	Azure jay
	<i>Cyanocorax dickeyi</i>	Tufted jay
Bombycillidae		
Corvidae		

▼ M12

	Annex D	Common name
Cotingidae	<i>Procnias nudicollis</i>	Cotingas
Emberizidae	<i>Dacnis nigripes</i>	Bare-throated bellbird
	<i>Sporophila falcirostris</i>	Cardinals, seedeaters, tanagers
	<i>Sporophila frontalis</i>	Black-legged dacnis
	<i>Sporophila hypochroma</i>	Temminck's seedeater
	<i>Sporophila palustris</i>	Buffy-throated seedeater
Estrildidae		Grey-and-chestnut seedeater
	<i>Amandava amandava</i>	Marsh seedeater
	<i>Cryptospiza reichenovii</i>	Mannikins, waxbills
	<i>Erythrura coloria</i>	Red avadavat
	<i>Erythrura viridifacies</i>	Red-faced crimson-wing
	<i>Estrilda quartinia</i> (Frequently traded as <i>Estrilda melanotis</i>)	Red-eared parrotfinch
	<i>Hypargos niveoguttatus</i>	Green-faced parrotfinch
	<i>Lonchura griseicapilla</i>	Yellow-bellied waxbill
	<i>Lonchura punctulata</i>	Peters's twinspot
	<i>Lonchura stygia</i>	Grey-headed silverbill
Fringillidae		Scaly-breasted munia
	<i>Carduelis ambigua</i>	Black munia
	<i>Carduelis atrata</i>	Finches
	<i>Kozlowia roborowskii</i>	Black-headed greenfinch
	<i>Pyrrhula erythaca</i>	Black siskin
	<i>Serinus canicollis</i>	Tibetan rosefinch
	<i>Serinus citrinelloides hypostictus</i> (Frequently traded as <i>Serinus citrinelloides</i>)	Grey-headed bullfinch
Icteridae		Cape canary
	<i>Sturnella militaris</i>	East African citril
		New-world blackbirds
		Pampas meadowlark

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	Annex D	Common name
Muscicapidae	<i>Cochoa azurea</i> <i>Cochoa purpurea</i> <i>Garrulax formosus</i> <i>Garrulax galbanus</i> <i>Garrulax milnei</i> <i>Niltava davidi</i> <i>Stachyris whiteheadi</i> <i>Swynnertonia swynnertoni</i> (Also referenced as <i>Pogonicichla swynnertoni</i>) <i>Turdus dissimilis</i>	Old-world flycatchers, thrushes Javan cochoa Purple cochoa Red-winged laughingthrush Yellow-throated laughingthrush Red-tailed laughing thrush Fujian niltava Chestnut-faced babbler Swynnerton's robin Black-breasted thrush
Pittidae	<i>Pitta nipalensis</i> <i>Pitta steerii</i>	Pittas Blue-naped pitta Azure-breasted pitta
Sittidae	<i>Sitta magna</i> <i>Sitta yunnanensis</i>	Nuthatches Giant nuthatch Yunnan nuthatch
Sturnidae	<i>Cosmopsarus regius</i> <i>Mino dumontii</i> <i>Sturnus erythropygius</i>	Mynas, starlings Golden-breasted starling Yellow-faced myna White-headed starling
REPTILIA		Reptiles
TESTUDINES		
Geoemydidae	<i>Melanochelys trijuga</i>	Freshwater turtles Indian black turtle

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	Annex D	Common name
SAURIA		
Cordylidae		
	<i>Zonosaurus karsteni</i>	Spiny-tailed lizards
	<i>Zonosaurus quadrilineatus</i>	Karsten's girdled lizard
		Four-lined girdled lizard
Gekkonidae		Geckos
	<i>Rhacodactylus auriculatus</i>	New Caledonia bumpy gecko
	<i>Rhacodactylus ciliatus</i>	Guichenot's giant gecko
	<i>Rhacodactylus leachianus</i>	New Caledonia giant gecko
	<i>Teratoscincus microlepis</i>	Small-scaled wonder gecko
	<i>Teratoscincus scincus</i>	Common wonder gecko
Scincidae		Skinks
	<i>Tribolonotus gracilis</i>	Crocodile skink
	<i>Tribolonotus novaeguineae</i>	New Guinea helmet skink
SERPENTES		
Colubridae		Typical snakes, water snakes, whip snakes
	<i>Elaphe carinata</i> §1	Taiwan stink snake
	<i>Elaphe radiata</i> §1	Radiated rat snake
	<i>Elaphe taeniura</i> §1	Taiwan beauty snake
	<i>Enhydryis bocourti</i> §1	Bocourt's water snake
	<i>Homalopsis buccata</i> §1	Masked water snake
	<i>Langaha nasuta</i>	Northern leafnose snake
	<i>Leioheterodon madagascariensis</i>	Madagascar menarana snake
	<i>Ptyas korros</i> §1	Indochinese rat snake
	<i>Rhabdophis subminiatus</i> §1	Redneck keelback
		Sea snakes
	<i>Lapemis curtus</i> (Includes <i>Lapemis hardwickii</i>) §1	Shaw's sea snake
Hydrophiidae		Vipers
Viperidae		Malayan pit viper
	<i>Calloselasma rhodostoma</i> §1	

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	Annex D	Common name
ACTINOPTERYGII		
PERCIFORMES		Fish
Apogonidae	<i>Pterapogon kauderni</i>	Banggai cardinalfish
	ARTHROPODA (ARTHROPODS)	
INSECTA		Insects
LEPIDOPTERA		Butterflies
Papilionidae	<i>Baronia brevicornis</i> <i>Papilio groesmithi</i> <i>Papilio maraho</i>	Birdwing and swallow-tail butterflies Short-horned baronia Broad-tailed swallowtail
	FLORA	
AGAVACEAE	<i>Calibanus hookeri</i> <i>Dasyllirion longissimum</i>	Agaves Beargrass
ARACEAE	<i>Arisaema dracontium</i> <i>Arisaema erubescens</i> <i>Arisaema galeatum</i> <i>Arisaema nepenthoides</i> <i>Arisaema sikokianum</i> <i>Arisaema thunbergii</i> var. <i>urashima</i> <i>Arisaema tortuosum</i> <i>Biarum davisii</i> ssp. <i>marmarisense</i> <i>Biarum ditschianum</i>	Arums Green dragon

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	Annex D	Common name
COMPOSITAE (ASTERACEAE)	<i>Arnica montana</i> §3 <i>Othonna cacalioides</i> <i>Othonna clavifolia</i> <i>Othonna hallii</i> <i>Othonna herrei</i> <i>Othonna lepidocaulis</i> <i>Othonna retrorsa</i>	Asters, daisies, costus Mountain tobacco
ERICACEAE	<i>Arctostaphylos uva-ursi</i> §3	Heathers, rhododendrons
GENTIANACEAE	<i>Gentiana lutea</i> §3	Bearberry
LEGUMINOSAE (FABACEAE)	<i>Dalbergia granadillo</i> §4 <i>Dalbergia retusa</i> (Except for the populations which are included in Annex C) §4 <i>Dalbergia stevensonii</i> (Except for the populations which are included in Annex C) §4	Gentians Great yellow gentian Legumes Black rosewood Black rosewood Honduras rosewood
LYCOPODIACEAE	<i>Lycopodium clavatum</i> §3	Clubmosses
MELIACEAE	<i>Cedrela fissilis</i> §4 <i>Cedrela lilloi</i> (<i>C. angustifolia</i>) §4 <i>Cedrela montana</i> §4 <i>Cedrela oaxacensis</i> §4 <i>Cedrela odorata</i> (Except for the populations which are included in Annex C) §4 <i>Cedrela salvadorensis</i> §4 <i>Cedrela tonduzii</i> §4	Staghorn clubmoss Mahoganies Spanish cedar

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	Annex D	Common name
MENYANTHACEAE	<i>Menyanthes trifoliata</i> §3	Bogbeans
PARMELLIACEAE	<i>Cetraria islandica</i> §3	Bogbean Parmelioid lichens
PASSIFLORACEAE	<i>Adenia glauca</i> <i>Adenia pechuelli</i>	Icelandic moss Desert roses Desert rose Desert rose
PORTULACACEAE	<i>Ceraria carrissoana</i> <i>Ceraria fruticulosa</i>	Portulas, purslanes
LILLACEAE	<i>Trillium pusillum</i> <i>Trillium rugelii</i> <i>Trillium sessile</i>	Lilies Dwarf wakerobin Ill-scented wakerobin Sessile-flowered wakerobin wood-lily
PEDALIACEAE	<i>Harpagophytum</i> spp. §3	Sesame, devil's claw Devil's claw
SELAGINELLACEAE	<i>Selaginella lepidophylla</i>	Clubmosses, spikenosses Rose of Jericho